

# Real-time monitoring of DNA immobilization and detection of DNA polymerase activity by a microfluidic nanoplasmonic platform

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## Abstract

DNA polymerase catalyzes the replication of DNA, one of the key steps in cell division. The control and understanding of this reaction owns great potential for the fundamental study of DNA-enzyme interactions. In this context, we developed a label-free microfluidic biosensor platform based on the principle of localized surface plasmon resonance (LSPR) to detect the DNA-polymerase reaction in real-time. Our microfluidic LSPR chip integrates a polydimethylsiloxane (PDMS) channel bonded with a nanoplasmonic substrate, which consists of densely packed mushroom-like nanostructures with silicon dioxide stems ( $\sim 40$  nm) and gold caps ( $\sim 22$  nm), with an average spacing of 19 nm. The LSPR chip was functionalized with single-stranded DNA (ssDNA) template (T30), spaced with hexanedithiol (HDT) in a mo-

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lar ratio of 1:1. The DNA primer (P8) was then attached to T30, and the second strand was subsequently elongated by DNA polymerase assembling nucleotides from the surrounding fluid. All reaction steps were detected in-situ inside the microfluidic LSPR chip, at room temperature, in real-time, and label-free. In addition, the sensor response was successfully correlated with the amount of DNA and HDT molecules immobilized on the LSPR sensor surface. Our platform represents a benchmark in developing microfluidic LSPR chips for DNA-enzyme interactions, further driving innovations in biosensing technologies.

*Keywords:* LSPR, microfluidic biosensor, DNA polymerase, self-assembled-monolayers (SAM)

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## 1 **1. Introduction**

2 DNA polymerization, mediated by the enzyme polymerase, assembles nu-  
3 cleotides along a single stranded DNA, using the latter as a template. This  
4 reaction is one of the key steps in the replication of DNA of all types of cells  
5 and organisms. Therefore monitoring a DNA polymerase reaction in real-  
6 time is important in many applications. For example, it is crucial to monitor  
7 all reaction steps such as primer binding, enzyme binding, elongation along  
8 the template, and the release of the enzyme (see Fig 1 a-c) for diagnosis  
9 and pharmaceutical drug testing. To meet the demand of real-time moni-  
10 toring, some labeled sensing approaches have been developed to detect DNA  
11 polymerase activity, which includes discontinuous radio-labeled (Benkovic  
12 and Cameron, 1995), direct and indirect fluorescence (Shapiro et al., 2005;  
13 Seville et al., 1996; Griep, 1995; Ronaghi, 2001), and particle labeled (San-

14 nomiya et al., 2008) assays at bulk and single molecule level. Most of these  
15 methods are either time consuming, laborious, cost inefficient or require the  
16 usage of toxic chemical reagents (e.g., radioactive tags/labels).

17 Among label-free methods, quartz crystal microbalance (QCM) serves  
18 as a simple and powerful tool for real-time measurements (Matsuno et al.,  
19 2001), but the measurement response is sensitive to changes in the bulk solu-  
20 tion, therefore the signal leads to an overestimation of the number of bound  
21 biomolecules (Bingen et al., 2008). The use of localized surface plasmon res-  
22 onance (LSPR) techniques has recently emerged as an important label-free  
23 sensing technique: it is an optical phenomenon that causes a collective oscil-  
24 lation of valence electrons and subsequent absorption within the ultraviolet-  
25 visible (UV-Vis) band of the light spectrum, due to interactions between the  
26 incident photons and the conduction band of a noble metal nanostructure  
27 (Anker et al., 2010; Hammond et al., 2014; Bhalla et al., 2018a). LSPR is  
28 sensitive to the local refractive index around the nanostructures to enable  
29 the detection of biomolecule binding events (Mayer and Hafner, 2011). The  
30 short decay length of the electromagnetic field in localized surface plasmons  
31 makes LSPR relatively insensitive to the bulk effects, thus reducing the sen-  
32 sitivity response to the interference from the bulk solution's refractive index  
33 (Szunerits and Boukherroub, 2012).

34 LSPR biosensors have achieved the detection of bio/chemical processes  
35 involving DNA, proteins, biomarkers, enzymes, food-borne pathogens, heavy  
36 metals, microbial biofilms and even living eukaryotic cells (Bhalla et al.  
37 (2018b)). In reference to DNA based sensing, various LSPR biosensors have  
38 been successfully implemented to measure DNA hybridization. In particular,

39 chip-based (Huang et al., 2012; Soares et al., 2014; Park et al., 2009; Endo  
40 et al., 2005) and nanoparticle (Schneider et al., 2013) based approaches have  
41 been used for end-point analysis of DNA hybridization, serving as efficient  
42 alternatives to conventional polymerase chain reaction (PCR) procedures,  
43 enabling highly sensitive quantification of DNA concentrations in solution  
44 (Kaye et al., 2017). Kim et al. (Kim et al., 2017) and Baaske et al. (Baaske  
45 et al., 2014) recently employed nanorods with whispering gallery modes in  
46 microcavities for the detection of DNA/DNA polymerase interactions and  
47 conformational changes at a single molecular level. A combined setup of  
48 LSPR and electrochemical impedance spectroscopy has also been used for  
49 DNA sensing applications (Cheng et al., 2014).

50 The sensitivity of LSPR based biosensors can be potentially increased by  
51 integrating it with microfluidics. This is because the microfluidic systems  
52 provide precise control of the fluid flow, reduce sample volume, avoid evap-  
53 oration and enhance the mixing rate of different reagents which often lead  
54 to an increase in the sensitivity of biomolecule detection, when integrated  
55 with biosensing technologies (Luka et al., 2015). In addition, reactions in-  
56 volving multiple fluid processing steps can be controlled in an automated  
57 manner inside a microfluidic chip, thereby avoiding potential measurement  
58 errors resulting from user to user discrepancy. The coupling of microfluidics  
59 and biosensors also introduces features such as portability, disposability, and  
60 multiplexed analysis of various analytes in a single device. Most importantly,  
61 real-time measurements can be realized by taking advantage of the high sur-  
62 face specificity the LSPR technique for sensing applications (Oh et al., 2014;  
63 Aćimović et al., 2014). For instance Oh et al. developed an integrated

64 nanoplasmonic microfluidic chip to detect cell-secreted tumor necrosis factor  
65 (TNF)- $\alpha$  cytokines in clinical blood samples (Oh et al., 2014) and to detect  
66 cancer markers in serum (Aćimović et al., 2014). Touahir et al. (Touahir  
67 et al., 2010) proposed a microfluidic DNA sensing approach based on metal-  
68 nanostructure enhanced fluorescence, but this requires fluorescence labeling  
69 of the DNA probes. More recently, Haber et al. were able to monitor DNA  
70 hybridization in real-time by combining sensor chips with silver nanoprism  
71 structures with a microfluidic setup in a label-free manner (Haber et al.,  
72 2017). However, to our knowledge, no work on LSPR detection of DNA  
73 polymerase reaction in real-time has been reported in literature.

74 Our work successfully demonstrates, for the first time, a LSPR microflu-  
75 idic chip to detect the immobilization of single stranded DNA (ssDNA) mixed  
76 with spacer molecules (1-Hexadecanethiol, HDT) on gold nanostructures via  
77 thiol-chemistry and subsequently detect their interaction with DNA poly-  
78 merase enzyme in real-time at room temperature. Our LSPR-microfluidic  
79 platform is superior in distinguishing each step in the polymerase reaction.  
80 For instance, we show that events involving binding of small molecules such  
81 as the DNA primer (P8) and nucleotides can easily be detected by our LSPR  
82 microfluidic chip in real-time, in contrast to bulk sensors such as QCM. We  
83 also show reduced non-specific binding and clear distinction of the polymerase  
84 reaction inside the LSPR-microfluidic platform in real-time, when compared  
85 to the traditional LSPR measurements without using microfluidics. Our de-  
86 veloped LSPR-microfluidic platform may provide a good benchmark sensing  
87 platform for DNA-based molecular diagnostics.

## 88 2. Materials and Methods

### 89 2.1. DNA Immobilization on LSPR substrates

90 Thiolated DNA-template T30 (S-5'GACGCTAGGATCTGACTGCGCC  
91 TCCTCCAT-3 (Hokkaido Gene Design, Japan) was dissolved in TE buffer  
92 (100 mM TRIS/10 mM EDTA, pH8), blended in a ratio of 1:1 with the re-  
93 duction buffer (0.12 M of Di-thiothreitol (DTT): 0.5 M of Phosphate buffered  
94 saline (PBS) = 2:1) and henceforth the reduction of T30 took place at room  
95 temperature within 6 h. The DNA was then de-salted and the resulting DNA  
96 concentration in the TE buffer was measured to be 0.66  $\mu\text{M}$  (nanodrop flu-  
97 orometer, Thermo Fisher, Japan). The thiolated DNA was then conjugated  
98 on the clean gold-based substrates (gold nanostructured LSPR substrates,  
99 gold nanostructured LSPR substrate integrated with microfluidics, and sub-  
100 strates for QCM-D) using HDT as a spacer molecule to avoid the steric  
101 hindrance, see Figure 1 (step a). The reaction solution containing 0.45  $\mu\text{M}$   
102 DNA and 0.45  $\mu\text{M}$  HDT in TE buffer, was deposited on the substrates or  
103 pumped through the microfluidic chips to initiate the immobilization within  
104 16 h, all performed at room temperature. After the immobilization, the  
105 functionalized substrates were washed three times for 15 min with  $1\times$  PBS.

### 106 2.2. In-vitro DNA polymerase reaction

107 The functionalized chips were impinged with primer solution, figure 1  
108 step b, (0.1  $\mu\text{M}$  primer P8 (5-ATGGAGGA-3, Invitrogen), 0.5  $\mu\text{M}$  dNTPs  
109 (Taraka Bio Inc., Japan), diluted in polymerase reaction buffer (New Eng-  
110 land Biolabs, NEB), prepared according to manufacturer's manual. The  
111 primer binding was carried out for 15 min. After following threefold PBS

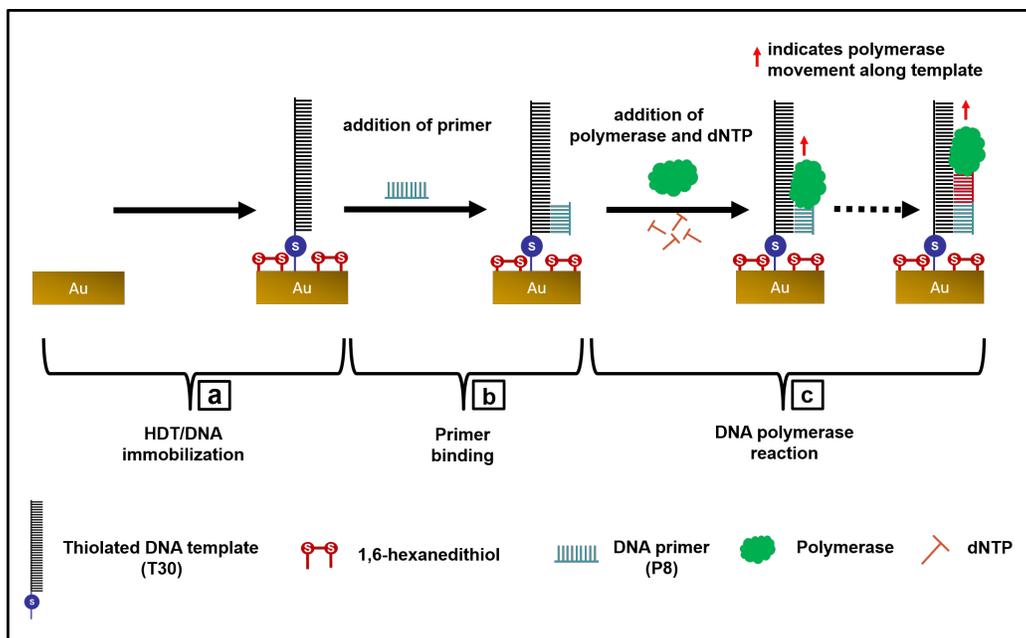


Figure 1: Reaction scheme on a gold (Au) LSPR substrate, involving (a) an immobilized ssDNA template (T30) with HDT; (b) addition of primer sequence P8, and (c) Klenow fragment of DNA-polymerase along with dNTPs. Polymerase catalyzes the formation of the complementary DNA strand by assembling dNTPs from the surrounding media.

112 wash (15 min), the polymerase reaction mixture (0.0625 U/ml of polymerase  
 113 enzyme (from E .Coli, Klenow Fragment, purchased from NEB) was added,  
 114 see Figure 1 (step c). Under the assumption of ideal reaction conditions,  
 115 the given amount of enzyme should convert all dNTPs contained in the re-  
 116 action mixture within a few minutes. However, we extended this reaction  
 117 step for 2.5 h to investigate secondary remodeling processes. Finally, an-  
 118 other threefold PBS wash was performed in order to remove non-specifically  
 119 bound reactants and the remaining enzyme complexes.

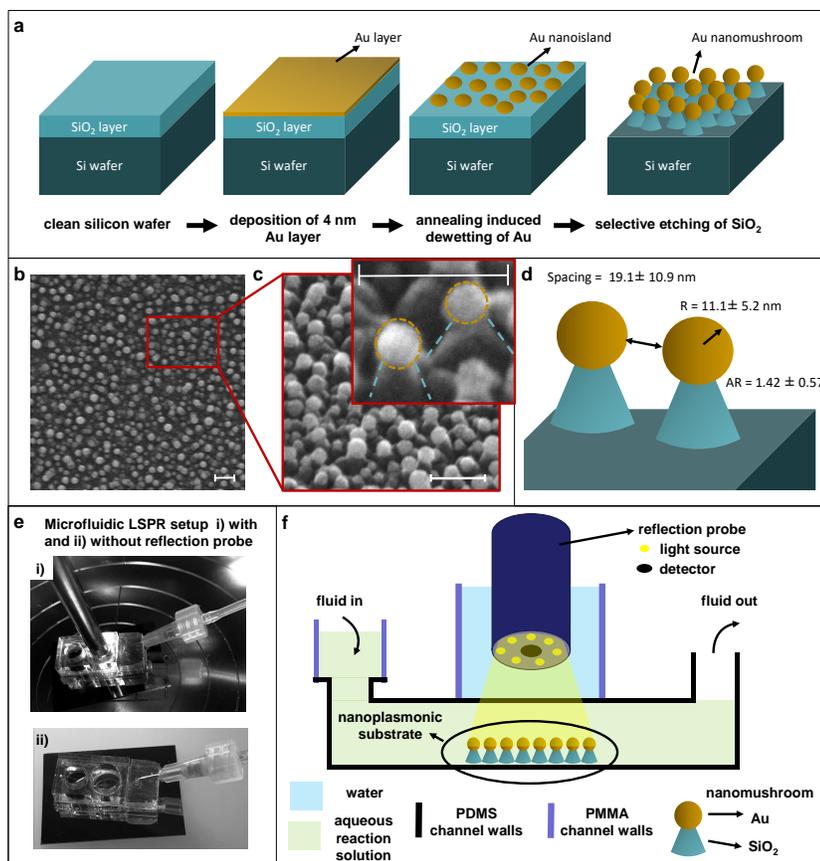


Figure 2: Fabrication of LSPR-microfluidic platform. (a) Manufacturing of plasmonic surfaces starting from a bare silicon wafer on which a 4 nm gold layer is first deposited, thermally de-wetted before the SiO<sub>2</sub> layer is selectively etched using SF<sub>6</sub> plasma. (b) Scanning electron microscopy (SEM) images show the Au nanostructures in horizontal plane, top view, (c) side view with 40° tilted, with the inset showing the zoomed in view of two pillared nanostructures with the gold cap and SiO<sub>2</sub> stem, outlined in yellow and turquoise, respectively. All scale bars represent 100 nm. (d) Schematic of the inset in (c) showing the detailed dimensions of the nanopillar structures. The mean Au cap radius is  $\sim 11.1 \pm 5.2$  nm. (e) Snap shots of a LSPR-microfluidic chip, in operation with indented reflection probe (i) and without (ii). In both cases the fluid inlet reservoir and the outlet tubing are shown. (f) Schematic of the microfluidic nanoplasmonic chip consisting of the bottom nanoplasmic substrate, a PDMS and a poly(methyl methacrylate) (PMMA) substrate.

120 *2.3. Fabrication of LSPR substrates*

121 The fabrication of LSPR gold nanostructures was based on a well estab-  
122 lished three step process consisting of gold deposition, de-wetting and glass  
123 etching (Bhalla et al., 2018b). Briefly, a 4 nm gold film was evaporated on  
124 a silicon wafer coated with 500 nm of SiO<sub>2</sub> (KST, Japan) using an electron  
125 beam evaporator (MEB550S2-HV, PLASSYS Bestek, France). The film was  
126 then annealed at 560 °C for 3.5 h, forming individual gold islands due to  
127 solid state de-wetting of the gold film (see Fig. 2 a-d). These nanoislands  
128 were transformed to pillar-like nanostructures with SiO<sub>2</sub> stems and Au caps  
129 by selective etching of the SiO<sub>2</sub> layer. Reactive ion SF<sub>6</sub> plasma was applied  
130 using an inductively coupled plasma chemical vapor deposition equipment  
131 (Plasmalab 100, Oxford Instruments, UK).

132 *2.4. Characterization of LSPR substrates*

133 Scanning electron microscopy (SEM) was used to characterize the size and  
134 morphology of the Au nanostructures. The average diameter and cap-to-cap  
135 distance were obtained by using the particle analysis module in ImageJ soft-  
136 ware(Schindelin et al., 2012). The Au caps were assumed to be circular and  
137 bright in the image with threshold type processing. The detailed morphology  
138 of Au nanostructures were analyzed after applying a contrast threshold with  
139 three independent images.

140 *2.5. Fabrication of microfluidic chips with LSPR substrates*

141 The microfluidic LSPR chip involves three-layered substrates: the LSPR  
142 Si substrate containing Au plasmonic nanostructures, a transparent Poly-  
143 dimethylsiloxane (PDMS) layer, and a transparent poly(methyl methacry-

144 late) (PMMA) layer. To ensure tight bonding between the LSPR substrate  
145 and PDMS, the Si wafer ( $2 \times 4$  cm) was covered by a mask with open circles of  
146 5 mm in diameter. This ensures that Au nanostructures were fabricated only  
147 inside the circular areas during the Au evaporation, annealing and etching  
148 steps. The PDMS containing a central circular reaction area of  $19.6 \text{ mm}^2$  was  
149 then bonded with the LSPR substrate by using oxygen plasma. On top of the  
150 PDMS layer, a poly-methyl-methacrylate (PMMA) cuboid ( $25 \times 15 \times 8$  mm)  
151 with a cylindrical hole (8 mm in diameter) was attached by using a double  
152 sided tape. This PMMA layer served as a water reservoir for indentation of  
153 the fibre optics, consisting of the LSPR light source and the detector (see  
154 detailed schematic in Fig. 2 e-f). The inlet of the PDMS channel was con-  
155 nected to the tubing system using a connector needle. To introduce new  
156 reactants and carry out the necessary washing steps, fluids were withdrawn  
157 with a syringe pump at a flow rate of  $50 \mu\text{l}/\text{min}$ . This flow rate avoided  
158 bubble formation and enabled stable flow in the microfluidic chip.

### 159 *2.6. LSPR measurements on bare nanoplasmonic substrates*

160 A customized setup consisting of a stage, a spectrometer (USB4000-UV-  
161 VIS-ES, Ocean Optics, Japan), a combined light source and detecting probe  
162 (Ocean Optics, Japan) and an optical fiber (Ocean Optics, Japan) connect-  
163 ing the latter was assembled to measure light reflected by the nanoplasmonic  
164 structures. Prior to each measurement, bright and dark reference spectra  
165 were recorded using a custom matlab routine developed in our lab. This  
166 allowed the automatic calculation of maximum wavelength and peak shifts  
167 from the LSPR in the Au nanostructures. After an initial reflection mea-  
168 surement of the bare LSPR substrate, the whole reaction was performed as

169 described in sections 2.1 and 2.2. Briefly, 80  $\mu\text{l}$  of template and spacer so-  
170 lution were poured into the PMMA well fixed on the nanostructured LSPR  
171 substrate and after 16 h of immobilization, primer binding and polymerase  
172 reaction was performed. After the last PBS washing step, the LSPR sig-  
173 nal of the functionalized chip was measured. For each of the conditions, at  
174 least three LSPR substrates were used for measurements and shifts of the  
175 absorption maximum  $\Delta\lambda$  were calculated by subtracting the initial maximum  
176 wavelength of each individual LSPR substrate  $\lambda_{\text{blank}}$ . To avoid salt residues,  
177 we decreased the PBS concentration of the washing solution step-wise and  
178 finally washed it with de-ionized water. After drying with compressed air,  
179 LSPR signals were measured.

180 For the characterization of the refractive index sensitivity, freshly pre-  
181 pared bare LSPR substrates were used. Water (RI = 1.333), acetone (RI =  
182 1.356), isopropanol (RI = 1.376), mineral oil (RI = 1.466), and toluene (RI  
183 = 1.496) were poured into the cylindrical well and the wavelength spectrum  
184 of the reflected light was measured while the probe was indented into the  
185 solvents. The sensitivity was calculated as the slope of the linear regression  
186 of the wavelength maximum  $\lambda_{\text{max}}$  plotted over the solvents' refractive index  
187 RI. The refractive index reference values were measured at room temperature  
188 using a spectrophotometer (UV-Vis 1800, Shimadzu, Japan) and compared  
189 to literature values.

### 190 *2.7. Real-time microfluidic LSPR measurements*

191 In real-time measurements, the developed LSPR microfluidic chip (see  
192 Fig. 2 e-f) was used at room temperature. The washing liquids and reaction  
193 mixtures were introduced through the inlet reservoir and withdrawn by a

194 syringe pump. The spectrum was recorded continuously every 15 s during  
195 the entire duration of the experiment ( $\sim 20$  h). The wavelength shifts were  
196 captured at the end of each reaction step, presented as the mean value with  
197 standard deviation based on at least three independent experiments. The  
198 microfluidic setup has a closed fluid loop to prevent solvent evaporation.

### 199 **3. Results and Discussion**

#### 200 *3.1. Characterization of bare LSPR substrates for the detection of DNA poly-* 201 *merase reaction*

202 The sensitivity of the nanoplasmonic substrate was first verified by using  
203 different solvents with known refractive indices (RI) in the relevant range  
204 for DNA monolayers (i.e.,  $RI_{ssDNA} \sim 1.45$  and  $RI_{dsDNA} \sim 1.52$  (Elhadj et al.,  
205 2004)). Fig 3 a shows a linear fit ( $R^2 = 0.95$ ) of wavelength shifts versus RI  
206 with a slope of  $54 \pm 6$  nm/RIU. This slope is essentially the RI sensitivity of  
207 the nanoplasmonic substrate in the range of refractive indices of ssDNA and  
208 dsDNA. In addition, we require a minimum of 0.0625 U/ml of polymerase to  
209 see changes in LSPR signal and therefore we consider this value as the limit  
210 of detection of our sensor. Resulting LSPR spectra from polymerase reaction  
211 are shown in Fig 3 b and mean values of three independent experiments are  
212 summarized in Fig 3 c. These values were calculated as shifts between the  
213 bare LSPR substrate and the LSPR substrate with double stranded DNA  
214 after the whole polymerase reaction was completed.

215 Based on the information shown in Fig 3 a, the theoretical shift caused  
216 by the polymerization of double-stranded DNA,  $\Delta(RI) = 0.06$  corresponds  
217 to  $\Delta\lambda \sim 3.24$  nm. In our DNA polymerase experiment (see condition (E)

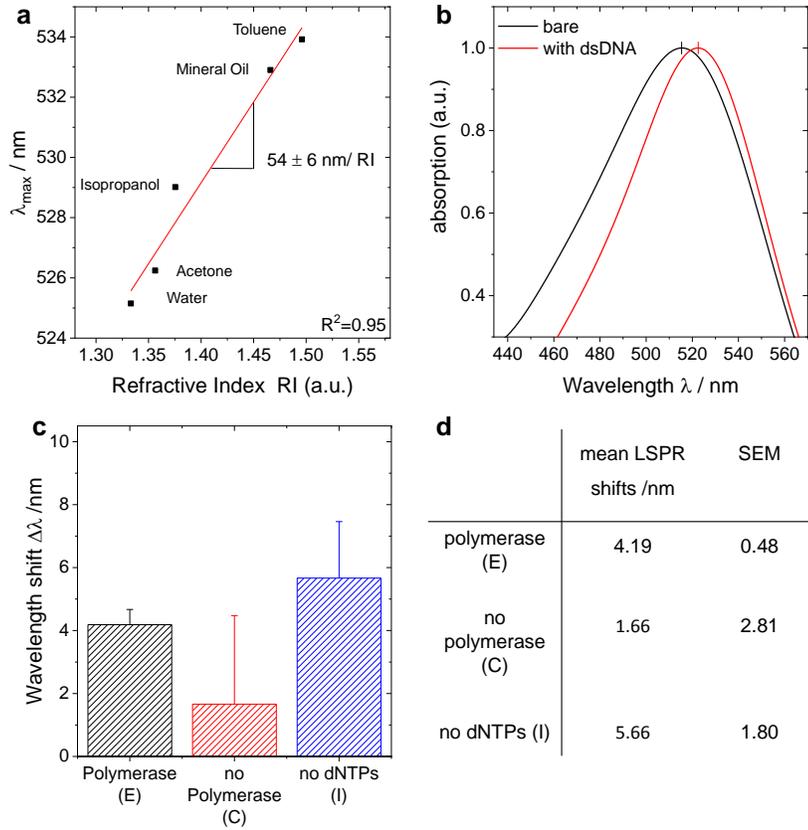


Figure 3: DNA polymerase monitoring using discontinuous LSPR measurements. (a) refractive index sensitivity of the nanoplasmonic substrate in a relevant RI range for DNA layers, calculated by linear regression from LSPR measurements with five different solvents; (b) A typical absorption spectrum of a bare nanoplasmonic substrate and after completing immobilization and elongation of ds30-mers (normalized), showing a wavelength shift  $\Delta\lambda = 3.8$  nm; (c) resulting shifts after completing the whole reaction cycle of the polymerase experiment (E, black), control without enzyme (C, red) and substrate inhibition (I, blue), shown as the mean values of  $N = 3$  experiments. (d) Table summarizing the values in subfigure (c).

218 in Fig 3 c), a shift of  $4.19 \pm 0.48$  nm was obtained. This shift represents  
219 both the immobilization of ssDNA/HDT and the polymerase reaction. In  
220 the control experiments without the polymerase enzyme (C, control without  
221 enzyme), a mean shift of  $\Delta\lambda = 1.66 \pm 2.81$  nm was observed (see Fig 3 c  
222 and d). Note that the immobilization of ssDNA/HDT alone causes a shift  
223 of  $3.50 \pm 1.27$  nm, which was measured after the immobilization process and  
224 the subsequent washing and drying of the LSPR substrate with compressed  
225 air. These values were calculated by normalization of wavelength shifts with  
226 respect to the blank LSPR substrate prior to the start of the experiment. In  
227 contrast, in the control experiment without dNTPs (I, enzyme inhibition),  
228 obtained wavelength shifts ( $\Delta\lambda = 5.66 \pm 1.80$  nm) were much higher. One  
229 potential explanation is that after polymerase molecules attach to the ss-  
230 DNA, these molecules cannot be released from the DNA strand during the  
231 washing steps. This increases the local optical density on the sensor surface,  
232 which in turn causes an additional red shift. Most importantly, in order to  
233 avoid effects of the liquid meniscus in the light path, the actual wavelength  
234 shifts need to be evaluated while immersing the probe (see measurement of  
235 RIs of different solvents) or after drying the LSPR surfaces with compressed  
236 air. The drying of the substrate can precipitate salts from the buffer solution,  
237 which might remain on the nanostructures of the LSPR substrate, leading  
238 to larger LSPR shifts. This can affect the refractive index on the LSPR sub-  
239 strate, which may lead to poor reproducibility of the LSPR measurements.  
240 An immediate wash with DI water avoids the salt precipitation from buffer  
241 solution. However, the DNA/HDT self-assembled monolayer (SAM) optical  
242 density and/or functionality might be affected by the inappropriate buffer

243 condition, which can cause indistinguishable LSPR shifts among experiments  
244 and controls. An improvement in the combination of these two processing  
245 steps (drying to avoid meniscus and washing with DI water) can enhance  
246 the specificity in the LSPR measurements and ensure the bio-functionality  
247 for subsequent reaction steps. In the next section we show that the use of  
248 microfluidics can eliminate many of the issues raised above by controlling the  
249 fluid in an automated manner.

### 250 *3.2. LSPR microfluidic chip for real-time monitoring of DNA immobilization* 251 *and polymerase activity*

252 Incorporating nanoplasmonic substrates in a microfluidic system allowed  
253 real-time measurements of complete ssDNA/HDT immobilization and poly-  
254 merization reaction steps. An exemplary sensogram of our LSPR experiment  
255 is shown in Fig 4a where LSPR wavelength shifts relative to the function-  
256 alized chip (PBS wash after immobilization) are plotted. **Note that the re-**  
257 **sponse time of our LSPR sensor is 1 s. However, this sensor response time**  
258 **is tunable with software where the data was acquired every 15 s during the**  
259 **20 h real-time measurement. The acquisition time then defines the response**  
260 **time to ensure that there is no overload of the data in the hard drive of**  
261 **our in-lab measurement system.** Figure 4b compares the total red shifts in  
262 the LSPR signal of a bare LSPR/microfluidic chip in PBS and dsDNA after  
263 polymerization reaction. It is possible to track the continuous red shifts in  
264 the LSPR wavelength maximum during the first 12h of the ssDNA/HDT  
265 immobilization process. After 12 h, the LSPR signal starts to stabilize and  
266 saturation was achieved at 16 h, which was considered as the end of the  
267 ssDNA/HDT immobilization. In the following primer binding and washing

268 steps, around  $\sim 1.49$  nm shifts were observed. After addition of polymerase,  
269 a shift of  $\sim 1.1$  nm was detected. This was most likely caused by the binding  
270 of the enzyme at the DNA strands and by the binding of additional dNTPs  
271 to the DNA strand. After the first 15 min of the elongation period, a small  
272 wavelength shift ( $\sim 0.5$  nm) was observed. This time scale fits well with  
273 the theoretical reaction speed of 0.25 units of enzyme per reaction (0.0625  
274 U/ml) that are estimated to react with all the available dNTPs (10  $\mu$ moles)  
275 within 16 min. It should be noted that only a small fraction of the avail-  
276 able dNTPs can be bound to the immobilized template, thus the elongation  
277 reaction completed much sooner than 16 min, which in turn serves as an  
278 explanation for the stabilization of the LSPR signal during the remaining  
279 elongation time. At the end of the reaction and the final washing step, the  
280 release of the heavy enzyme molecules caused a blue shift of 1.2 nm. In the  
281 control experiment (C) without polymerase enzyme, varied amounts of LSPR  
282 shifts occurred after the reaction was accomplished. This is attributed to var-  
283 ious amounts of non-specifically attached dNTPs in between adjacent DNA  
284 molecules. The non-specific attachment creates a large standard deviation  
285 in this control experiment (see figure 4c), resulting in low significance of this  
286 data as compared to the polymerase reaction ( $p=0.1744$ , unpaired one-tailed  
287 t-test). However, this non-specific attachment of dNTPs could be reduced  
288 by changing the spacing between ssDNA molecules by varying the ratio of  
289 DNA/HDT in the first step of the experiment. Despite different amounts of  
290 non-specific attachment of dNTPs, the polymerase reaction (E, black curve  
291 in fig 4a) and the control without enzyme (C, red curve in fig 4a) can easily  
292 be distinguished in real-time. Moreover, in both control and experimental

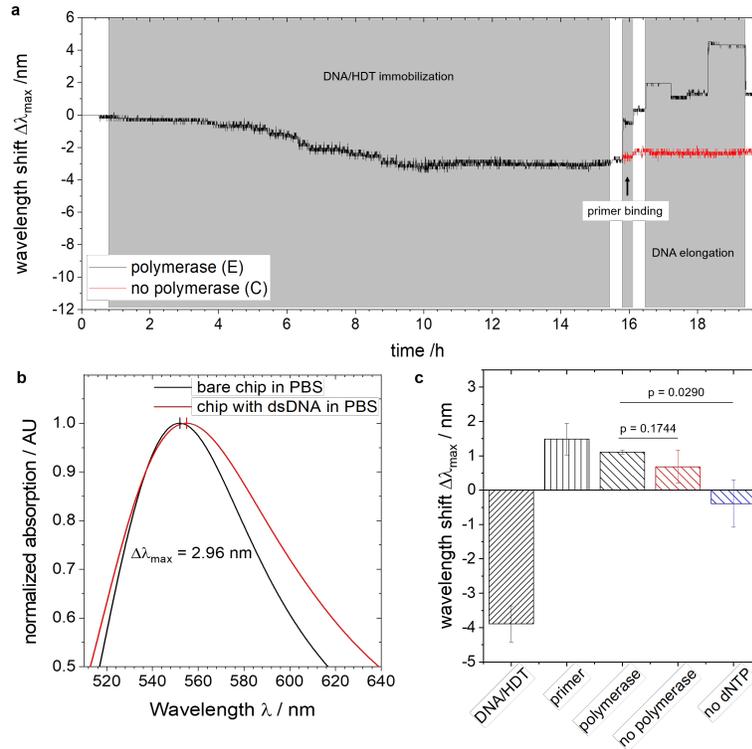


Figure 4: Label-free real-time DNA/HDT immobilization and polymerase activity monitoring using LSPR measurements. (a) Real-time sensogram showing the shift in the maximum wavelength of the reflected light during immobilization of DNA and HDT, primer binding, DNA elongation and intermediate washing steps. (b) A sample reflection spectra of bare microfluidic chip and the chip with ds30-mer showing a total wavelength shift of 2.7 nm, (c) and mean wavelength shifts from each step, calculated from 6 polymerase reactions and 3 controls (no polymerase and no dNTPs) experiments, respectively. Error bars represent standard error of mean. **The polymerase versus "no dNTP" is significant with  $p < 0.05$ .**

293 conditions, no significant wavelength shifts were detected due to the change  
294 of buffer solutions, indicating that the buffer effects can be neglected in these  
295 LSPR experiments (Diéguez et al., 2009). This is crucial for comparison of  
296 individual steps in a continuous reaction inside the microfluidic chip (where  
297 fluid control is automated) which often requires different buffer solutions for  
298 biochemical reasons. A total shift of  $\Delta\lambda_{\max} = 2.96$  nm in the LSPR maxi-  
299 mum wavelength was observed after polymerization reaction was completed  
300 (see Fig 4 b). An experimental cycle consists of the relative shifts during ss-  
301 DNA/HDT immobilization (mean of  $-3.89 \pm 0.64$  nm), primer binding (mean  
302 of  $1.49 \pm 0.46$  nm) and elongation (mean of  $1.11 \pm 0.06$  nm). Normalize by the  
303 wavelength from the functionalized chip in PBS (step 3), the mean values  
304 of all the shifts are summarized in Fig 4 c. The most obvious shifts were  
305 obtained during ssDNA/HDT immobilization and elongation steps, whereas  
306 during primer binding only one significant shift occurred.

307 In contrast, the positive control condition with no dNTPs, leads to a  
308 slight blue shift of  $-0.39 \pm 0.98$  nm. This is due to the specific binding of  
309 polymerase which is expected as no elongation takes place and the polymerase  
310 enzyme has no chance to be released from the ssDNA. However, standard  
311 one-tailed, t-test reveals that this experiment is significant when compared  
312 to the polymerase reaction as the value  $p=0.0290$ . This also shows that  
313 with the use of microfluidics, certain amount of non-specific attachment due  
314 to inefficient washing in discontinuous LSPR measurements (as seen from  
315 figure 3) can be minimized.

316 To validate the results from the microfluidic LSPR sensing system we  
317 also used QCM-D to monitor all the steps involved in the polymerase re-

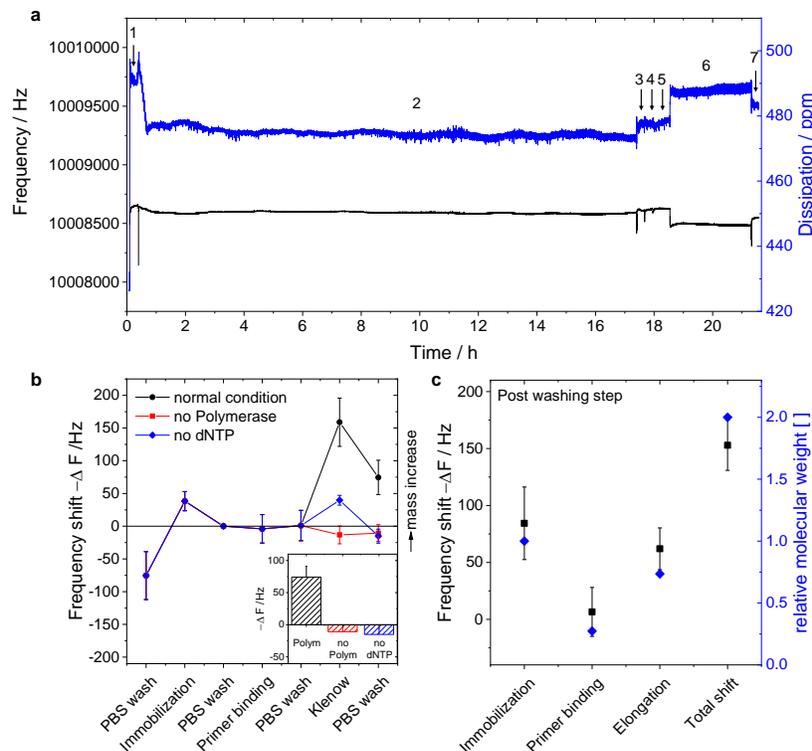


Figure 5: DNA polymerase monitoring with QCM-D. (a) Real sensogram showing the temporal course of frequency (black) and dissipation (blue) during immobilization of DNA (2); primer binding (4), DNA elongation (6) and all corresponding washing steps (1,3,5,7). (b) Frequency shifts during the aforementioned reaction steps of the polymerase reaction (E, black circles), control without enzyme (C, red squares) and substrate inhibition (I, blue diamonds), results from  $N \geq 3$  independent experiments, shown as mean and standard deviation. In the inset, the frequency shift during the crucial elongation step is highlighted. It was calculated as shift from washing before elongation to washing after elongation. (c) Proof of quantitiveness of QCM-D sensing by correlating the step-wise shifts, acquired at the end of each washing step (in PBS buffer) with the molecular weight that is theoretically bound during the corresponding step. Values are normalized to the molecular weight of T30 ( $\sim 9190$  g/mol). More details can be found in part 1 of the supplementary information file.

318 action. Figure 5a shows both the frequency (black curve) and dissipation  
319 (blue curve) changes in real-time caused by immobilization of ssDNA and  
320 subsequent elongation of dsDNA strands upon completion of the aforementioned  
321 reaction steps. Fig. 5b displays the shifts in the frequency for each  
322 step involved in the reaction and Fig. 5c shows the quantitative analysis of  
323 QCM-D where frequency shifts are correlated with the molecular weight of  
324 the mass bound on the surface of the QCM-D. Fig. 5b illustrates that the  
325 shifts upon primer binding cannot be distinguished from PBS wash as minute  
326 mass changes upon binding of primer is masked by the bulk effects from the  
327 buffer. Nevertheless, the QCM-D results suggest that the wavelength shifts  
328 in the LSPR are true signatures of the polymerase activity. More details on  
329 the QCM-D measurement principles and discussion on Figure 5 can be found  
330 in the supplementary information.

#### 331 4. Conclusion

332 We demonstrated the use of nanoplasmonic LSPR technology coupled  
333 with microfluidics to monitor the formation of SAMs of ssDNA, and subsequently  
334 detect the interaction of DNA with the DNA polymerase enzyme, **in**  
335 **real-time and label-free manner**. The nanoplasmonic structures, fabricated  
336 by thermal de-wetting and reactive ion etching of Au, possessed a RI sensitivity  
337 of  $54 \pm 6$  nm/RIU in the relevant range of refractive indices of single  
338 and double stranded DNA. The LSPR results for monitoring ssDNA/HDT  
339 immobilization and the polymerase reaction were validated by using QCM-  
340 D in real-time. Both sensing methodologies, LSPR and QCM-D, suggested  
341 that surface functionalization of ssDNA T30 took approximately 12 h, which

342 is in good accordance with the typical protocols proposing a reaction time  
343 of 12 to 16 h. Our work showed that the self-assembly of biochemical mono-  
344 layers, characterization of enzyme kinetics and inhibition reactions under  
345 physiological conditions could now be tested by using label-free LSPR in  
346 real-time with limited human intervention during the course of the reaction.  
347 These features are of great interest for the development of nanobiosensors for  
348 biomedical applications. Some limitations of our current platform include the  
349 lack of temperature control in the microfluidic chip and the need to optimize  
350 the HDT/ssDNA surface chemistry to reduce the non-specific attachment  
351 of dNTP without polymerase enzyme. However, the architecture of the mi-  
352 crofluidic chip and the LSPR measurement in the reflection mode allow easy  
353 integration of temperature controller in the future. As the polymerase reac-  
354 tion serves as the backbone of DNA sequencing, our LSPR- microfluidic chip  
355 can also benefit from the integration of a portable LSPR readout for point of  
356 care sequencing applications in the future. Therefore our LSPR microfluidic  
357 platform serves as a benchmark system for emerging fields in clinical, phar-  
358 maceutical and scientific research which require efficient, easy-to-use, precise  
359 methods for comprehensive data collection.

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