1	R	NA decay machinery safeguards immune cell development and immunological responses
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14

## **Highlight Box**

- Disruption of some RNA decay pathways provokes inflammatory diseases and tumor progression in humans and mice
- Exonuclease RNA decay pathways mediated by ZFP36L family proteins and the CCR4-NOT complex regulate quiescence, V(D)J recombination, and apoptosis in early differentiation of lymphocytes.
- RNA binding protein ZFP36 family, ROQUIN family, and REGNASE family are non-redundantly required to suppress inappropriate activation of peripheral T cells
- Epigenetic N6-adenosine methylation of mRNA regulates T cell homeostasis and differentiation, and may link transcription with mRNA decay.

#### 1 Abstract

mRNA decay systems control mRNA abundance by counterbalancing transcription. Several recent studies 2 3 show that mRNA decay pathways are crucial to conventional T and B cell development in vertebrates, in 4 addition to suppressing autoimmunity and excessive inflammatory responses. Selective mRNA degradation triggered by the CCR4-NOT deadenylase complex appears to be required in lymphocyte development, cell 5 6 quiescence, V(D)J (variable-diversity-joining) recombination, and prevention of inappropriate apoptosis in 7 mice. Moreover, a recent study suggests that mRNA decay may be involved in preventing human hyperinflammatory disease. These findings imply that mRNA decay pathways in humans and mice do not 8 simply maintain mRNA homeostatic turnover, but can also precisely regulate immune development and 9

- 10 immunological responses by selectively targeting mRNAs.
- 11

#### 1 RNA decay machinery is critical for immune development and immunological functions

Cytoplasmic mRNA abundance is a key determinant of protein expression, and regulation of mRNA
transcription has been widely studied in mammalian immune cells. In addition to transcriptional regulation,
mRNA expression is controlled during several post-transcriptional steps (i.e. capping, mRNA splicing,
polyA tail addition, RNA editing). mRNA decay is a post-transcriptional mechanism for reducing mRNA
abundance, counterbalancing transcription, and thereby maintaining or altering mRNA expression.

7

RNA decay is not only necessary for homeostatic turnover and mRNA quality control, but it also actively 8 regulates mRNA abundance in immune cells. For instance, recent studies indicate that mRNA decay is 9 10 indispensable for passing checkpoints in early differentiation process of B and T cells in mice [1-4]. In 11 addition to development, requirements for RNA decay pathways in suppressing aberrant immune responses have been demonstrated in mice [5-13]. This concept has recently been implicated in onset of human 12 inflammatory diseases [14], in addition to T cell lymphoma [15] by whole exome sequencing analysis to 13 14 identify causal mutations of these diseases. Notably, a mouse model study suggested that modification of RNA decay could be utilized in **cancer immunotherapy** [16], impelling further research on immune system 15 16 regulation via RNA decay.

17

In this review, we describe roles of RNA decay machinery in immune development and immunological
responses. Moreover, implications of RNA decay systems for human disease onset and therapy are
described. Since a recent review focused on post-transcriptional regulation of CD8 T cell functions [17],
here we focus on immunological roles of RNA decay systems that actively regulate mRNA stability.

22

## 23 General mechanisms inducing cytoplasmic mRNA decay

Cytoplasmic mRNA decay processes comprise two classes that differ functionally [18]. The first is to
control mRNA quality, thereby preventing production of potentially toxic proteins. Non-sense mediated
mRNA decay (NMD) occurs during early rounds of translation and rapidly degrades mRNAs that
prematurely terminate translation [18-20]. Additionally, no-go mRNA decay or non-stop mRNA decay act
when translation is accidentally blocked or fails to terminate, respectively [21].

29

Second, mRNA decay systems actively regulate stability of coding mRNAs, thereby dynamically
modulating amounts of functional proteins [18, 22]. This mechanism involves the exonuclease and
endonuclease decay pathways [18, 22] (Figure 1). Here, we focus mainly on mechanisms of RNA decay
machinery that actively regulate mRNA abundance, and consequently affect immunological functions.

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1 The exonuclease pathway is typically initiated by removing polyA tails from mRNAs (Fig. 1).

2 Deadenylation of polyA tails is the rate-limiting step for RNA decay and is catalyzed by three deadenylases,

3 the CCR4-NOT complex, Pan2-Pan3, and PARN [18, 22], all of which are conserved in eukaryotes. Of

4 these, the CCR4-NOT complex is the predominant deadenylase affecting polyA tail lengths of mRNAs in

5 human cell lines [23]. The CCR4-NOT complex is a large protein complex consisting of 9 protein subunits

6 in humans and mice [24]. Deadenylase activity is mediated by the CNOT6/6L and CNOT7/8 subunits [25-

7 29]. CNOT1, the largest subunit of the CCR4-NOT complex, acts as a scaffold to assemble the other

- 8 subunits, and also recruits RNA-binding proteins (RBPs) [30-32]. Other CNOT subunits (CNOT2, 3, 9,10,
- 9 and 11) do not possess deadenylase activity, but appear to modulate functions of the intact complex [33-41].
- 10 Following deadenylation, the de-capping complex (Dcp1/Dcp2) is recruited and removes an mRNA's 5'-

11 cap, which promotes complete mRNA decay [42]. Finally, exonucleases XRN1 and 2 degrade mRNAs from

their 5'-ends [42]. Degradation by exosomes from the 3'-end also occurs after deadenylation [22]. Although
in cell lines, CNOT8 was reported to bind to SMG7, a subunit of the NMD complex [43], the physiological

14 role of the CCR4-NOT complex on NMD is unclear.

15

In contrast, the endonuclease decay pathway begins by cleaving mRNAs internally (Fig. 1). This cleavage
generates unprotected 5'- and 3'-ends, thereby enabling exonuclease-dependent degradation of mRNA
fragments. These endonucleases recognize primary and secondary sequences of target mRNAs [44].

19

In addition to degradation of aberrant mRNAs, NMD maintains an appropriate level of gene expression by
regulating physiological mRNAs [45]. For instance, siRNA-mediated depletion of RENT1, a trans-effector
of NMD [46], up-regulated 4.9% of transcripts in HeLa cells [45]. Moreover, NMD is involved in
embryonic development and tissue-specific cell differentiation [20]. However, no physiological mRNA
targets of NMD have been identified in immune responses.

25

#### 26 RNA-decay pathways regulating lymphocyte development and immunological responses

27 B cells

Cellular mechanisms of B cell development have been widely studied in humans and mice [47] (Box 1).
Several studies have suggested that the RNA decay exonuclease pathway is triggered by the CCR4-NOT
complex in early B cell development in mice [1-3].

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Two studies showed that B cell-specific depletion of the CNOT3 subunit blocks the transition from pro-B
cells to pre-B cells [1, 2] (Box 1, Fig. 2). Relative to wild-type B cells, *Cnot3* gene deletion in mice caused

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up-regulation of Trp53 mRNA encoding P53 protein and its target genes in B cells [1]. Moreover, deletion 1 of Trp53 gene in Cnot3-deficient mice partially rescued developmental defects of B cells caused by CNOT3 2 deficiency [1]. Thus, the CCR4-NOT complex was deemed to promote the pro-B cell to pre-B cell transition 3 4 by degrading *Trp53* mRNA. Another study showed that the CCR4-NOT complex interacts with transcription factor EBF1 [2], which is crucial for establishment of B-cell lineages [47, 48]. Interestingly, 5 interactions between the CCR4-NOT complex and EBF1 both enhance transcription and destabilize 6 7 mRNAs. Although both studies revealed defects of pro-B cell development, there are some inconsistencies between the two studies. For instance, Trp53 mRNA reduction was not observed in the latter study. This 8 9 apparent discrepancy may be ascribed to the Cre deletion mice used in each study. For instance, *mb1*-Cre 10 may have caused genotoxic stress in pro-B cells [49].

11

Cellular quiescence is needed for V(D)J (variable-diversity-joining) recombination because RAG2 12 protein expression is limited in the G0-G1 phases of the cell cycle [50-53]. ZFP36L1 and ZFP36L2 are 13 14 RBPs that recognize specific sequences in 3'-untranslated regions (UTRs) of vertebrate mRNAs[54]. In mice, lack of both ZFP36L1 and ZFP36L2 in early B cells reduces populations of pre-B cells and later 15 16 stages of B cells, delays variable-V(D)J recombination, and causes failure at the pre-BCR checkpoint [3]. ZFP36L1 and ZFP36L2 promote quiescence of murine pro-B cells by promoting decay of mRNAs involved 17 in the transition into S phase of the cell cycle [3]. Notably, ZFP36L1 and ZFP36L2 recruit the CCR4-NOT 18 complex, thereby inducing exonuclease-dependent mRNA decay [55, 56]. Consistently, B cell-specific 19 deletion of the CNOT3 subunit of the CCR4-NOT complex in mice also impaired V(D)J recombination in 20 pro-B cells [1, 2]. Additionally, putative target mRNAs of ZFP36L1, such as *Cdkn1a*, are upregulated in 21 22 *Cnot3*-deficient pro-B cells, indicating functional interaction between the CCR4-NOT complex and ZFP36L1. These findings suggest that RNA decay, involving ZFP36L1, ZFP36L2, and the CCR4-NOT 23 24 complex, can coordinate cell cycle entry and exit to ensure progression of V(D)J recombination [1-3]. 25

In addition to early B cell development, a recent study showed that B cell-specific deletion of ZFP36L1, but
not *ZFP36L2*, causes improper localization and impaired survival of marginal zone B cells in murine
spleen, most likely by down-regulating *Klf2* and *Irf8* mRNAs [57]. However, a possible role of the CCR4NOT complex in marginal zone B cell maintenance remains to be demonstrated.

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Overall, these data suggest that active RNA decay regulates development of B cells in relatively early
stages. ZFP36 family mediate decay of specific target mRNAs (Box 2, Figure 4), most likely by recruiting
the CCR4-NOT complex.

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#### 1 T cells

From another angle, several studies have suggested that early T cell development (Box 1) is also controlled
by active mRNA decay.

4

For instance, mice deficient in both Zfp36l1 and Zfp36l2 genes in early T cell progenitor cells developed T 5 cell acute lymphoblastic leukemia (T-All) [58]. T lymphoblasts in mutant mice expressed cell surface CD8 6 7 instead of TCR<sup>β</sup>. Moreover, DN thymocytes in mutant mice lacked intracellular TCR<sup>β</sup> expression. These results suggest that DN thymocytes in mutant mice aberrantly passed the **B-selection** checkpoint without 8 expression of TCRB. Consistently, V(D)J recombination was defective in DN thymocytes of Zfp36l1 and 9 10 *Zfp36l2*-double deficient mice [59]. Moreover, gene sets involved in **DNA damage-response** signaling and cell cycle progression were up-regulated in DN thymocytes of these mutant mice compared to wild-type 11 mice [59]. These data suggest that although double-stranded DNA breakage during V(D)J recombination 12 can up-regulate a DNA damage-responsive (DDR) gene set that may cause cell death, the ZFP36L1 and 13 ZFP36L2-inducing RNA decay pathway appears to inhibit this DDR pathway to ensure cell survival. In 14 addition, ZFP36L1 and ZFP36L2 can suppress cell proliferation by destabilizing cell cycle-promoting gene 15 16 expression. This suppression appears crucial to induce cellular quiescence required for V(D)J recombination 17 in DN thymocytes. Thus, ZFP36L and ZFP36L2-mediated gene suppression is essential for appropriate differentiation of DN thymocytes without development of T cell leukemia. In addition to thymocytes, 18 ZFP36L2 also binds to the AU rich element in the 3'-UTR of *Ifng* mRNA in human and murine peripheral T 19 cells [60]. In this case, ZFP36L2 blocked binding of ribosomes to Ifng mRNA 3'-UTR, and thereby 20 21 inhibited its translation.

22

Our recent study revealed that the CCR4-NOT complex participates in positive selection [4] (Fig. 3, Box 1). 23 Specifically, positive selection of DP thymocytes was impaired by T cell-specific deletion of Cnot3 in mice, 24 which inhibited generation of mature CD4<sup>+</sup> and CD8<sup>+</sup> T cells [4]. In thymocytes of *Cnot3*-deficient mice, 25 *Dap2ip* mRNA was up-regulated in the early stage of positive selection (CD3<sup>int</sup> CD69<sup>hi</sup> thymocytes). In 26 contrast, Bbc3 mRNA was up-regulated in the later stage of positive selection (CD3<sup>high</sup> CD69<sup>high</sup> 27 thymocytes) in Cnot3-deficient thymocytes. Thus, the CCR4-NOT complex inhibited up-regulation of pro-28 29 apoptotic Bbc3 and Dab2ip mRNAs in different stages of positive selection (Fig. 3). Failure of positive 30 selection caused by CNOT3 deficiency was counteracted by forced expression of anti-apoptotic BCL-2 in hematopoietic progenitors. Thus, the CCR4-NOT complex ensures DP positive selection by down-31 regulating pro-apoptotic molecules. 32

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ROQUIN-1 and ROQUIN-2 (ROQUIN family) attach to 3'-UTRs of various mRNAs and recruit the CCR4-1 NOT complex in mammalian cells [61-63]. By stabilizing Icos (inducible T-cell co-stimulator) mRNA, mice 2 3 with a missense mutation in *Rc3h1* (encoding ROQUIN-1) spontaneously developed systemic lupus 4 ervthematosus-like autoimmunity with enhanced proliferation of follicular helper T cells (Tfh) and impaired negative selection of autoimmune germinal center B cells relative to control wild-type mice [5-8]. 5 Moreover, T-cell specific deletion of both *Rc3h1* and *Rc3h2* (encoding ROQUIN-2) genes in mice caused 6 7 enhanced helper T cell activation and Tfh cell differentiation with spontaneous inflammation [9, 10]. Thus, ROQUIN family proteins inhibit inappropriate T cell activation and differentiation. The phenotype of point 8 mutant mice differed in part from those of *Rc3h1* and *Rc3h2*-doubly deficient mice. A possible explanation 9 10 is that another cell population was involved in the phenotype of point mutant mice. Still another possibility 11 may be that mutant ROQUIN-1 protein may form a dysfunctional multiprotein complex, which cannot be 12 substituted by ROQUIN-2.

13

As with ZFP36L1 and ZFP36L2, ZFP36 protein preferentially recognizes AREs in 3'-UTRs, and limits
expression of target mRNAs [64]. T cell activation and anti-viral immunity were enhanced in *Zfp36*deficient mice compared to wild-type mice [65]. Moreover, TCR-signaling-dependent expression of
activation marker genes and proliferation increased in T cells in the absence of ZFP36. Thus, ZFP36 also
inhibits aberrant T cell activation.

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ZFP36 family (ZFP36, ZFP36L1 and ZFP36L2) and ROQUIN family recruit the CCR4-NOT complex [66]. 20 However, as described, phenotypes of T cells in mice deficient in these were different from one another and 21 22 also from *Cnot3*-deficient mice. This apparent inconsistency should be partly due to the Cre deleter used in each study. For instance, Zfp3611 and Zfp3612 genes were deleted from DN stages whereas the Cnot3 gene 23 was deleted from DP stages [58]. Moreover, because T cell-specific deletion of Cnot3 severely impaired 24 development of mature T cells in the thymus [4], the phenotype in the later stage of T cells remained 25 unclear. Therefore, it is important to determine the differentiation stage at which RBPs initiate their function 26 27 by recruiting the CCR4-NOT complex. It is also possible that other decay systems beside the CCR4-NOT complex may function downstream of the ZFP36L and ROQUIN families [67-69]. Alternatively, coupling 28 29 of transcription and mRNA decay by the CCR4-NOT complex may explain why phenotypes of Cnot3-30 deficient mice differ from those of RBPs [2] [70]. For instance, in yeast, translocation of cytoplasm RNAdecay factors into the nucleus occurred in a degradation-dependent manner, and then activated mRNA 31 transcription in the nucleus [70]. A similar mechanism may robustly maintain total mRNA levels against 32 33 perturbation by eliminating the CNOT3 subunit.

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Epigenetic modification of mRNA is central to RNA metabolism [71]. For instance, N6-methyl adenosine 1 (m6A) modification in RNA is relatively well characterized [72, 73]. mRNA containing m6A binds to 2 YT521-B homology Domain-containing Family (YTHDF) 2, which recruits the CCR4-NOT complex in 3 4 eukaryotes[74]. Of note, m6A modification contributes to a link between mRNA transcription and decay. Thus, when transcription rate of specific genes is decelerated, their mRNAs can undergo m6A modification 5 and subsequent decay. METTL3 and METTL14 methyltransferase complexes catalyze m6A modification 6 7 [75]. Lack of METTL3 suppressed homeostatic proliferation of murine T cells when they were transferred into lymphopenic mice [76]. Interleukin (IL)-7-induced degradation of Socs mRNA, which is crucial for 8 9 proliferation and differentiation of naïve T cells, was impaired in Mettl3-deficient T cells [76]. Moreover, 10 regulatory T cell (Treg)-specific deletion of *Mettl3* in mice impaired immune suppressive function of Tregs [77]. T cell-specific deletion of *Mettl14* induced spontaneous colitis in mice, which was due to Treg 11 dysfunction because transfer of wild-type Tregs ameliorated the colitis. These suggest that m6A 12 modification is critical for IL-7-dependent homeostatic proliferation of T cells and Treg functions 13 14 15 Last, REGNASE-1 is an endonuclease that cleaves translationally active mRNAs through recognition of a

16 stem-loop RNA structure in the polysomal fraction [13, 44]. T cell-specific deletion of the Zc3h12a gene encoding REGNASE-1 in mice spontaneously provoked autoimmune phenotypes with increased expression 17 of several cytokines, surface co-stimulatory molecules, and transcription factors in T cells [78]. Notably, 18 TCR-signaling cleaves REGNASE-1 in a paracaspase MALT1-dependent manner [78, 79], which turns off 19 REGNASE-1-dependent decay of target mRNAs. Thus, RNA decay via REGNASE-1 pathways precisely 20 21 regulates peripheral T cell function and maintenance. One study recently reported a negative role of REGNASE-1 in anti-tumor responses of  $CD8^+$  T cells in mice [16]. Abolishment of Zc3h12a gene in  $CD8^+$ 22 T cells by CRISPR-Cas9 technology improved their therapeutic efficiency against tumors in mouse models 23 [16]. This finding suggests the possible manipulation of REGNASE-1 gene to enhance cancer therapy. 24 25

Overall, these finding indicate that thymic T cell differentiation and selection may be regulated by several
endonuclease-dependent RNA decay modules (Box 2, Figure 4). In addition, both endonuclease- and
exonuclease-inducing RNA decay machinery control proper T cell activation and function in peripheral
tissues. Moreover, the artificial conversion of T cell function for cancer therapy may be possible by
manipulating RNA decay machinery.

31

#### 32 Innate lymphoid cells

Group 2 innate lymphoid cells (ILC2s) produce type 2 cytokines and are critical for immune responses such
as protection against helminth infection [80] and allergic pulmonary inflammation [81, 82]. ILC2 from mice

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- 1 with a degradation-resistance mutation in REGNASE-1 showed reduced expression of IL-33-inducing
- 2 mRNAs, compared to wild-type ILC2 [83]. Moreover, bleomycin-induced pulmonary fibrosis in
- 3 lymphocyte-deficient mice was more severe when ILC2 from Zc3h12a-deficient mice was transferred,
- 4 compared with wild-type ILC2 transfer [84]. Interestingly, *Zc3h12a* expression was negatively correlated
- 5 with cellularity of ILC2 in bronchoalveolar lavage fluid of humans [84]. These data imply a possible link
- 6 between REGNASE-1-dependent RNA decay and ILC2-inducing lung inflammatory diseases such as
- 7 idiopathic pulmonary fibrosis.
- 8

## 9 RNA decay pathways regulating myeloid cell functions

- Signaling from Toll-like receptors (TLRs) initiates innate immune responses and inflammation. TLR
  ligands induce transcription of *Zc3h12a* mRNA in murine macrophages [13]. The absence of REGNASE-1
  caused inflammation in mice and over-production of IL-6 in response to lipopolysaccharide (LPS) in
  macrophages, by stabilizing *Il6* mRNAs. Upon IL-1β and TLR stimulation [13], REGNASE-1 is degraded
  via ubiquitin-proteasome machinery [85], which can contribute to rapid elevation of cytokine concentrations
  in innate immune responses, and thereby precisely regulates inflammation.
- 16

17 In another example of RNA decay, mice deficient in the *Zfp36* gene spontaneously develop progressive and

- 18 lethal inflammation due to aberrant up-regulation of tumor necrosis factor (TNF) and IL-23 [11, 12].
- 19 Moreover, myeloid-specific deletion of Zfp36 in mice results in hypersensitivity to low doses of LPS,
- 20 accompanied by increased serum TNF compared to wild-type mice, although these mice do not completely
- 21 manifest severe spontaneous inflammation [86, 87], intimating involvement of ZFP36 in non-myeloid cells
- in inflammation onset in *Zfp36*-deficient mice. In addition to TNF, ZFP36-dependent inhibition of IL-1
- 23 protein expression is critical for in suppression of spontaneous inflammation [88, 89]. Thus, LPS-inducing
- expression of *Il1a* and *Il1b* mRNAs increased in bone marrow-derived dendritic cells (BMDCs) prepared
- from *Zfp36*-deficient mice relative to wild-type BMDCs [89]. Notably, spontaneous inflammation occurring
  in *Zfp36*-deficient mice was considerably ameliorated by deletion of the *Il1r1* gene [89], revealing a critical
- role of the IL-1 signaling. Overall, these studies show that ZFP36 inhibits inflammation by regulating
- 28 multiple target genes.
- 29
- A recent study revealed that m6A modification is involved in innate immune responses of cells [90]. *IFNB*mRNA, encoding type I interferon IFN-β, was modified by m6A and was more stable in METTL3-depleted
  cells than in wild-type cells [90]. Moreover, deletion of METTL3 and YTHDF2 incrementally induced
  interferon-stimulated genes in cell lines [90]. Thus, m6A modification of *IFNB* mRNA negatively regulates
- 34 type I interferon responses to infections.

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- When mice with a missense mutation in *Rc3h1* were crossed with *Rag1*-deficient mice (which lack T and B cells), the offspring developed severe arthritis induced by **arthritogenic serum injection** relative to wild-type mice [91, 92]. This suggested that ROQUIN-1 activity in nonlymphoid cells may help prevent excessive inflammatory responses [91].
- 6

1

Overall, these studies revealed that REGNASE-1 and ZFP36 regulate TLR signaling-dependent expression
of inflammatory cytokines. On the other hand, m6A modification recruitment of YTHDF2 is critical for type
I interferon responses against viral infection. However, although ZFP36 and YTHDF2 recruit the CCR4NOT complex for endonuclease-dependent RNA degradation, genetic evidence of CCR4-NOT complex
recruitment in these innate immune responses has not been reported, and might be a fruitful area of future
investigation.

13

#### 14 RNA decay pathways in non-immune cell functions

Recent studies have shown that RNA decay regulators in non-immune cells can regulate immune responses.
A recent study found that epithelial cell-specific deletion of the *Zfp36* gene provokes spontaneous skin
inflammation with **psoriasis**-like lesions, and **dactylitis** in mice [93], similar to the pathology of
conventional *Zfp36*-deficient mice. The authors further showed that inflammatory pathology of epithelialspecific *Zfp36*-deficient mice was alleviated by TNF deletion in skin epithelial cells [93]. Thus, it is likely
that ZFP36 inhibits inappropriate TNF expression in skin epithelial cells.

21

22 Moreover, immunological roles of REGNASE-1 in nonimmune cells have also been reported in lung and 23 colon epithelial cells. In murine lung, REGNASE-1 is down-regulated by administration of heat-killed 24 Pseudomonas aeruginosa [94]. In contrast to wild-type mice, lung epithelial-specific deletion of the Zc3h12a gene augmented innate immune responses, and enhanced IgA secretion and Th17 cell 25 26 accumulation in the lung after Pseudomonas aeruginosa infection in mice [94]. Colon epithelium-specific 27 deletion of Zc3h12a conferred resistance to dextran sodium sulfate-inducing colon inflammation relative to wild-type [95]. Mechanistically, REGNASE-1 could regulate mTOR signaling and purine metabolism under 28 29 inflammatory conditions in the colon [95]. These findings demonstrate critical roles of REGNASE-1 in 30 immunological barrier cells to inhibit excess inflammatory responses.

- 31
- In contrast to these RBPs, involvement of the CCR4-NOT complex in immunological functions of epithelial
  barriers remains unclear. However, deletion of *Cnot3* or *Cnot1* in pancreatic β-cells [96], hepatocytes [97,

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- 1 98], and adipocytes [99, 100] augmented expression of inflammation-related genes, which may imply
- 2 involvement of the CCR4-NOT complex in immunological barrier functions.
- 3

Although involvement of RNA degradation machinery in these processes has not been clearly demonstrated,
these findings suggest that RNA-decay systems may be important not only in immune cells, but also in cells
interacting with the immune microenvironment in various tissues, functions that certainly merit further
investigation.

8

## 9 RNA decay systems in human disease onset

A previous study showed that CNOT3 mutation is associated with the development of human T-All [15],
and also that reduced expression of *Not3* promotes tumor development in a *Drosophila sp* tumor model
[101]. As mentioned, considering that deletion of the ZFP36L1 and ZFP36L2 causes T-All in a mouse
model [58], this finding is consistent with the hypothesis that mRNA decay mediated via ZFP36L1/2 CCR4-NOT signaling ordinarily inhibits onset of T-All in humans, a hypothesis that remains to be tested

- 15 [15].
- 16

17 From another perspective, a recent study suggested the involvement of ROQUIN-1 in human

hyperinflammatory syndrome. Indeed, a homozygous nonsense mutation in RC3H1 was reported in a 18 patient presenting with hyperinflammatory syndrome [14]. Consistent with the expectation that this mutation 19 would yield truncated, dysfunctional ROQUIN-1 protein, CD4<sup>+</sup> T cells from the patient displayed increased 20 expression of both ICOS and OX40, similar to what has been observed in an *Rc3h1* point-mutant mouse 21 22 model [9]. Notably, the truncated ROOUIN-1 protein generated by the human mutation was incapable of interacting with the CCR4-NOT complex, delaying deadenylation of the target ICOS mRNA. Thus, it is 23 24 reasonable to speculate that RNA decay via the Roquin-1-CCR4 NOT pathway might inhibit human hyperinflammatory syndrome, but this remains to be investigated. 25

26

Recent studies revealed the involvement of REGNASE-1 in human ulcerative colitis (UC) development
[102, 103]. Whole exosome sequencing of crypts [103] and colon organoids [102] from UC patients
revealed somatic mutations in the DSGxxS motif of REGNASE-1. Mutations in this motif reportedly cause
stabilization of REGNASE-1 protein in murine macrophages, thereby enhancing degradation of target
mRNAs [85]. Consistently, human colon organoid and cell lines expressing the mutant proteins reduced
expression of target mRNAs, such as NFKBIZ, compared to wild-type protein [102, 103].

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©2021. This manuscript version is made available under the CC-BY-NC-ND 4.0 license 11 http://creativecommons.org/licenses/by-nc-nd/4.0/ Taken together, inflammatory diseases and tumor incidents might be provoked by the dysregulation of the
 RNA decay machineries. Further researches should be necessary to address possible involvement of the

- 3 RNA decay pathway in other human immune diseases.
- 4

# 5 What are the benefits of RNA-decay systems in immune cell development and immunological

### 6 responses?

7 As described, RNA decay pathways function in inflammatory responses, cell survival, and differentiation. During these cellular processes, in many cases, transcription of mRNAs encoding functional proteins is 8 induced rapidly and simultaneously. On the other hand, over-production of these proteins needs to be 9 10 prevented to avoid aberrant cellular responses. mRNA decay mechanisms diminish protein abundance more 11 rapidly than transcriptional suppression. However, once proteins are generated, indirect reduction of protein concentrations by RNA decay mechanisms should be slower than direct protein degradation. Accordingly, 12 we hypothesize that RNA decay-dependent regulation of protein concentrations may function most 13 effectively when gene expression is induced by signaling, and also before protein translation is completed. 14 Therefore, RNA decay-dependent regulation may occur when gene expression is dynamically induced, such 15 16 as during inflammatory responses, cell survival, and differentiation, a hypothesis that merits further 17 attention.

18

One advantage of mRNA decay may involve energy constraints. Theoretical calculation of energy necessary to maintain cellular mRNA and protein amounts reveals that protein synthesis consumes more than 90% of the energy whereas less than 10% is necessary for transcription [104]. Therefore, mRNA turnover may conserve energy in regulating protein abundance, which may also represent another interesting area for future investigation, with relevance for immune cell, as well as non-immune cell functional outcomes.

24

#### 25 Concluding Remarks

RNA decay systems regulate lymphocyte development. Given that lymphocyte development proceeds via 26 27 multiple steps, RNA decay pathway functions in other differentiation stages and unconventional lymphocyte development should be addressed (see outstanding questions). Moreover, beside REGNASE-1, functions of 28 29 RNA decay machinery in nonlymphoid cells during inflammatory responses also need to be clarified. In terms of mechanisms, it remains largely unclear how RNA decay pathways choose their target genes. Thus, 30 selective mRNA decay appears to be regulated by not only RNA-binding molecules, but also by epigenetic 31 modification, cross-talk with transcriptional machinery, and localization in the cell. Complex combinations 32 33 and interactions of regulatory mechanisms seem to be involved in determining mRNA half-lives. Trans-34 omics and mathematical analysis may help reveal a complete picture of regulatory mechanisms underlying

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- 1 selective mRNA decay in immune responses and development. Finally, involvement of mRNA decay
- 2 pathways in human physiology and pathology should be further investigated. Such information would be
- 3 beneficial in developing therapies targeting mRNA decay pathways.
- 4

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- 8

## 9 Declaration of Interests

- 10 The authors declare that they have no competing interests related to this work.
- 11

#### 1 Figure Legends

#### 2 Figure 1. Exonuclease- and endonuclease-dependent mRNA decay pathways in vertebrates.

3 Some mRNA decay systems modulate amounts of proteins dynamically in order to regulate mRNA stability. 4 Such "active" RNA decay pathways mainly comprise the exonuclease and endonuclease pathways [18, 22]. In the exonuclease pathway (a), deadenylase complexes such as the CCR4-NOT complex, the PAN2-PAN3 5 6 complex, and PARN (here only the CCR4-NOT complex is shown) are recruited to mRNAs to shorten their 7 poly A tails. After polyA tails are shortened, the Lsm1-7/Pat1 complex interacts with 3'-terminal adenosine extensions and recruits de-capping enzymes DCP2 and NUDT16 (here only DCP2 is exhibited). After de-8 capping, mRNAs undergo degradation by exonuclease XRN1 from their 5'-ends and exosomes from their 9 10 3'-ends. (b) Endonuclease recruited to mRNAs generates unprotected 5'- and 3'-ends. These unprotected 11 ends are recognized and undergo degradation by XRN1 and exosomes.

12

Figure 2. CCR4-NOT complex-dependent mRNA decay participates in the transition from pro-B to 13 pre-B cells in mice. V(D)J recombination in B cells is mediated by the RAG protein complex, consisting of 14 RAG1 and RAG2. RAG2 protein expression is restricted in the G0-G1 phases of the cell cycle [50-53]. 15 16 ZFP36L1 or ZFP36L2 binds to mRNAs encoding cell cycle entry genes via recognition of the AU rich 17 element (ARE) in the 3'-UTR in mammalian cells [3] [54]. After binding to the ARE, ZFP36L1 and ZFP36L2 recruit the CCR4-NOT complex. That complex, in turn, initiates degradation of poly A tails via 18 the exonuclease pathway (see Fig. 1a), which finally causes complete degradation of target mRNAs 19 encoding cell-cycle entry proteins. Accordingly, this mRNA decay pathway should be crucial to induce 20 21 quiescence of Pro-B cells to maintain expression of RAG2 protein. Similar events may occur in the DN3 22 stage of thymocyte development.

23

Figure 3. Control of thymic positive selection by the CCR4-NOT complex in mice. Positive selection of 24 CD4<sup>+</sup>CD8<sup>+</sup> thymocytes proceeds through CD69<sup>low</sup>CD3<sup>int</sup>, CD69<sup>high</sup>CD3<sup>int</sup>, CD69<sup>high</sup>CD3<sup>high</sup>, and 25 CD69loCD3<sup>high</sup> stages in the thymus [105]. During this process, the CCR4-NOT complex purportedly 26 inhibits inappropriate apoptosis [4]. Expression of some subunits of the CCR4-NOT complex is up-27 regulated in CD3<sup>int</sup>CD69<sup>lo</sup> thymocytes from DN thymocytes. Thymocytes receive self-peptide-MHC 28 complex signaling from cortical thymic epithelia cells (cTECs), and induce CD69 expression on the cell 29 surface. In CD69<sup>high</sup>CD3<sup>int</sup> thymocytes, although transcription of *Dap2ip* mRNA, encoding anti-apoptotic 30 DAP2IP, is up-regulated, it undergoes degradation by the CCR4-NOT complex. After this process, 31 CD69<sup>hi</sup>CD3<sup>int</sup> thymocytes differentiate into CD69<sup>hi</sup>CD3<sup>int</sup> thymocytes where the CCR4-NOT complex 32 inhibits up-regulation of *Bbc3* mRNA, encoding pro-apoptotic BBC3 proteins in CD3<sup>hi</sup>CD69<sup>lo</sup> thymocytes. 33 34

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## 1 Figure 4. Possible mechanisms regulating target selection of mRNA decay pathways in eukaryotes.

- 2 Cis-regulatory elements in mRNA should be determinants of selection of target mRNAs via binding of
- **3** RBPs and non-coding RNAs (i.e., miRNA) [106-108]. miRNAs form complexes with Ago family proteins
- 4 on their target sequences. This complex recruits RNA decay machinery, such as the CCR4-NOT complex
- 5 [93]. m6A modification occurs in the nucleus and is determined by the rate of transcription. Thus, "slow"
- 6 transcription may tend to permit mRNA to undergo m6A modification [85]. m6A modification is recognized
- 7 by YTHDF2, which in turn recruits the CCR4-NOT complex for exonuclease degradation. In addition,
- 8 localization of mRNAs may influence selection of target mRNAs [44]. In addition to its deadenylase
- 9 activity, the CCR4-NOT complex also functions as a transcriptional regulator [24], although it is unclear
  10 how these two functions are correlated.
- 11
- 12

## 13 Box 1. B and T cell development in humans and mice.

- 14 In adult bone marrow, lymphoid progenitor cells differentiate into pro-B cells in vertebrates[47].
- 15 Recombination of immunoglobulin heavy chain genes (V(D)J recombination) occurs in pro-B cells, which
- 16 differentiate into pre-B cells that express pre-B cell receptors. Pre-B cells undergo further differentiation,
- 17 giving rise to immature B cells that express B-cell antigen receptors.
- 18
- Conventional T cell development occurs mainly in the vertebrate thymus [105]. Briefly, progenitor cells 19 from bone marrow enter the thymic cortex and differentiate into CD4<sup>-</sup>CD8<sup>-</sup>(DN) thymocytes. Through 20 expression of CD25 and CD44 (CD117), DN thymocytes differentiate into DN1  $\rightarrow$  DN2  $\rightarrow$  DN3  $\rightarrow$  DN4. 21 22 DN4 gives rise to  $CD4^+CD8^+$  (DP) thymocytes [105]. Recombination of the T cell antigen receptor (*TCR*) gene occurs during the DN3 and DP thymocyte stages [105]. In the thymic cortex, DP thymocytes 23 interacting with self-antigen on MHCs of cortical thymic epithelial cells are selected (positive selection) and 24 further differentiate into CD4 or CD8 single-positive T cells [109]. After further elimination of self-reactive 25 T cells in the thymic medulla (negative selection), T cells egress from the thymus for immune responses in 26 peripheral tissues. 27
- 28

## 29 Box 2. Mechanisms that determine targets for RNA decay

- Mechanisms triggering selective RNA decay should depend on *cis*-acting mRNA sequence elements, in
   addition to regulatory factors (Fig. 4) that recruit RNA-degradative enzymes to appropriate targets. In
   addition, target selection may depend on other factors, such as transcription rate and RNA modification (Fig.
   4).
- 34

©2021. This manuscript version is made available under the CC-BY-NC-ND 4.0 license 15 http://creativecommons.org/licenses/by-nc-nd/4.0/ 1 The RNA-binding protein family regulates RNA decay by binding specific sequences and structures.

Although members of the ZFP36 family interact with AREs [64], AU-rich sequences are estimated to occur 2 in 3'-UTRs of more than 8% of all mRNAs [110]. However, target genes actually regulated by ARE-binding 3 4 proteins appear to be limited. Many ARE-binding proteins function as multimers and are phosphorylated by different kinases [22]. These up-stream regulatory mechanisms should contribute to selective degradation of 5 target mRNAs in a cell- and response-dependent manner. Moreover, cooperative and competitive interaction 6 7 among RBPs may be involved in specifying target mRNAs. Of note, REGNASE-1 and ROQUIN recognize a common, conserved, stem-loop motif [44] [111]. However, REGNASE-1 and ROQUIN function in 8 endoplasmic reticulum and processing-body/stress granules, respectively [44]. Therefore, in eukaryotes they 9 10 control decay of common mRNAs in distinct spatiotemporal processes, depending on translational activity 11 of mRNAs [44]. Thus, targeting of mRNAs for decay may be coupled with translation. For another instance, ZFP36 and ROQUIN family bind to AREs and stem-loop forming elements in 3'-UTRs of target mRNAs 12 [44, 61, 112, 113], respectively. Because 3'-UTRs of *Tnfa* and *Il-6* mRNAs contain both AREs and stem-13 14 loop forming elements [44, 61, 112-114], there may be some functional interactions between ZFP36 and ROQUIN family. However, whether ZFP36, ROQUIN1/2 and m6A modification promote degradation of 15 16 such mRNAs additively, synergistically, or exclusively has not been determined.

17

Beside RBPs, non-coding RNAs should be candidates to determine targets for RNA decay in immune 18 regulation [106-108]. MicroRNAs (miRNAs) can influence the rate of mRNA decay directly by precluding 19 binding of mRNA decay factors. Whereas each miRNA has many target genes, one mRNA may be 20 21 regulated by many different miRNAs simultaneously. Thus, a combination of expressed miRNAs may also 22 contribute to target mRNA selection. Moreover, miRNAs form complexes with Ago family proteins on their target sequences, thereby recruiting the CCR4-NOT complex or the PAN2-PAN3 complex in eukaryotes 23 [115]. In addition to Ago2, complex formation of other RBPs with miRNA may also be involved in 24 specifying target mRNAs. 25

26

RNA epigenomic modification confers target selectivity for mRNA decay. Epigenomic RNA modification is
regulated by balancing methyltransferases, demethylases and its binding proteins [73]. These up-stream
regulators may function depending on cellular responses, thereby specifying mRNA targets for decay. a
recent study showed that m6A modification is determined by the rate of transcription in mammalian cell
lines [116]. Slow transcription and subsequent modification may thus allow enough time for mRNA to
undergo m6A modification. This suggests that the rate of transcription is coupled to RNA decay mediated
by the CCR4-NOT complex by m6A modification.

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- 1 The CCR4-NOT complex controls transcription in addition to deadenylation of mRNAs [24], suggesting
- 2 that the CCR4-NOT complex may contribute to coupling between transcription and mRNA degradation.
- 3 Indeed, the CCR4-NOT complex binds to EBF1 and modifies both transcription and RNA degradation in B
- 4 cell development [2]. Additionally, some mechanisms for coupling transcription and RNA decay have been
- 5 reported in yeast [117] [118] [119]. Thus, a similar mechanism may be involved in selection of target
- 6 mRNAs in RNA decay systems.
- 7
- 8 Finally, it is possible that defects in the general mRNA decay pathway and the subsequent increase of global
  9 mRNA expression could trigger cellular responses [20]. In this case, cellular responses would be
- 10 independent of protein functions encoded by target mRNAs.
- 11
- 12 Overall, several mechanisms appear to be involved and to interact with each other in selection of target
- 13 mRNAs for RNA decay machinery. Detail mathematical modeling and trans-omics studies may help to
- 14 resolve this complexity.
- 15

- 1 Glossary
- 2
- Non-sense-mediated RNA decay: An mRNA decay mechanism that degrades mRNAs containing
   premature translation-termination codons.
- 5 No-go mRNA decay: An mRNA decay mechanism that eliminates mRNAs containing stalled ribosomes
- 6 Non-stop mRNA decay: An mRNA decay mechanism that eliminates mRNAs lacking stop codons.
- 7 CCR4-NOT complex: Carbon catabolite repressor 4 (CCR4)- Negative on TATA(Not) is a multi-protein
- 8 complex having the dominant role in catalysis of mRNA deadenylation in eukaryotes.
- 9 PAN2-PAN3: A protein complex consisting of the poly A nuclease (PAN) 2 and PAN3. PAN2 has
- 10 exonuclease activity.
- 11 **PARN:** polyA-specific ribonuclease (PARN) is a polyA-specific 3- exonuclease.
- 12 RAG2 protein: a subunit of the RAG recombinase complex that induces V(D)J recombination
- 13 Exosome: A ribonuclease complex that processes degradation of RNA in the 3'-to-5' direction
- 14 Marginal zone B cells: An innate B-like lymphocyte subset that resides in the marginal zone of the spleen.
- 15 Marginal zone B cells rapidly respond to antigens.
- 16 T cell acute lymphoblastic leukemia: An aggressive hematologic malignancy that results from
- 17 transformation of immature T-cell progenitors.
- β-selection: A mechanism eliminating thymocytes that fail rearrangement of the functional T-cell antigen
  receptor beta chain.
- 20 DNA damage responses: Cellular processes including DNA-damage sensing, DNA-lesion repair, and
   21 damage tolerance.
- 22 V(D)J (variable-diversity-joining) recombination: The somatic rearrangement of respective V(variable),
- D(diversity) and J(joining) gene segments of T-cell antigen receptors (TCR) and immunoglobulin genes to
   produce a diverse repertoire.
- 25 Cellular quiescence: A cell state of reversible proliferative arrest. Quiescent cells are able to reenter the cell
- 26 cycle upon receiving an appropriate stimulus.
- 27 Systemic lupus erythematosus: A chronic autoimmune disorder that has various manifestations in multiple
  28 organs.
- **29** Follicular helper T cells: A subset of CD4(+) T cells that promote differentiation of B cells into plasma
- 30 cells and memory B cells.
- 31 Germinal center B cells: A subset of B-cells in dark zones of the germinal center, which is specialized for
- 32 various B cell responses depending on T cells. Germinal center B cells divide rapidly and undergo somatic
- 33 hypermutation of their immunoglobulin (Ig) variable domains.

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- Stem-loop RNA structure: Structure of single-stranded RNA and DNA, consisting of a stem, double helix,
   and a loop.
- **3 Psoriasis**: An immune-related chronic skin disease that causes red, flaky, crusty patches of skin.
- **4** Th17: A helper T cell subset express IL-17A and the lineage-defining transcription factor RORγt. Th17 is
- 5 crucial for clearance of extracellular bacterial and fungal infections, but also promotes inappropriate
- 6 inflammation and autoimmune disorders.
- Polysomal fraction: A fraction containing a complex of mRNA and several ribosomes that corresponds to
  active mRNA translation.
- 9 Cancer immunotherapy: A therapy used to treat cancer patients that uses the immune system to prevent
  10 and eliminate cancer.
- 11 Arthritogenic serum injection: A murine model for rheumatoid arthritis and other arthritides. In this mouse
- 12 model, serum from transgenic K/BxN mice is transferred to naive mice.
- **13 Dactylitis**: Inflammation of a digit (either finger or toe)
- Regulatory T cells: A T cell subset that maintain self-tolerance and immune homeostasis by preventing
   excessive immune activation.
- Hyperinflammatory syndrome: Severe inflammatory diseases provoked by dysregulated immune cell
   activation and cytokine expression in the body.
- 18 Toll-like receptors: Membrane-bound receptors that initiate the early immune response by recognizing
- 19 conserved molecular patterns of pathogens.
- 20
- 21

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#### **Outstanding Question Box**

Do RNA decay systems regulate other differentiation and selection stages of lymphocytes? Other types of lymphocyte selection, such as negative selection and agonistic selection could be regulated by RNA decay mechanisms, and their disruption could cause immune-related diseases.

Are RNA decay pathways involved in responses of other immune cell types, including unconventional lymphocytes or other innate lymphoid cells beside ILC2? Precise regulation of inflammation and immune responses may be achieved by the RNA decay machinery in some minor cell populations.

What mechanisms identify target mRNAs in RNA decay systems in immune cells? The complexity of RNA decay regulation should be clarified for understanding global and dynamic regulation of mRNA abundance in the cells. Trans-omics and mathematical modeling analysis should be useful to address this issue.

How does RNA decay machinery influence nonimmune cells that create the microenvironment for immune cell development and responses?

Is the RNA decay system involved in the onset of other immune-related diseases and tumors in humans? Dysregulation of RNA decay machinery might provoke human diseases such as ulcerative colitis and leukemia. It may also influence onsets of other inflammatory diseases and tumor incidence.

Can manipulation of RNA decay systems benefit other immune-related diseases, as well as cancer immunotherapy? Manipulation of RNA decay pathways may be useful for precise control of some human diseases.