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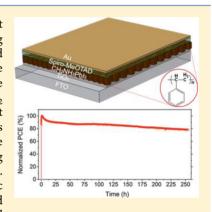
¹ Engineering Interface Structure to Improve Efficiency and Stability of ² Organometal Halide Perovskite Solar Cells

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7 Supporting Information

ABSTRACT: The rapid rise of power conversion efficiency (PCE) of low cost 8 organometal halide perovskite solar cells suggests that these cells are a promising 9 alternative to conventional photovoltaic technology. However, anomalous hysteresis and 10 unsatisfactory stability hinder the industrialization of perovskite solar cells. Interface 11 engineering is of importance for the fabrication of highly stable and hysteresis free 12 perovskite solar cells. Here we report that a surface modification of the widely used TiO₂ 13 compact layer can give insight into interface interaction in perovskite solar cells. A highest 14 PCE of 18.5% is obtained using anatase TiO_{2} , but the device is not stable and degrades 15 rapidly. With an amorphous TiO₂ compact layer, the devices show a prolonged lifetime 16 but a lower PCE and more pronounced hysteresis. To achieve a high PCE and long 17 lifetime simultaneously, an insulating polymer interface layer is deposited on top of TiO₂. 18 19 Three polymers, each with a different functional group (hydroxyl, amino, or aromatic 20 group), are investigated to further understand the relation of interface structure and device PCE as well as stability. We show that it is necessary to consider not only the band 21



22 alignment at the interface, but also interface chemical interactions between the thin interface layer and the perovskite film. The 23 hydroxyl and amino groups interact with CH₃NH₃PbI₃ leading to poor PCEs. In contrast, deposition of a thin layer of polymer

consisting of an aromatic group to prevent the direct contact of TiO₂ and CH₃NH₃PbI₃ can significantly enhance the device

stability, while the same time maintaining a high PCE. The fact that a polymer interface layer on top of TiO_2 can enhance device

stability, strongly suggests that the interface interaction between TiO_2 and $CH_3NH_3PbI_3$ plays a crucial role. Our work highlights

the importance of interface structure and paves the way for further optimization of PCEs and stability of perovskite solar cells.

28 INTRODUCTION

29 Highly efficient perovskite solar cells (PSCs) have attracted 30 great interest due to their potential as a low cost alternative to 31 traditional silicon solar cells. The power conversion efficiency 32 (PCE) of PSCs increased from 3.8% to over 20% in just 6 33 years.¹⁻³ However, the anomalous hysteresis and poor stability 34 hinder the industrialization of perovskite-based devices. 35 Stability issues can be attributed mainly to three factors. The 36 first factor is the perovskite active layer itself.⁴ To improve the 37 stability of PSCs, mixed cation and/or halide perovskites have 38 been synthesized, and these mixed cations or halide ions can 39 stabilize the structure, and thus enhance stability under 40 operation.⁵⁻⁸ The second factor influencing device stability of 41 PSCs is the choice of other layers in PSCs, including bottom/ $^{\rm 42}$ top electrodes, $^{\rm 9}$ hole transporting layers (HTLs), $^{\rm 10-12}$ and 43 electron transport layers (ETLs).^{13,14} The third factor of 44 importance for stable PSCs is the interface at the bottom/top 45 of the perovskite active layer.¹⁵ The interface layer might 46 interact with the active layer, and trapped charges at interfaces 47 can induce the degradation of PSCs.¹⁶ In addition, the presence 48 of interface trap states has been proposed to be one of the main 49 causes for the anomalous hysteresis effect.^{17,18} Furthermore, 50 recombination at the interface between the charge extraction

layer and perovskite layer is reported to be more dominant than 51 that at grain boundaries.¹⁹ 52

The $\overline{\text{TiO}}_2$ ETL is commonly used in PSCs, and is a low cost 53 and promising option for high performance devices.²⁰ PSCs 54 based on $\overline{\text{TiO}}_2$ ETL often show anomalous hysteresis.²¹ To 55 address the hysteresis/stability problems of $\overline{\text{TiO}}_2$, surface 56 modification,²² metallic ion doping,^{23,24} surface passivation 57 with chloride,²⁵ have been investigated. In addition, many 58 alternatives, such as SnO_2 , ZnO, and PCBM^{26-28} have been 59 investigated. However, in many cases the better performing 60 devices are still the ones using TiO_2 ETL, and the alternatives 61 often cause other problems. For example, ZnO can degrade the 62 perovskite active layer and is not suitable for stable PSCs.¹⁴ 63 Although many modifications to TiO_2 have been shown to 64 improve the performance of PSCs, it is still inconclusive how 65 the structures/properties of the compact layer influence the 66 performance of PSCs. There are a number of reports 67

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68 investigating the intrinsic properties of the $TiO_2/perovskite$ 69 interface. For instance, defective anatase TiO_2 has been 70 reported to lead to higher performance.²⁹ Trapped charges at 71 the interface between perovskite and TiO_2 can cause both 72 anomalous hysteresis and degradation.¹⁶ Furthermore, the 73 photocatalytic activity of TiO_2 can degrade the perovskite active 74 layer under ultraviolet illumination.^{30,31} To eliminate the 75 stability issue of PSCs that comes from ultraviolet illumination 76 on TiO_2 ETL, a photocurable fluoropolymer that functions as 77 ultraviolet absorption coating has been studied.³² With an 78 ultraviolet cutoff filter the solar cell operates at maximum power 79 output point for more than 500 h retaining 95% of its initial 80 PCE.²⁵ However, this filter will lower the absorption of incident 81 light. Engineering the interface to achieve both high PCE and 82 stability of PSCs remains a grand challenge.

In this work, we demonstrate that the structure of TiO_2 is 83 84 important for the PCE and stability of PSCs. The performance 85 of a planar structure solar cell has a PCE higher than 18% using 86 crystalline TiO₂ and shows less hysteresis than amorphous 87 TiO₂ with a PCE of approximately 17%. However, the PCE of 88 PSCs based on crystalline TiO₂ ETL drops much faster than 89 that of PSCs based on amorphous TiO₂ ETL. Without the 90 TiO₂ compact layer the device exhibits even better stability, but 91 the PCE is significantly lower (usually <14%). Based on these 92 observations, we conclude that it is the direct contact 93 interaction between TiO₂ and CH₃NH₃PbI₃ that causes the 94 PCE deterioration. We propose an insulating polymer interface 95 layer for surface modification of TiO2. Polyethylenimine 96 ethoxylated (PEIE), polyethylenimine (PEI), and polystyrene 97 (PS), consisting of hydroxyl, amino and aromatic groups, 98 respectively, are chosen as three candidate polymers. A thin 99 polymer layer as a tunneling layer can suppress the interaction 100 between TiO₂ and perovskite layer.³³ We find that a PEIE or 101 PEI layer causes the solar cell PCE to decrease significantly. 102 Here the polar functional groups, such as hydroxyl and amino 103 groups, might have a negative effect on CH₃NH₃PbI₃ and 104 therefore are not suitable as modifiers. With a PS layer the solar 105 cell PCE does not show much decrease, and more importantly 106 it exhibits a prolonged lifetime. In dry N₂ the solar cell is stable 107 for more than 250 h under the continuous operation at the 108 maximum power point.

109 **EXPERIMENTAL SECTION**

Fabrication of Solar Cells. Patterned FTO substrates were 110 111 first washed sequentially in 1 wt% sodium dodecyl sulfate 112 solution, water, isopropanol, and acetone using an ultra-113 sonicator. Before use, the FTO substrates were treated with 114 UV-Ozone for 15 min. Amorphous TiO₂ was deposited with a 115 radio frequency sputtering technique (Vacuum Sputter 116 Deposition System, CAM-S, ULVAC) in a condition of 10 117 sccm Ar with a power of 180 W for 45 min. Sintered compact TiO₂ layer was fabricated by annealing the amorphous 118 119 sputtered TiO₂ thin film at 500 °C. PEIE (polyethylenimine, 120 80% ethoxylated solution, 35–40 wt% in H₂O, Mw ~ 70000) 121 and PEI (branched, Mw \sim 25000) was purchased from Sigma-122 Aldrich and diluted with methoxyethanol. For PEIE and PEI 123 layers the solution was spun onto TiO₂ at 5000 rpm for 60 s. 124 Polystyrene was purchase from Aldrich (Mw ~ 350 000) and 125 dissolved into o-dichlorobenzene with a concentration of 0.2 126 mg/mL. The solution of CH₃NH₃PbI₃ (1.2 M) was made by 127 mixing PbI₂ (TCI) and CH₃NH₃I (dyesol) into a DMF and 128 DMSO mixed solvent (v/v = 7/1). For the CH₃NH₃PbI₃ layer, 129 a 20 μ L solution was cast onto substrates and spin coated at 2800 rpm for 25 s. After 10 s of spinning, 200 μ L of diethyl 130 ether was drop cast onto the film. The CH₃NH₃PbI₃ film was 131 formed after annealing at 100 °C for 60 min in 5% 132 humidity.^{34–36} A hole transport material solution (29 mg of 133 spiro-MeOTAD (2,2',7,7'-tetrakis (*N*,*N*-di-*p*-methoxyphenyl- 134 amine)-9,9-spirobifluorene), 7 μ L of lithium bis-135 (trifluoromethylsulfonyl) imide solution (520 mg/mL in 136 acetonitrile), and 11.5 μ L of 4-*tert*-butylpyridine in 400 μ L 137 chlorobenzene) was spin coated at 3000 rpm for 30 s on top of 138 CH₃NH₃PbI₃ film. Finally, a 70 nm thick Au film was deposited 139 to complete the solar cell.

Characterization. The thickness of the amorphous TiO₂ 141 layer and perovskite active layer was measured with a surface 142 profiler (Bruker Dektak XT). The transmittance and 143 absorbance spectra were measured with a UV-vis spectrometer 144 (Jasco V-670). The surface morphology and element 145 distribution characterization was performed in a scanning 146 electron microscope (FEI Quanta 250 FEG). The ultraviolet 147 photoemission spectroscopy (UPS) and X-ray photoelectron 148 spectroscopy (XPS) spectra were recorded from an X-rav 149 photoelectron spectrometer (XPS-AXIS Ultra HAS, Kratos) 150 equipped with monochromatic Al-K α = 1486.6 eV and 151 nonmonochromatic He-I = 21.22 eV sources. UV and X-ray 152 induced sample damage was monitored by taking five 153 consecutive scans and by comparing these spectra. Crystal 154 structure of TiO₂ and CH₃NH₃PbI₃ was characterized with an 155 X-ray diffractometer (XRD) (Bruker D8 Discover). J-V curves 156 were recorded by a Keithley 2420 source meter under 157 illumination (100 mW/cm²) of simulated AM1.5 solar light 158 coming from a solar simulator (Oriel-Sol1A equipped with a 159 140 W Xe lamp and an AM1.5 filter). The light intensity was 160 calibrated using a reference Si solar cell. The effective area of 161 0.1 cm^2 was defined by a mask. For stability measurements, the 162 devices were operated at maximum power output under 163 continuous one sun illumination. A customized software was 164 used to record the maximum power output voltage and current 165 every 5 s. The devices were kept at the maximum power output 166 voltage during the intervals between consecutive measure- 167 ments. No UV-filters were used, i.e., the UV component is 168 included while under illumination. The stability measurement 169 was performed either in ambient air with a relative humidity 170 (RH) of 45% or in a nitrogen box with a RH below 5%. The 171 EQE spectra were characterized by an IPCE measurement 172 system (Oriel IQE 200). 173

RESULTS AND DISCUSSION

A planar structure in Figure 1a is used to fabricate PSCs in this 175 fl work. Compact TiO₂ and spiro-MeOTAD are used as ETL and 176 HTL, respectively. To understand how the TiO₂ structure will 177 influence the solar cell PCE and stability, both crystalline and 178 amorphous TiO₂ compact layers are used. Due to the 179 ambipolar properties of CH₃NH₃PbI₃, the perovskite itself 180 can transport both electrons and holes, which makes ETL-free 181 or HTL-free devices possible.³⁷⁻³⁹ ETL-free devices were 182 fabricated as control samples. On the top of FTO glass we first 183 sputtered a thin layer (thickness ~ 40 nm (Figure S1)) of 184 amorphous TiO₂. As comparison, the crystalline anatase TiO₂ 185 samples were prepared by annealing the sputtered film at 500 186 °C for 30 min (Figure 1b). The XRD peak at 25.3° appearing 187 after annealing is ascribed to the (101) diffraction plane of 188 anatase TiO₂ (JCPDS No.: 84-1286).⁴⁰ To further investigate 189 the structure/performance relation, a thin polar or nonpolar 190

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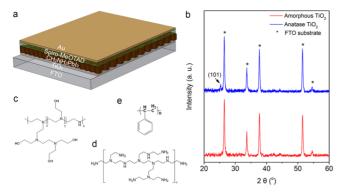


Figure 1. Solar cell structure and components. (a) Schematic drawing of a planar structure perovskite solar cell device. (b) XRD spectrum of amorphous and anatase TiO_2 compact layer. Molecular structure of (c) polyethylenimine ethoxylated (PEIE), (d) polyethylenimine (PEI), and (e) polystyrene (PS).

191 polymer modifier layer are introduced (Figure 1c-e) and will 192 be discussed in the following section.

The photovoltaic performance for substrates with or without 193 194 TiO₂ ETL is summarized in Figure 2a and Table 1. With a bare 195 FTO substrate, the solar cells show an average PCE of 12.3%. 196 All three photovoltaic parameters (i.e., open-circuit voltage 197 V_{OC} , short-circuit current density J_{SC} , and fill factor FF) of the 198 ETL-free devices are lower than those of the devices with TiO_2 199 ETL. With the introduction of amorphous TiO₂, a significant 200 increase in J_{SC} is observed. Due to the higher electron selecting 201 and hole blocking properties of the added TiO₂ layer, V_{OC} and 202 FF also increase. This amorphous TiO₂ behaves surprisingly 203 well achieving an average PCE of 16.4%. After annealing, the 204 amorphous TiO₂ converts to crystalline anatase TiO₂ with a 205 higher electron mobility.^{40,41} The highest PCE of 18.5% is 206 obtained, and the average PCE is 17.7%. Figure 2b clearly 207 reveals the difference in photovoltaic parameters for these three 208 kinds of devices. The most significant difference between anatase and amorphous TiO_2 is an increase in V_{OC} , which is 209 210 consistent with calculated results that the crystal structure of 211 TiO₂ affects the interface interaction and charge separation process.^{42,43} However, the solar cells based on the crystalline 212 anatase TiO₂ ETL show significantly worse stability than those 213 214 based on the amorphous TiO₂ ETL. For example, in ambient 215 conditions with a relative humidity (RH) of ~45%, the 216 maximum power point tracking (MPPT) measurement shows almost no loss of PCE after operation for 1 h for the solar cell 217 218 based on amorphous TiO_2 ETL (Figure 2c). For the anatase TiO₂ ETL-based device the PCE remains only ~80% after 1 h 219 220 MPPT. Although the solar cells based on amorphous TiO₂ ETL show better stability, the lifetime is still relatively short and 221 222 need further improvement. Device based on amorphous TiO₂ shows a T80 lifetime of 60 h with MPPT in a condition with 223 RH of 5% (Figure S2). 224

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Our experiment exhibits that devices based on the anatase 226 TiO_2 ETL show decreased hysteresis compared to the devices 227 based on the amorphous TiO_2 ETL (Figure 3a,b). Here the 228 hysteresis is defined as the PCE difference between reverse scan 229 and forward scan (Figure 3c). For planar structure PSCs based 230 on TiO_2 ETL, hysteresis is commonly observed.²¹ The 231 hysteresis might decrease with a lower density of defects and 232 trap states at the interface, as illustrated in PSCs based on SnO_2 233 ETL.⁴⁴ Also the higher electron extraction efficiency of anatase 234 TiO₂ can contribute to decreased hysteresis.²⁹ Compared with the PSCs based on amorphous TiO_2 ETL, the PSCs based on 235 crystalline anatase TiO_2 ETL show on average 2% lower 236 hysteresis, which is consistent with the reported study that 237 lower interface trap states help reduce hysteresis.¹⁷ 238

To understand the influences of different TiO₂ ETLs (i.e., 239 amorphous or anatase structure) on the PCE and stability, we 240 first performed conductivity measurement on both TiO₂ ETLs. 241 The thickness of TiO_2 is around 40 nm (Figure S1). Note that 242 the thickness of TiO₂ used in this work is substantially smaller 243 than the TiO₂ thickness in many reports (e.g., \sim 70 nm),^{45,46} ²⁴⁴ which we believe is part of the reason why perovskite solar cell 245 performance is not so sensitively dependent on crystallinity of 246 the TiO₂ electron transport layer. The conductivity of 247 amorphous TiO₂ and anatase TiO₂ are 3.0×10^{-4} S/cm and 248 6.6×10^{-4} S/cm, respectively. The conductivity of anatase 249 TiO₂ is indeed higher than that of amorphous TiO₂, but the 250 difference can still be considered to be gentle enough (well 251 below an order of magnitude). Therefore, on one hand, it 252 would be reasonable to expect a slightly higher performance for 253 perovskite solar cells based on anatase TiO₂, which is consistent 254 with what we found. On the other hand, such a difference did 255 not translate to a huge difference in perovskite solar cell 256 performance. In fact, the high density of oxygen vacancies in 257 amorphous TiO₂ can lead to similar conductivities measured in 258 anatase TiO₂ nanocrystals (5.1 \times 10⁻⁴ S/cm) or show even 259 higher conductivities than the traditional high temperature 260 spray coated TiO₂ (0.1 \times 10⁻⁴ S/cm).⁴⁷⁻⁴⁹ This high 261 conductivity makes room temperature sputtered TiO₂ as a 262 promising electron transport layer for high performance 263 perovskite solar cell applications. 2.64

We further performed UPS and XPS measurement on 265 amorphous and anatase TiO₂ ETLs (Figure 4). To be 266 f4 consistent with the device fabrication process, the TiO2 coated 267 substrates were treated with UV-Ozone for 15 min prior to 268 UPS/XPS measurements. We measured several batches of 269 samples, and the results are consistent and reproducible. The 270 work function of anatase TiO_2 is ~0.2 eV lower than that of 271 amorphous TiO₂ (Figure 4a). Assuming vacuum level align- 272 ment, the conduction band minimum (CBM) of anatase TiO2 273 is higher than amorphous TiO2, which might lead to a higher 274 $V_{\rm OC}$ because of better matching with perovskite CBM.⁵⁰ The 275 calculated atomic ratios suggest that both compact layers are 276 oxygen deficient at the surface (Figure 4c,d and Table S1). The 277 amorphous TiO₂ has more hydroxyl groups (associated with 278 the peak around 532 eV) on the surface according to the fitting 279 of O 1s core level spectrum (Figure 4d). The surface Ti-OH 280 groups might induce tail states, change the electronic structure 281 and further induce the localized band bending (Figure 4b).⁵¹ 282 These properties associated with amorphous TiO₂ are likely 283 responsible for the still relatively low lifetime obtained for PSCs 284 based on amorphous TiO₂ ETL. It is known that anatase TiO₂ 285 is an efficient photocatalyst under UV-light and this is one of 286 the reasons for the degradation of CH₃NH₃PbI₃ thus poor 287 stability of perovskite solar cells. For anatase TiO2, the higher 288 degree of crystallinity leads to more severe photocatalytic 289 interaction between the perovskite layer and anatase TiO₂, that 290 would further accelerate the degradation.³⁰ Because the 291 amorphous $\rm TiO_2$ has deep-level hole traps that reduce $_{292}$ photocatalytic activity, 29 PSCs based on amorphous TiO_2 $_{293}$ ETL show better stability than those based on anatase TiO_2 294 ETL. 295

Based on this observation, we wonder whether the direct 296 contact between TiO₂ and CH₃NH₃PbI₃ is the cause for device 297

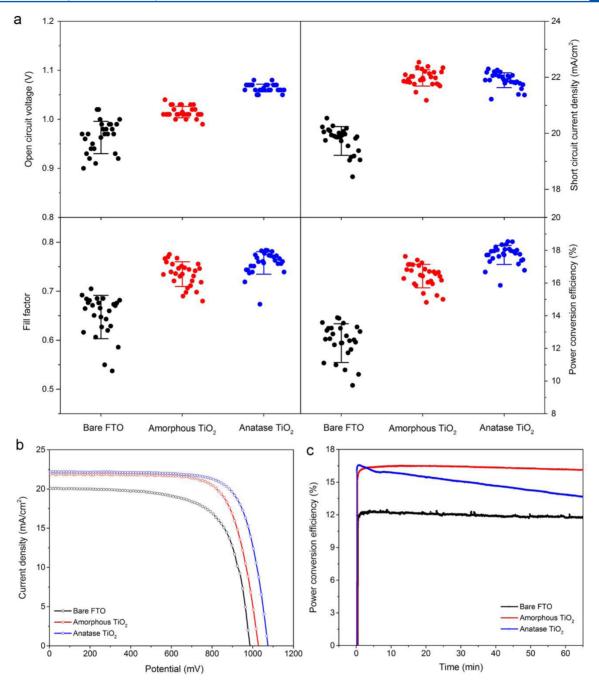


Figure 2. Solar cell performance on bare FTO, amorphous TiO_2 and anatase TiO_2 . (a) V_{OC} , J_{SC} FF, and PCE of 27 devices for each configuration. The devices were tested with a scan rate of 100 mV/s without preconditioning. (b) Typical J-V curves and (c) PCE monitoring with MPPT for solar cells based bare FTO, amorphous TiO_2 , and anatase TiO_2 ETL.

Table 1. Summary of the Photovoltaic Parameters Obtained from the Reverse Scan of the J-V Curves

substrate	$V_{\rm OC}$ (V)	$J_{\rm SC}$ (mA/cm ²)	FF (%)	PCE (%)
bare FTO	0.96 ± 0.03	19.7 ± 0.5	64.7 ± 4.4	12.3 ± 1.2
amorphous TiO ₂	1.01 ± 0.01	22.0 ± 0.3	73.5 ± 2.5	16.4 ± 0.7
anatase TiO ₂	1.06 ± 0.01	22.0 ± 0.3	75.8 ± 2.3	17.7 ± 0.6

²⁹⁸ instability, and how to modify the surface of TiO_2 to further ²⁹⁹ increase the PCE and stability of PSCs. In this work, we ³⁰⁰ propose to coat the TiO_2 surface with an interfacial layer to ³⁰¹ prevent the direct contact between TiO_2 and $CH_3NH_3PbI_3$. This strategy indeed leads to significantly improved stability of 302 our devices. It is possible to use an inorganic interface blocking 303 layer, ⁵² but the required complex process will limit its practical 304 applications. In this study we introduce a thin layer of stable 305 polymer to prevent the direct contact and interaction between 306 TiO₂ and perovskite. Polymers with polar and nonpolar groups 307 are chosen to illustrate how interface structure impact the PCE 308 and stability of solar cells. PEIE and PEI are widely used surface 309 modifier material for electronic devices, which consists of 310 hydroxyl or amino groups to lower the work function of 311 electrodes.⁵³ It has been successfully used in many organic 312 devices, including working as cathode modifier in PSCs.^{54,55} PS 313 is a commonly used insulating polymer material and has been 314

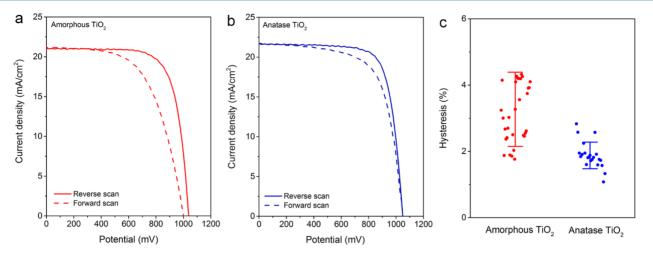


Figure 3. J-V curves by reverse and forward scan for devices based on (a) amorphous TiO₂ and (b) anatase TiO₂ substrates. (c) Hysteresis of solar cells based on amorphous TiO₂ and anatase TiO₂ substrates. The hysteresis is defined as the difference of PCE between reverse scan and forward scan.

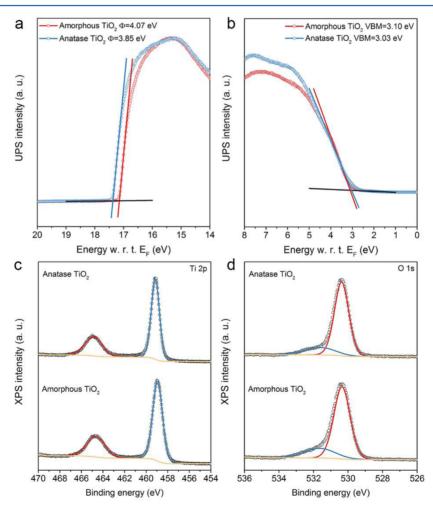


Figure 4. UPS/XPS of amorphous and anatase TiO_2 layers. (a) UPS (He–I) cutoff and (b) valence band spectrum in amorphous and anatase TiO_2 compact layer. (c) XPS (Al–K α) Ti 2p core level spectrum and (d) O 1s core level spectrum of amorphous and anatase TiO_2 compact layer.

³¹⁵ proposed as a potential alternative for PCBM, which is the ³¹⁶ electron transport material widely used in inverted structure ³¹⁷ perovskite solar cells.³³ These three polymers are stable in air ³¹⁸ and can be easily deposited by a solution spin-coating process. ³¹⁹ After coating with PEIE, PEI, or PS the transmittance of ³²⁰ electrode has no observable change (Figure S3), indicating negligible absorption loss due to the additional modifier layer. $_{321}$ The wettability of CH₃NH₃PbI₃ solution on amorphous TiO₂ $_{322}$ and anatase TiO₂ was investigated (Figure S4). We find that $_{323}$ amorphous TiO₂ has the poorer wettability compared with $_{324}$ anatase TiO₂. After UV-Ozone treatment (which is part of $_{325}$ perovskite solar cell device fabrication steps in this work), the $_{326}$

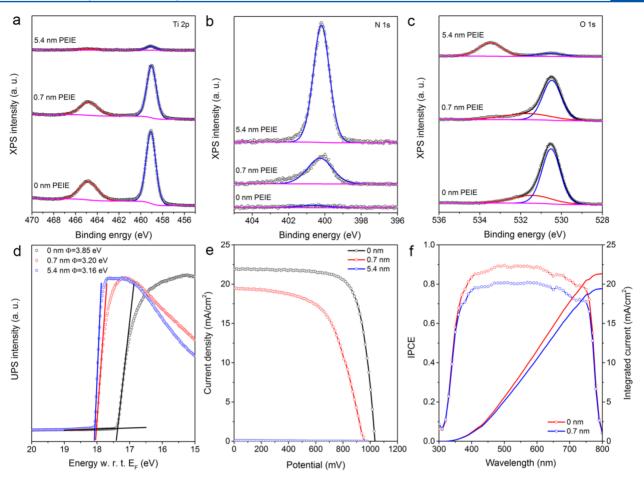


Figure 5. Photoemission spectroscopy measurement and solar cell performance with anatase $TiO_2/PEIE$ bottom layer. (a) XPS (Al–K α) Ti 2p, (b) N 1s, and (c) O 1s core level spectra of $TiO_2/PEIE$ substrates with increasing thickness of PEIE. (d) UPS (He–I) cutoff of $TiO_2/PEIE$ substrates with increasing thickness of PEIE. (e) and (f) Solar cell performance with different PEIE thickness on top of TiO_2 .

wettability could be enhanced for both amorphous TiO₂ and 327 anatase TiO₂. After coating PEIE, PEI, and PS the wettability of 328 CH₃NH₃PbI₃ solution does not show much difference, with a 329 little higher contact angle for PS interfacial layer (Figure S5). 330 Overall, the wetting properties are similar for all substrates with 331 different interfacial layers as well as UV-Ozone treated bare 332 amorphous and anatase TiO2, and therefore are expected to 333 only have a weak influence on perovskite solar cell performance 334 and stability. 335

Unlike previous reports, 54,55 here PEIE is chosen to both 336 337 lower the work function of TiO₂ and prevent direct contact between TiO₂ and CH₃NH₃PbI₃. PEIE forms a uniform and 338 full coverage film on the substrate (Figure S6). To confirm the 339 presence of PEIE layer after deposition, we performed XPS 340 measurements. We could clearly observe the N 1s peaks rising 341 while the Ti 2p signal dropping with the increasing thickness of 342 PEIE (Figures 5a,b). The two most significant features are the 343 drastic decrease of the Ti-O signal (associated with the peak 344 around 530.5 eV) and appearance of the H-O signal 345 (associated with the peak around 533.5 eV) after increasing 346 347 PEIE thickness (Figure 5c). The thickness of the PEIE layer is calculated based on XPS spectra,⁵⁶ which are similar to the 348 349 reported results based on ellipsometry measurements.⁵⁵ Two $_{350}$ thickness values (~0.7 and 5 nm) are investigated in this work. $_{351}$ After the deposition of a thin PEIE layer, a decrease of ~ 0.7 eV 352 in work function of the of FTO/TiO2 electrode is observed 353 based on the UPS measurements, suggesting the formation of an interface dipole (Figure 5d). Solar cell devices were $_{354}$ fabricated using this FTO/TiO₂/PEIE substrate. Although we $_{355}$ expect PCE and stability enhancement with this structure, the $_{356}$ PCE of these solar cells is very low (Figure 5e,f). With only a $_{357}$ 0.7 nm thick layer of PEIE, V_{OC} , J_{SC} , and FF all decrease $_{358}$ (Figure S7). With 5 nm thick PEIE, almost no photovoltaic $_{359}$ signal can be observed.

We observe no obvious difference between substrates based 361 on XRD and absorbance measurements of CH₃NH₃PbI₃ 362 (Figures S8-9). We propose that the deterioration of device 363 performance after insertion of PEIE is caused by the interface 364 interaction between polar PEIE molecules and CH₃NH₃PbI₃. 365 One of the features of CH₃NH₃PbI₃ is the ionic structure. It has 366 been reported recently that the surface modification of ETL 367 with self-assembled monolayer (SAM) for PSCs is different 368 from organic solar cells.⁵⁷ Due to the chemical interactions 369 between SAM and perovskite, the PCE of PSCs is not 370 enhanced as expected according to energy level alignment. To 371 address this issue, we introduced another polymer PEI into the 372 interface between TiO₂ and CH₃NH₃PbI₃. PEI is also a widely 373 used material, which has rich amino groups to lower work 374 function.⁵⁸ As illustrated in Figure S10a–c, with the increasing 375 thickness of PEI layer, the amino group signal (associated with 376 the peak around 400.5 eV in the N 1s core level spectrum) 377 increases and the signals associated with the elements in the 378 substrate (Ti and O) drastically decrease, which suggests a 379 uniform coverage of PEI layer on TiO2. Similar to the results 380

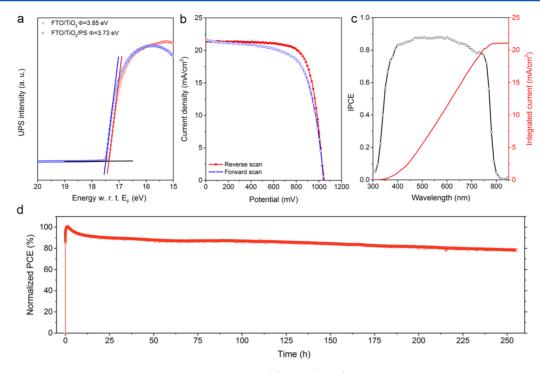


Figure 6. Solar cell performance with anatase TiO_2/PS bottom layer. (a) UPS (He–I) cutoff of FTO/TiO_2 and $FTO/TiO_2/PS$ substrates. (b) Reverse and forward J-V curves. (c) IPCE and integrated short-circuit current density. (d) Maximum power point tracking under 5% RH box.

³⁸¹ obtained with PEIE, the PCE of fabricated PSCs deteriorates ³⁸² with the PEI layer (Figure S10e). A decrease of ~1 eV of work ³⁸³ function (Figure S10d) is also observed, suggesting the ³⁸⁴ formation of dipole layer. This is consistent with the recently ³⁸⁵ reported interaction between perovskite and SAM on top of ³⁸⁶ SnO₂.⁵⁷ Our results imply that the presence of the interface ³⁸⁷ dipole could induce band bending, leading to the band edge ³⁸⁸ alignment shift and therefore deteriorating device perform-³⁸⁹ ance.⁴²

In contrast, the PS interface layer functions as a separation 390 layer to prevent the interaction between TiO_2 and 391 CH₃NH₃PbI₃. This insulating layer has been reported as the 392 tunneling layer for electron transport.³³ PS forms an aromatic 393 rich surface on top of TiO₂. We expect that only a weak van der 394 Waals bond forms between the substrate and perovskite.⁵⁷ UPS 395 measurements show that the PS layer lowers the work function 396 of the FTO/TiO_2 substrate slightly (Figure 6a). This 397 observation suggests no obvious formation of interface dipole 398 at PS and perovskite interface, indicative of weak interface 399 400 interaction. This is drastically different from the cases of PEIE 401 and PEI. Solar cells with and without the PS insulating layer 402 were fabricated and characterized. With an optimized process, the devices with PS exhibit PCE comparable to that of the 403 anatase TiO₂ ETL (Figure 6b). A highest PCE of 17.1% is 404 ⁴⁰⁵ obtained. There is still small hysteresis possibly due to other ⁴⁰⁶ factors such as ion migration in perovskite.^{59,60} The IPCE of the device is approaching 88% and integrated I_{SC} (21.1 mA/ 407 cm^2) is consistent with recorded I-V curve (21.4 mA/cm²) 408 (Figure 6c). Expectedly, with this PS insulating layer the device 409 410 shows enhanced stability both in air and dry conditions. With 411 this PS interface layer, the devices degradation slow down 412 significantly compared with the anatase TiO₂ substrate when 413 measured in a 45% RH air (Figure S11). When recording the 414 maximum power point output in a drybox with 5% RH the 415 device shows a lifetime longer than 250 h (Figure 6d), which is

even 4 times longer than amorphous TiO_2 compact layer based 416 planar structure devices without an interfacial layer. 417

The morphology of the CH₃NH₃PbI₃ films on various 418 underlying interfacial layers before and after thermal annealing 419 was investigated. We find that before thermal annealing, the 420 morphology of CH₃NH₃PbI₃ film is similar on various 421 underlying interfacial layers with a high coverage (Figure 422 S12). The morphology of the perovskites after the annealing 423 treatment on the various underlying interfacial layers is 424 provided in Figure S13. While after thermal annealing, both 425 top-view surface morphology and cross-section view images 426 clearly show that the morphology of CH₃NH₃PbI₃ is highly 427 dependent on the underlying interfacial layers. With PEIE and 428 PEI interfacial layers, the grain sizes of CH₃NH₃PbI₃ is much 429 smaller compared to that on amorphous TiO₂, anatase TiO₂ 430 and PS interfacial layer. This can be one of the main reasons 431 why PEIE and PEI interfacial layers lead to low CH₃NH₃PbI₃ 432 perovskite solar cells efficiencies. To address the influences of 433 various underlying interfacial layers on the morphology of 434 CH₃NH₃PbI₃ films, vacuum processes such as sequential 435 vacuum deposition^{61,62} and hybrid chemical vapor deposition 436 process^{46,63} can be a viable way to alleviate the strong 437 dependence of the perovskite film morphology on underlying 438 interfacial layers. 439

In this work we show that interface engineering can help 441 improve the PCE and stability of PSCs. With $CH_3NH_3PbI_3$ as 442 an active layer we show that PSCs based on crystalline anatase 443 TiO_2 ETL show higher PCEs but poorer stability. PSCs based 444 on amorphous TiO_2 ETL show improved stability but PCE is 445 lower. Deposition of a thin interface layer of PS improves 446 stability meanwhile maintaining the high performance. We 447 show that the possible interface interactions between the thin 448 interface layer and the perovskite film have a strong impact on 449

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450 device efficiency and stability. With insulating polymer PS as 451 the interface layer on top of crystalline anatase TiO_2 , the 452 stability of solar cell is significantly improved and higher PCE is 453 maintained. The lifetime of device with a PS layer is 4 times 454 longer than PSCs based on unmodified amorphous TiO_2 ETL.

455 ASSOCIATED CONTENT

456 Supporting Information

457 The Supporting Information is available free of charge on the 458 ACS Publications website at DOI: 10.1021/acs.jpcb.7b03921.

Maximum power point tracking of solar cells with 459 460 amorphous TiO₂ compact layer and anatase TiO₂/PS bottom layer; transmittance spectra of FTO, FTO/TiO₂, 461 462 FTO/TiO₂/PEIE, FTO/TiO₂/PEI, and FTO/TiO₂/PS substrate; SEM/EDS of PEIE layer on FTO substrate; 463 contact angle of CH₃NH₃PbI₃ solution on different 464 substrates; XRD spectra; UV-vis absorbance spectra and 465 SEM of CH₃NH₃PbI₃ on different substrates; perform-466 ance distribution of solar cells based on TiO₂/PEIE with 467 PEIE film of 0.7 nm thickness; XPS results of PEI; and 468 solar cell performance with anatase TiO₂/PEI bottom 469 layer (PDF) 470

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476 Notes

477 The authors declare no competing financial interest.

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