Shear rheology of graphene oxide dispersions

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Abstract

Graphene oxide (G-O) is a chemically oxidized sheet of graphene. Due to their functional groups, G-O sheets have found numerous applications in biomedical, electrical and material engineering. The flow properties of G-O dispersions, i.e., the *rheology*, are intimately related to the material processing and design of G-O based composites. In this review, we report recent findings on the shear rheology of both aqueous G-O dispersions and composite materials containing G-O sheets. We focus on aqueous G-O dispersions to understand interactions between G-O sheets. Applications related to materials science and technology are also presented.

1 1. Introduction

Around 10 years ago, the experimental isolation of the first single sheet of graphene [1, 2], a 2-dimensional 2 monolayer of carbon atoms, raised great excitement in the material science community, because its physical 3 existence had been debated for 70 years [3]. Since then graphene has been considered as a "rising star", and countless applications have been introduced. Many of these are related to a chemically modified version of 5 graphene, called graphene oxide (G-O). In particular, G-O contains a variety of reactive oxygen functional 6 groups, making it versatile through different chemical modifications [4]. Due to their excellent mechanical, 7 thermal, and electric properties, G-O sheets have been used in various applications such as nanocomposites [5], inks [6], and coating for supercapacitor electrodes [7, 8]. Rheology of G-O dispersions depends on parameters 9 such as G-O concentration and sheet size, which are critical for the design of *ad-hoc* materials. When 10 dispersed, G-O sheets tend to aggregate [9, 10, 11], similar to suspensions containing carbon nanotubes [12] 11 or rod-like micelles [13], because attractive forces are dominant. Despite the extensive literature in the 12 rheology of carbon nanotube based materials (both in dispersion [14] and composite [15] forms), much less 13 has been reported on the rheology of graphene oxide dispersions. 14

In this review, we focus on existing findings on the shear rheology of aqueous G-O dispersions and G-O-composites. Due to space limitations, results for G-O interfacial rheology can be found in Imperiali *et al.* [16], G-O electorheology is reviewed by Zhang *et al.* [7]. We also refer to the review of Fan *et al.* [17] for

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Figure 1: G-O aqueous dispersions at different volume concentrations ϕ are prepared by adding G-O in water. Oscillatory linear shear flow: When $\phi < \phi_c$ (ϕ_c is the critical volume or mass concentration) viscous response due to the drag of the G-O sheets prevails over the elastic response. When $\phi > \phi_c$ elastic response due to the G-O self-aggregation prevails over the viscous response due to the drag of the clusters. Steady shear flow: When $\phi < \phi_c$ and at Peclet number $Pe = \dot{\gamma}a^2/D_0 < 1$, where $\dot{\gamma}$ is the applied shear rate, a is the average sheet radius, and D_0 is the sheet diffusivity, G-O sheets are randomly oriented; while at Pe > 1 G-O sheets are oriented along the flow direction. When $\phi > \phi_c$ and at Peclet number Pe < 1, G-O are arranged in randomly oriented clusters; while at Pe > 1 clusters are broken down. Transient shear flow: Only the case $\phi > \phi_c$ is considered. Initially, the dispersion is arranged in randomly oriented clusters. After applying a flow at Pe > 1, clusters are broken down and G-O sheets are oriented along the flow direction. When the flow is arrested, Pe = 0, G-O sheets start to self-arrange. After sufficient resting time, G-O sheets recover the initial cluster configuration.

¹⁸ energy-related applications of G-O sheets.

¹⁹ 2. Shear rheology of aqueous graphene oxide dispersions

Shear rheological characterization is generally fulfilled through measurements in *oscillatory linear shear flow, steady shear flow* and *transient shear flow.* We have organized this review into sections based on flow types, in the order commonly adopted to describe G-O rheology. A schematic representation of the findings is summarized in Figure 1.

24 2.1. Oscillatory linear flow properties

In oscillatory shear flow, a sinusoidal small deformation is applied, and the response of the material is 25 measured in terms of elastic modulus G' and viscous modulus G'' [18]. From these measurements, important 26 information on the local conformation of G-O sheets in dispersion can be derived. Naficy et al. [9] recently 27 presented interesting results on the relationship between morphology and both G' and G'' of G-O dispersions 28 (Figure 2a-c). At concentration c = 0.05 mg/ml, they found that both G' and G'' were frequency dependent, 29 in agreement with other reports [10, 11]. By increasing the concentration to c = 0.5 mg/ml (Figure 2b), 30 interactions between G-O sheets cause stronger elastic responses than viscous responses in the G-O dispersion. 31 At concentration c = 4.5 mg/ml, the two moduli are almost frequency independent (similar to the gel 32 response [18]), with G-O sheets being packed in superimposed layers. These findings reveal the existence of a 33 critical concentration ϕ_c , above which G-O sheets aggregate, due to Brownian diffusion. Naficy et al. [9] found 34 a critical concentration $\phi_c = 0.22$ mg/ml, and studied G-O dispersions in the range $0.2 < \phi/\phi_c < 60$. The 35 transition between dispersed G-O sheets at low concentrations to ordered clusters at high concentrations is 36 similar to the transition from isotropic to nematic phase in *liquid crystals* [19]. Such behavior is mainly caused 37 by the high aspect ratio of G-O sheets, which also affects the value of the critical concentration ϕ_c [20]. Elastic 38 and viscous response in oscillatory flow is also in agreement with that of semi-dilute $(\phi/\phi_c > 1)$ aqueous 39 carbon nanotube dispersions [14], where G' and G'' display the same response as shown in Figure 2. 40

⁴¹ More detailed rheological characterizations of G' and G'' have been carried out by Vasu *et al.* [11] at ⁴² concentrations $0.27 < \phi/\phi_c < 80$ (with $\phi_c = 0.038$ vol%), and by Vallés *et al.* [10] at concentrations ⁴³ $1 < \phi/\phi_c < 267$ (with $\phi_c = 0.033$ vol%). Interestingly, Vasu *et al.* [11] derived ϕ_c by examining the de-⁴⁴ pendence of the elastic modulus G', at low strains and amplitudes, as a function of ϕ . They obtained ⁴⁵ $\phi_c = 0.038$ vol%, which is in good agreement with $\phi_{c,theo} = 0.040$ vol% based on the theory of geomet-⁴⁶ rical percolation thresholds for randomly oriented thin-disks [21]. At $\phi/\phi_c < 1$ G-O sheets are randomly ⁴⁷ distributed, but aggregated in more ordered structures at $\phi/\phi_c > 1$.

48 2.2. Steady shear flow properties

While oscillatory shear flow properties are related to small and linear deformations, steady shear properties
 are related to non-linear high deformations [18].



Figure 2: Elastic modulus G' and viscous modulus G'' of aqueous G-O dispersions as a function of the frequency at a) c = 0.05 mg/ml, b) c = 0.5 mg/ml, c) c = 4.5 mg/ml ($\phi_c = 0.22 \text{ mg/ml}$). Adapted from Naficy *et al.* [9] with the permission of The Royal Society of Chemistry. d) Steady shear viscosity η as a function of the shear rate $\dot{\gamma}$ for G-O dispersions at different concentrations ($\phi_c = 0.03 \text{ vol}\%$). The top axis shows the Peclet number *Pe*. Adapted from Vallés *et al.* [10]. e) Steady shear viscosity η as a function of the shear stress τ for G-O dispersions at different concentrations ($\phi_c = 0.04 \text{ wt}\%$). The top axis shows the Peclet number *Pe*. Experiments are performed in a stress-controlled rheometer with a parallel glass plate configuration (with 43 mm in diameter, gap size of 200 μ m). Del Giudice *et al.* (IMN Del Giudice *et al.*, unpublished). f) Shear viscosity η at fixed shear rate $\dot{\gamma}$ as a function of the G-O volume concentration ϕ ($\phi_c = 0.33 \text{ vol}\%$). Reprinted from *Carbon*, Vol.80, Kumar *et al.*, Rheological properties of graphene oxide liquid crystal, pages 453-461, Copyright (2014) with permission from Elsevier [22].

In Figure 2d the shear viscosity η is plotted as a function of the shear rate $\dot{\gamma}$, for G-O dispersions at 1 < ϕ/ϕ_c < 267 (with $\phi_c = 0.033$ vol%) [10]. At concentrations $\phi/\phi_c \le 2$ (i.e., $\phi \le 0.05$ vol%) and shear rate $\dot{\gamma} > 1 \ s^{-1}, \eta$ remains as a constant; while at $\dot{\gamma} < 1 \ s^{-1}, \eta$ decreases with increasing $\dot{\gamma}$, showing the so called shear-thinning behavior. At concentrations $\phi/\phi_c \ge 2$ (i.e., $\phi \ge 0.05$ vol%), the shear-thinning becomes more pronounced with increasing ϕ . Such response implies the existence of a *yield stress* in the G-O dispersion, i.e., the lowest stress required to induce the flow. Yield stress for G-O dispersions at $\phi/\phi_c > 1$ has also been reported elsewhere [9, 11, 19].

G-O sheets at $\phi/\phi_c > 1$ aggregate (Figure 2(b-c)) because of Brownian diffusion. However, if the applied deformation increases, the aggregates become disassociated by the flow, i.e., convection overcomes diffusion. Note that similar aggregation mechanism has been reported to lead to the gelation of rod-like micelles [13], where the effects of convection and diffusion are quantified by the Peclet number Pe, described as [23]:

$$Pe = \frac{\dot{\gamma}a^2}{D_0},\tag{1}$$

where $\dot{\gamma}$ is the applied shear rate, *a* is the average sheet radius, and D_0 is the sheet diffusivity. When Pe < 1, diffusion overcomes convection, and vice versa when Pe > 1. Values of the Peclet number are reported on the top axis of Figure 2d. An increase in the shear rate leads to an increase in convection, hence an increase in *Pe*. As a consequence, G-O sheets do not aggregate, but are dispersed and aligned with the flow direction. Since the drag generated by an oriented sheet is much smaller than that generated by a cluster, the shear viscosity decreases up to a constant value (when all sheets are aligned with the flow). When the shear flow is arrested, Pe = 0, G-O sheets would diffuse and self-arrange, as observed in *thixotropic* materials¹.

It is not possible to directly derive the yield stress τ_y from Figure 2d. This important property can 69 be determined simply by examining the steady shear viscosity η as a function of the imposed shear stress 70 τ (Figure 2e) (IMN Del Giudice *et al.*, unpublished). We observe two distinct zones, one at τ > 3 Pa 71 $(\dot{\gamma} \sim 10^3 \ s^{-1})$, for all the G-O concentrations, where the viscosity is constant and equal to that of the 72 water (solvent of the dispersion). Another zone at lower stresses and at $\phi/\phi_c = 0.1$ (with $\phi_c \sim 0.04 \text{ wt\%}$), 73 where η exhibits the shear-thinning behavior (Figure 2d). We also show comparisons in the Peclet number 74 dependency (Figure 2e). We find $\tau_y = 0.7 \ Pa, \tau_y = 0.3 \ Pa, \tau_y = 0.1 \ Pa$, for $\phi/\phi_c = 10, \ \phi/\phi_c = 5, \ \phi/\phi_c = 1$, 75 respectively, in good agreement with those reported elsewhere [11]. 76

Figure 2(d-e) show that the shear viscosity at a fixed $\dot{\gamma}$ increases monotonically with the concentration ϕ in the range of $1 < \phi/\phi_c < 267$. Kumar *et al.* [22] (Figure 2f) observed a non-monotonic behavior of the shear viscosity at a fixed shear rate when increasing the volume concentration of the G-O dispersion, when $0.6 < \phi/\phi_c < 1.2$ (with $\phi_c = 0.33$ vol%). They attributed such behavior to the transition from isotropic to nematic phase in the G-O dispersion, around ϕ_c . Notice that their reported ϕ_c differs of one order of magnitude from those of Vasu *et al.* ($\phi_c = 0.038$ vol%) [11] and Vallés *et al.* ($\phi_c = 0.033$ vol%) [10]. Future experimental works are needed to study the occurrence of such transitions by expanding the range of

¹According to the definition of Barnes, Hutton and Walters [24], *thixotropy* (verbatim) "is the decrease (in time) of ... viscosity under constant shear stress or shear rate, followed by a gradual recovery when the stress or shear rate is removed."



Figure 3: a) Shear viscosity as a function of time for a G-O dispersion with $\phi = 0.75$ wt% with an imposed shear stress $\tau = 0.1$ Pa. Yield stress at $\phi/\phi_c = 20$ ($\phi_c = 0.038$ vol%) is $\tau_y = 1$ Pa. Adapted from Vasu *et al.* [11] with permission from The Royal Society of Chemistry. b) Shear viscosity as a function of time for a G-O dispersion at $\phi/\phi_c = 10$ ($\phi_c \sim 0.4$ wt%), with $t_0 = 180$ s of preshear time at $\tau = 20$ Pa, for several imposed shear stress τ . Yield stress for $\phi/\phi_c = 10$ is $\tau_{y,0.4} = 0.7$ Pa. Experiments are performed in a stress-controlled rheometer with a steel parallel plate configuration (50 mm in diameter, gap size of 200 μ m). Data obtained from Del Giudice *et al.* (IMN Del Giudice *et al.*, unpublished).

concentrations investigated over the interval $0.6 < \phi/\phi_c < 1.2$.

Tesfai *et al.* [25] studied G-O solutions in the range 0.005 < c < 0.05 wt% (they did not provide an estimate of ϕ_c). They reported a weak shear thinning at $\dot{\gamma} < 100 \ s^{-1}$ for concentrations c > 0.03 wt%, and the zero-shear viscosity η_0 increases with the increasing concentration.

⁸⁸ 2.3. Transient shear flow properties

Thixotropic materials such as aqueous G-O dispersions, strongly depend on the flow history [26]; hence time dependent measurements are very important to fully understand their properties. In addition, controlling the shear history before starting the actual measurement is crucial. Comparisons between dispersions at different concentrations cannot be reliably made, unless the same *initial measurement conditions* are uniformly applied to all samples, prior to each measurement. For instance, preliminary uniform shear (*preshear*), or preliminary long resting time are two options to achieve the measurement consistency.

Despite their importance, very few studies [11, 10, 27] have considered transient rheological experiments, 95 and only few results were presented. Liu et al. [27] reported transient viscosity for G-O dispersions with 96 $0.75 < \phi/\phi_c < 3$ (with $\phi_c = 0.8 \text{ wt\%}$), at a fixed shear rate $\dot{\gamma} = 100 \text{ s}^{-1}$ for a measurement duration of 120 s, 97 without applying any preshear or resting time. They found that a clear steady state was never reached, but 98 measurements longer than 120 s are unfortunately not available. Vasu et al. reported that shear viscosity η 99 displays strong fluctuations as a function of time for an imposed shear stress $\tau = 0.1 Pa$ ($\langle \tau_y = 1 Pa$), at 100 a fixed $\phi/\phi_c = 20$ (Figure 3a). In Figure 3b (IMN Del Giudice *et al.*, unpublished), the transient viscosity 101 for different applied stresses is displayed, for G-O dispersions with $\phi/\phi_c = 10$. A preshear of $\tau = 20$ Pa 102 for $t_0 = 180$ s has been applied before each measurement. At $\tau > \tau_y = 0.7 Pa$, shear viscosity reaches a 103

steady-state in less than 100 s. When approaching the yield stress τ_y from $\tau > \tau_y$, viscosity exhibits some small fluctuations (at $\tau = 1$ Pa), but strong fluctuations emerge when $\tau = 0.5$ $Pa < \tau_y$, in agreement with the results of Figure 3a. Notice that at $\tau = 0.5$ Pa, some data points are not connected by lines, because the rheometer detects some negative values, confirming the existence of the yield stress, also reported by Vasu *et al.* [11]. The increase of transient viscosity at low imposed shear (i.e., below the yield stress) is also common in carbon nanotube suspensions [12, 28].

¹¹⁰ 3. Shear rheology G-O composites

Graphene oxide has been widely used to improve mechanical properties of a variety of materials, such 111 as polydimethylsiloxane (PDMS) [29, 30, 31], hydrogels [32, 33, 34, 35], polycarbonate [5, 36], polymethyl 112 methacrylate [37], polyurethane [38], and cellulose [39]. El Achaby and Qaiss [40] compared the rheological 113 behavior of polyethylene filled with G-O and carbon nanotubes. They found that at the same filler concen-114 tration, G-O sheets performed better than carbon nanotubes in terms of rheological, thermal, and tensile 115 properties, due to higher specific surface area of G-O sheets. Jalili *et al.* [41] used G-O dispersions at $\phi > \phi_c$ 116 to produce long and 'ultrastrong' fibers with diameters down to $\sim 30 \ \mu m$. Very recently, G-O sheets have 117 been used to form ternary composite materials. For instance, Nasab and Kalaee [42] used epoxy resin mixed 118 with 0.1 wt% of graphene oxide, with different amounts of liquid polysulfide. The resulting material showed 119 elastic modulus up to 10^9 Pa, and solved the common drawback of brittleness associated with epoxy resins. 120 Because of space limitations, we now focus our attention on PDMS and hydrogels related G-O composites, 121 because of their wide use, ranging from biomedical engineering to materials science. Niu et al. [30] studied 122 the effect of G-O addition on PDMS with two different molecular weights M_w . They found that at G-O 123 concentrations well above the critical concentration, sheets are ordered. On the other hand, in proximity 124 to the critical concentration, ordering is found only in low molecular weight PDMS. This phenomenon is 125 not yet understood. The same authors studied the effect of G-O surface chemistry on the rheology of the 126 composite materials [31]. This study shows negative values of the difference² between the two normal stress 127 difference $(\Delta N = N_1 - N_2)$ as a function of the shear rate, because of sheet aggregation. Comparisons with 128 other carbon-based materials [12] suggest that the alignment of G-O sheets along the vorticity direction, also 129 called *vorticity banding* [43] can lead to negative ΔN . Further investigations are still required. 130

Graphene oxide has also been reported to enhance the polymer cross-linking process in hydrogels [32, 33, 35]. Liu *et al.* [32] prepared two types of hydrogels, one made of unmodified poly-isopropylacrylamide copolymer (P3), and another made of the same copolymer modified with G-O sheets (HGIC-3). They then analyzed the gelation temperature T_{gel} of the two hydrogels, by monitoring G' and G'' at fixed angular

²There are two normal stress differences usually defined in rheology, namely the first normal stress difference $N_1 = \tau_{xx} - \tau_{yy}$ and the second normal stress difference $N_2 = \tau_{yy} - \tau_{zz}$, where τ_{xx} , τ_{yy} and τ_{zz} are the stresses normal to the flow direction, gradient direction, and vorticity direction, respectively [18].



Figure 4: (a) Elastic modulus G' and viscous modulus G'' as a function of the temperature for different hydrogels. P3 is the unmodified hydrogel with 10 wt% of copolymer. HGIC-3 are the hydrogels obtained from the copolymer modified with G-O addiction at 10 wt% and 15 wt%. (b) Schematic of the possible HGIC structure, where G-O sheets are represented in red, polymer chains in green, and cross-links in blue. Reprinted from J. Liu *et al.*, Supramolecular hybrid hydrogels from noncovalently functionalized graphene with block copolymers, *Macromolecules*, 44(19), (2011), pages 7682-7691 [32], with permission from American Chemical Society.

frequency $\omega = 1$ Hz as a function of the temperature³. They found that G' and G'' of the P3 hydrogel 135 are independent of the temperature up to $T = 40^{\circ}$ C; thus, no gelation temperature can be identified. The 136 HGIC-3 hydrogel, instead, shows a clear dependence of the two moduli at low temperatures, with a gelation 137 temperature $30^{\circ}C < T_{gel} < 35^{\circ}C$ for HGIC-3 samples (Figure 4a). The authors suggest that G-O sheets 138 enable polymer cross-linking and promote connectivity within the composite solution (see Figure 4b). Similar 139 findings were reported by Das et al. [33]. Kim and Lee also studied the effect of G-O sheets on hydrogel 140 composite rheology [34], reporting that oscillatory flow promote gelation only at deformation $\gamma < 20$ %. 141 Bai et al. [35] showed that the addition of cross-linkers (polymers, metal ions, and ammonium salts) or 142 the acidification of G-O aqueous solutions above ϕ_c led to G-O gelation, with a consequent formation of 143 (verbatim) "super" and "smart" G-O hydrogels. Tan et al. [44] added small amount of G-O to polyvinyl 144 alcohol (PVA) solutions to produce uniformly sized fibers with an average diameter of ~ 200 nm, through 145 electrospinning. The orientation of G-O sheets promotes the stretching of PVA chains, leading to uniform 146 distribution of fiber diameters. 147

¹⁴⁸ 4. Conclusions and Outlook

In this review, the rheology of aqueous and composite graphene oxide dispersion is presented. The shear rheology of G-O dispersion can be summarized as follows. (i) A critical concentration ϕ_c exists, below which G-O sheets are dispersed, and above which they self-organize. (ii) At $\phi/\phi_c > 1$, G-O aggregates are formed or dismantled, depending upon wether the dominant mechanism is Brownian diffusion or flow convection,

³We recall here that T_{gel} can be derived from measures of G' as function of the temperature. T_{gel} is evaluated as the temperature at which G' reaches a plateau after experiencing an increase in its value, as in the case of HGIC-3 (Figure 4a).

quantified by the Peclet number. At low *Pe*, G-O sheets self-aggregate, while at high *Pe* such aggregates disassociate. (iii) G-O aggregates are reversibly formed once the flow is arrested; thus G-O dispersions act as thixotropic fluids. Regarding composites, G-O sheets significantly increase the elastic and viscous modulus of the composite materials, and simplify the cross-linking process between polymer chains.

For the transient rheology of G-O systems, available data are either scarce or incomplete, and the comparisons between steady state and transient measurements over long time scales require further investigations. In addition, the nature of a negative normal stress differences in G-O dispersions is still not fully understood. Finally, the rheological characterization of G-O dispersions in extensional flows deserves a special mention, because only one recent work [45] is available in literature, where the extensional viscosity of a polyvinyl chloride/G-O (PVC/GO) composite was found to be up to three orders of magnitude larger than that of simple PVC.

New outlooks in G-O dispersions arise from investigating the rheology of modified aqueous G-O dispersions
 due to salt [46] or polyethylene glycol [47] additives, in order to manipulate interactions between the G-O
 sheets.

From a technological point of view, there is great potential to employ microfluidics to encapsulate and polymerize G-O dispersions to create spongy particles for oil absorption [48]. Technologies for synthesis of G-O dispersions in Taylor-Couette flow also grant further investigation since they are easy to operate with scale-up potential [49, 50].

Exceptional properties of graphene oxide are pushing research and industry to develop new technologies, making the G-O market the largest segment of the graphene business. Novel applications in the field of health care are also expected, for example in the production of G-O based biosensors for disease detection [51, 52].

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