- 1 Title: Evaluating the promise and pitfalls of a potential climate change-tolerant sea
- 2 urchin fishery in southern California
- 3

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20

# 21 Abstract

- 22 Marine fishery stakeholders are beginning to consider and implement adaptation
- 23 strategies in the face of growing consumer demand and potential deleterious climate

24 change impacts such as ocean warming, ocean acidification, and deoxygenation. This 25 study investigates the potential for development of a novel climate change-tolerant sea urchin fishery in southern California based on Strongylocentrotus fragilis (pink sea 26 27 urchin), a deep-sea species whose peak density was found to coincide with a current 28 trap-based spot prawn fishery (Pandalus platyceros) in the 200-300 m depth range. 29 Here we outline potential criteria for a climate change-tolerant fishery by examining the 30 distribution, life-history attributes, and marketable gualities of S. fragilis in southern 31 California. We provide evidence of seasonality of marketable gonad production and 32 demonstrate that peak gonad production occurs in the winter season and likely spawns 33 in the spring season as evidenced by consistent minimum gonad indices in the 34 spring/summer seasons across 4 years of sampling (2012-2016). The resiliency of S. 35 fragilis to predicted future increases in acidity and decreases in oxygen was supported by high species abundance, albeit reduced relative growth rate estimates at water 36 37 depths (485-510 m) subject to low oxygen (11.7-16.9  $\mu$ mol kg<sup>-1</sup>) and pH<sub>Total</sub> (<7.44), which may provide assurances to stakeholders and managers regarding the suitability 38 39 of this species for commercial exploitation. Some food quality properties of the S. 40 fragilis roe (e.g., color, texture) were comparable with those of the commercially 41 exploited shallow-water red sea urchin (Mesocentrotus franciscanus), while other 42 qualities (e.g., 80% reduced gonad size by weight) limit the potential future 43 marketability of S. fragilis. This case study highlights the potential future challenges and drawbacks of climate-tolerant fishery development in an attempt to inform future 44 45 urchin fishery stakeholders.

46

- 47 Keywords: climate change, fisheries, sea urchin, California Current,
- 48 Strongylocentrotus fragilis, Mesocentrotus franciscanus, climate-tolerant fishery
- 49
- 50

# 51 Introduction

52 Oxygen and pH regimes on the southern California shelf and slope are changing 53 significantly with unknown consequences for the distributions and fitness of aerobic fishes and 54 calcifying invertebrates (Bograd et al., 2008, 2015; Gruber, 2011; Gruber et al., 2012). 55 Acidified zones (reduced pH, elevated CO<sub>2</sub>) in the California Current System (CCS) are 56 predicted to dramatically increase in magnitude and frequency in future decades (Fabry et al., 57 2008), which can disproportionately impact certain slow or stationary species, like bivalves and 58 urchins, in nearshore habitats that may not be as adapted to such conditions. For example, 59 biogeochemical models in the CCS predict that 100% of water in the twilight zone (60-120m) may be undersaturated with respect to the aragonitic form of calcium carbonate by 2050 (Gruber 60 61 et al., 2012), making calcifying invertebrates of ecological and economic value particularly 62 vulnerable. In addition, upwelling events, which are well known to bring deep, cold, and nutrient-rich water to shallower depths into coastal habitats are also characterized by relatively 63 64 low oxygen, low pH, and low calcium carbonate saturation  $[\Omega]$  (Feely *et al.*, 2008; Send and Nam, 2012; Booth et al., 2014). Such events have been observed in nearshore kelp forests of 65 66 San Diego (Frieder et al., 2012), and potential sublethal effects on the reproductive output, 67 structural integrity, and population dynamics of key calcifying resources are expected to 68 become far more widespread (Gaylord et al., 2011; Kelly et al., 2013; Hofmann et al., 2014). 69 Recent corrosive upwelling events have caused mortality in several oyster hatcheries on the

U.S. west coast in Oregon, resulting in major environmental projects to mitigate the effects of
ocean acidification (Barton *et al.*, 2012, 2015). Increased upwelling frequency over the next
century may present challenges for fishery management due to unknown species-specific and
ecosystem-wide effects of multiple climate drivers on fisheries (Gruber, 2011; Padilla-Gamiño *et al.*, 2013).

75 An important adaptive strategy under changing hydrographic conditions is to evaluate 76 ways to shift fishery emphasis away from more vulnerable species to alternative resilient 77 species (Ogier et al., 2016). To reduce future harvest stress and synergistic climate effects on 78 the red urchin (*M. franciscanus*), which makes up the vast majority of urchin fishery landings 79 on the west coast of North America, it may be useful to consider an alternative, underutilized 80 urchin species. The *M. franciscanus* fishery is vulnerable to overfishing, disease, thermal stress, 81 poor spawning seasons, and the supply of and demand for its roe (known as *uni* in sushi 82 restaurants) (Botsford et al., 2004). Additionally, potential deleterious effects of CO<sub>2</sub>-acidified 83 water due to ocean acidification on fertilization, larval development, and gene expression in red 84 urchins could negatively impact recruitment to the fishery, which depends on large, sexually 85 mature individuals (O'Donnell et al., 2009; Frieder, 2014; Hofmann et al., 2014; Kapsenberg 86 et al., 2017). Early life-history stages of *M. franciscanus* have also been shown to be vulnerable 87 to both acidification (Frieder, 2014) and thermal stress (O'Donnell et al., 2009; Byrne and 88 Przeslawski, 2013). While the demand for sea urchins has gone up domestically and 89 internationally over recent years due to its increased popularity in various food markets 90 worldwide (McBride, 2005), the landings and value produced by the *M. franciscanus* fishery 91 has been in continuous decline since 2000 (Figure 1). While the currently harvested urchin 92 species (*M. franciscanus*) may suffer under future climate change scenarios, the deep-dwelling

pink urchin, *S. fragilis*, is highly tolerant of low oxygen and pH (Sato *et al.*, 2017; Taylor *et al.*,
2014). This species appears to be extending its distribution into shallower water as low oxygen
zones in the NE Pacific expand (Sato *et al.*, 2017).

96 Among the many calcified inhabitants of the California margin, sea urchins are 97 important ecosystem engineers that efficiently graze on macroalgal species forming kelp forest 98 habitat (Rogers-Bennett, 2007). These urchins experience a range of pH and oxygen conditions 99 depending on depth and setting (Takeshita et al., 2015; Chan et al., 2017), with the red (M. 100 *franciscanus*) and purple (S. *purpuratus*) urchins generally occupying the intertidal and inner 101 shelf reefs (Kato and Schroeter, 1985; Rogers-Bennett, 2007), and pink urchins (S. fragilis) 102 occurring throughout the outer shelf and upper slope (Sato et al., 2017; Thompson et al., 1993). 103 Deep-sea fishery species (taken on the continental slope and seamounts) are conventionally 104 thought to be non-sustainable due to long life spans, slow growth rates, and late maturity 105 (Koslow et al., 2000; Norse et al., 2012). Indeed, most deep-sea fishery species have 106 experienced significant declines and are thus not sustainable (Norse et al., 2012; Clark et al., 107 2016). However, species like S. fragilis that naturally occur in stressful environments with 108 respect to climate-change variables such as oxygen and pH may be adapted to future conditions that are more hypoxic and acidic than at present. 109

Although supplementing the current urchin fishery by harvesting the less vulnerable, underutilized pink urchin species may seem reasonable, uncertain management and fishing practice challenges remain. In addition, there are few studies that investigate life-history of *S*. *fragilis* (Sumich and McCauley, 1973) or the marketable food qualities of *S*. *fragilis* roe, such as gonad size, color, and texture (McBride *et al.*, 2004). We investigated various adult characteristics of *S*. *fragilis* to evaluate the potential for developing this species as a climate change-tolerant fishery. *M. franciscanus* sea urchins are individually hand-picked by hookah divers throughout California, with most landings occurring primarily in southern California and secondarily in Mendocino County. *S. fragilis* is currently caught as bycatch in baited traps that target the valuable spot prawn (*Pandalus platyceros*) at a mean depth of 250 m (Phil Zerofski, Personal Communication). Indeed there may be additional costs incurred by fishers associated with fishing a deep-urchin species vs. the current practice (*e.g.*, fuel, gear-type). However, the physical challenges of diving may be offset by a trap-based fishery.

123 In this study, various fishery management criteria and food quality metrics of the pink 124 urchin (S. fragilis) were evaluated in southern California populations in order to determine the 125 feasibility of an emerging fishery. We addressed the following criteria for the potential 126 management of a new climate change-tolerant S. fragilis fishery in southern California: (1) 127 Resiliency evaluated as distribution and fitness traits in relation to multiple climate change 128 variables, (2) accessibility evaluated as abundance across space and time, (3) habitat and 129 ecosystems considered as habitat type, behavior, and food preference, and (4) acceptability (*i.e.*, 130 marketable gonad traits such as size, color, and texture). In addition to these empirical data, we 131 provide a rationale for the legalization of deep urchin bycatch take for urchin fishery 132 stakeholders to consider as an alternative, long-term sustainable solution in the face of 133 environmental variation and climate change.

134

## 135 Methods

- 136 Field Sampling
- 137 Distribution and density

138 Availability of *Strongylocentrotus fragilis* urchins (e.g., to fishers) is partly a function 139 of both their depth distribution and density in the Southern California Bight (SCB). These were 140 determined by analyzing benthic megafauna trawl survey datasets collected during the summer 141 months (July-September) of 2003, 2008, and 2013 by trained taxonomists associated with the 142 regional *Bight* survey led by the Southern California Coastal Water Research Program. The 143 gear type used during each survey year was a standardized 7.6 m head-rope semiballoon otter 144 trawl net fitted with 1.25-cm cod-end mesh. Trawls were towed along open-coast isobaths for 145 ~10 min at 1.5-2.0 nm hr<sup>-1</sup> during daylight hours. Trawl distance was calculated from the start 146 and stop fishing GPS coordinates, which acted as a proxy for the net's relative position. It was 147 assumed the net remained on the bottom and was fishing the entire time (Allen *et al.*, 2011). 148 Upon retrieval, catches were sorted, identified to species, and enumerated. Each station was 149 sampled once. Bay sites and sites at water depths <10 m were removed from this analysis in 150 order to minimize zero inflated data (Thompson et al., 1993). The area swept by each trawl was 151 calculated as the distance trawled (m) x 4.9 m (the width of the trawl) (Miller and Schiff, 2012). 152 Densities of S. fragilis were obtained per trawl by dividing the species count by the calculated 153 area swept.

Historical densities and distributions of *S. fragilis* urchins between 10-500 m in the SCB are reported in Sato *et al.* (2017). A reanalysis of these data was conducted to identify the depths where *S. fragilis* occurs at densities above 0.001 indiv.  $m^{-2}$ , within smaller 50-m depth bins. *S. fragilis* density between 10-500 m was compared across survey years, while survey years were pooled in the 50-m depth bin analysis. The upper and lower depth limits, as well as the median, 25% quartile and 75% quartile depths were calculated by pooling all trawls with densities greater than 0.001 indiv.  $m^{-2}$  from the 3 surveys. Urchins often form feeding aggregations on

161 kelp falls, which may bias density estimates, but the high number of trawls conducted is likely 162 to capture this variability (Sato *et al.*, 2017). One exception where kelp falls have been found 163 to be more abundant is in submarine canyons (Harrold *et al.*, 1998), but in this study sites in 164 canyons were avoided and sites were surveyed for flat, trawl-friendly ground prior to net 165 deployment.

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### 167 Abundance threshold depth and behavioral observations

168 Although Strongylocentrotus fragilis is present at depths of 100-1200 m in the SCB, the Oxygen Minimum Zone (OMZ) ( $O_2 < 20 \mu mol kg^{-1}$ ) and associated food and climate variables 169 170 limit most S. fragilis to the upper 500 m (Sato et al., 2017). To identify the threshold depth (and 171 associated climate variables) where urchins are subjectively more abundant, we analyzed video 172 footage from two cross-slope Remotely Operated Vehicle (ROV) transects on the San Diego 173 shelf and slope. ROV surveys were conducted in August 2015 (Dive #1448) and December 174 2016 (Dive J-093) using two ROVs, the *Hercules* (Ocean Exploration Trust) and the Jason 175 (Woods Hole Oceanographic Institution), aboard the R/V Nautilus and R/V Sally Ride, 176 respectively. Each ROV was equipped with a Sea-Bird Electronics, Inc., Conductivity-177 Temperature-Depth (CTD) and an Aanderaa oxygen sensor (see details below). For each 178 upslope transect, ROV pilots were instructed to maintain speed of 0.2-0.5 nmph and altitude 179 above the seafloor between 1-2 m. Video cameras maintained the same direction, angle, and 180 zoom throughout the duration of each dive. Video footage was paused every 30 s to 20 mins 181 (0.5-10 m seafloor depth), and still frames were visually analyzed, to identify the deepest depth 182 within the OMZ where S. fragilis urchins first appeared at high density. Urchins were counted 183 within the visible area of each paused frame and recorded. To compare results across dives,

urchin counts were calculated as a proportion of the highest count recorded during that dive.
Feeding aggregations were also observed, but not counted due to high uncertainty of urchin
counts.

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188 Spatiotemporal variability of edible gonads and growth

189 The spatial and seasonal variability of gonad production in *Strongylocentrotus fragilis* 190 was compared across water depth zones on the shelf and slope in the SCB at various stations 191 (N = 51 stations) and depths (Avg. depth  $\pm$  SD = 351  $\pm$  206 m) throughout the species' 192 distribution (Table 1). Local differences in S. fragilis gonad production were determined by 193 separating the stations geospatially by latitude into three subregions (i.e. San Diego, Los 194 Angeles, and Santa Barbara). S. fragilis individuals used in this spatial analysis were collected 195 via otter trawls on various research cruises between July 2012 and June 2016 (Table 1). 196 Subregional gonad data were further separated into 100-m depth bins and compared among 197 subregions in the upper 500 m of the continental shelf and slope. To compare relative growth 198 rates of S. fragilis, individuals were collected via otter trawl surveys in the San Diego region 199 between 2012 and 2014. To determine the seasonality of S. fragilis gonad production, 200 individuals were sampled by otter trawl from a single station (~340 m) off of Point Loma, CA 201 (32.6986 °N, -117.3765 °W), at various times throughout the year, with the first trawl taking 202 place in Summer 2012 and the twelfth and final trawl occurring in Summer 2016 (Table 2). 203 During each collection, ~25 intact individuals were haphazardly selected from the trawl catch, 204 sealed in a plastic bag, immediately frozen in a -20°C freezer on each ship, and transported to 205 -20°C freezer in the lab until further analysis.

To obtain gonads for food quality analysis, live *S. fragilis* urchins were collected from 305 m water depth *via* otter trawl by the Los Angeles County Sanitation District on the R/V *Ocean Sentinel* in February 2015, near Palos Verdes, CA (33.6787 °N, -118.3276 °W). Live urchins were transported to the Kaplan Experimental Aquarium at Scripps Institution of Oceanography (La Jolla, CA) where they were fed *ad libitum* fronds of giant kelp (*Macrocystis pyrifera*) in flow-through seawater tanks at 8°C for approximately 4 weeks.

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### 213 Hydrography Data

214 Hydrographic data for the study area were obtained during a multidisciplinary research 215 cruise carried out off the San Diego coast line on board the R/V Melville from 8-15 December, 216 2012 (see Nam et al., 2015 for July 2012 data and seasonal results). A single profile of salinity, 217 temperature, pressure, and dissolved oxygen (DO) at 1-m resolution was generated from the 218 surface to 1,051 m (32.6901 °N, -117.5306 °W) using a Sea-Bird Electronics, Inc., CTD 219 instrument (SBE9) and dissolved oxygen sensor (SBE43). Discrete water samples were 220 collected every 50-100 m of water depth and analyzed for DO and pH following methods 221 described by Nam et al. (2015). In brief, oxygen samples were analyzed following standard 222 Winkler titration procedures (Dickson, 1996), and pH samples were analyzed 223 spectrophotometrically at 20° C using a custom automated system with m-cresol purple without 224 further purification (Nam et al., 2015). Reported in situ pH was calculated from measured pH 225 and dissolved inorganic carbon in CO2SYS (Van Heuven et al., 2011) using dissociation 226 constants from Lueker et al. (2000).

Salinity, temperature, depth, and DO data were also collected from the ROV *Jason*,
which was equipped with a CTD instrument (SBE19) that recorded data every second and an

229	oxygen optode (Aanderaa 4831) that recorded dissolved oxygen every 30 seconds. The ROV
230	Hercules was equipped with a CTD instrument (SBE FastCAT 49) and an oxygen optode
231	(Aanderaa 3830), which recorded depth, salinity, temperature, and oxygen every second.
232	
233	Lab Analyses
234	Gonad Index
235	Frozen S. fragilis urchins were thawed and rinsed clean of mud in the lab prior to
236	dissection. Spines were removed prior to measurement of Total Length of the Diameter (TLD)
237	via calibrated dial calipers to the nearest 0.1 mm. Wet weights of gonads (5 lobes) and each
238	individual drained of its internal fluids were measured on a calibrated Sartorius digital balance
239	(R160P) to the nearest 0.001 gram. The gonad index (GI) of a single individual was calculated
240	by using the equation,
241	
242	$GI = \frac{m_g}{m} \times 100,$
243	
244	where $m_g$ is the total wet weight of the dissected gonads and m is the wet weight of the
245	individual drained of its internal fluids.
246	
247	Growth variability
248	Variability in relative growth was measured as a function of depth across the species'
249	depth distribution (100-1200 m). Image analysis of growth bands was carried out on

250 Strongylocentrotus fragilis individuals collected via otter trawl conducted at five depths (100

251 m, 300 m, 400 m, 700 m, 1096 m) in the San Diego region (Table 3). Frozen urchins were

252 thawed in the laboratory, and individual ossicle plates from the interambulacral grooves of the 253 aboral hemisphere were dissected using a scalpel under a dissecting microscope. Ossicle plates 254 from each urchin were washed in a 2% bleach (NaClO) solution, placed on a shaker for 30 255 minutes to remove organic material from the plates, rinsed in DI water, and placed in a vial 256 under a hood to dry for 24-48 hours. The ossicle plates were then charred in a muffled furnace 257 for 3-5 minutes at 300°C and left to cool. Approximately 24 hours later, ossicles were set on a 258 microscope slide and lightly coated with a clear epoxy for image analysis. Digital photographs 259 were taken using a compound microscope fitted with a digital camera at 25x magnification. 260 Images were digitally enhanced using Adobe Photoshop software in order to better identify 261 alternating light and dark concentric bands on each ossicle (Figure 6).

262 The relative growth rate for each individual was calculated by using the equation,

263 Rate of Growth 
$$=\frac{x}{c}$$
,

264 where x is the TLD of the individual and c is the number of bands. Growth rate is reported as mm band<sup>-1</sup> rather than mm year<sup>-1</sup> because it is uncertain whether *S. fragilis* lays down annual 265 266 or semiannual growth bands (Sumich and McCauley, 1973). Other studies have attempted calcein marking of growth bands in red urchins (Pearse and Pearse, 1975), but failed to 267 268 determine urchin age due to inconsistencies of banding with seasonality (Kato and Schroeter, 269 1985). The growth zone analysis presented here provides a relative growth rate as a function of 270 water depth, provided the assumption that S. fragilis from different depths lay down similar 271 banding. The temperature, DO, and in situ pH values associated with each depth were 272 determined using CTD data.

273

274 Roe Quality

275 To compare properties of *Strongylocentrotus fragilis* urchin roe quality to present 276 seafood industry standards, freshly packaged Mesocentrotus franciscanus gonad lobes of the 277 Grade B and B-minus quality were obtained from Catalina Offshore Products, Inc. (San Diego, 278 CA). *M. franciscanus* individuals were collected from the wild by urchin divers, processed at 279 Catalina Offshore Products, Inc., and gonad lobes were kept on ice until the moment of analysis. 280 Prior to commercial sale, M. franciscanus gonads are typically placed in an anhydrous 281 aluminum potassium sulfate (AlK(SO<sub>4</sub>)<sub>2</sub>), hereafter, Potassium Alum solution, which is used to 282 commercially process urchin roe. The astringent is used for its ability to bind to proteins and 283 prevent their breakdown, firming the roe (Kato and Schroeter, 1985). For this study, gonads 284 from S. fragilis and M. franciscanus were soaked for 20 minutes in a 0.5% Potassium Alum 285 solution. Excess moisture was removed from S. fragilis and M. franciscanus gonads using paper 286 towels, and gonads were weighed immediately prior to color and texture analyses. Individual 287 gonad lobes from *M. franciscanus* were also weighed immediately prior to color and texture 288 analyses.

289 Gonad color, an important quality of marketable urchin roe, was compared between S. 290 fragilis and M. franciscanus (also known as "California Gold uni") using a Konica-Minolta 291 Colorimeter C-400 and recorded using SpectraMagic NX software. Gonads were placed on 292 transparent petri dishes and placed over the 8-mm diameter aperture of the colorimeter. 293 Calibration of the colorimeter was carried out using a pure white color plate prior to each color 294 measurement. The amount of red, the amount of yellow, and the lightness of the roe were 295 measured 30 times per gonad lobe. The means of each color characteristic were used for 296 statistical analysis. Red and yellow values represent on a scale of 0-100 the amount of red and 297 yellow character a sample contains. Lightness is a measurement of how light or dark the sample

is (white has the highest lightness character possible of L = 60). Total color change was recorded as the difference in overall color from pure white calibration plate (McBride *et al.*, 2004). The difference between the color of the urchin gonad (Sample) and the white color calibration plate (Target) was calculated using the following equation:

$$\Delta E = ((L_{Target} - L_{Sample})^2 + (a_{Target} - a_{Sample})^2 + (b_{Target} - b_{Sample})^2)^{0.5},$$

303 where  $\Delta E$  = Total Color Change, L = Lightness, a = Redness, and b = Yellowness.

304 In additional to gonad color, texture is another important urchin roe quality used to 305 assess the marketable grade level (McBride et al. 2004). Gonad texture was determined as a 306 combination of gonad hardness and resilience using a TA.XTPlus texture analyzer with a 2" diameter metal cylinder probe. Hardness was recorded as the peak force (Newtons, kg m s<sup>-2</sup>) 307 308 required to compress the roe to half of its original height. Height of each gonad lobe was noted 309 prior to texture analysis. The samples were compressed to a fixed distance of half their original height at a speed of 0.55 mm s<sup>-1</sup> for a fixed duration of time. Resilience was then recorded as a 310 311 function of the amount of time required for the roe to return to half of its original height after 312 the roe's compression. Resilience was calculated by dividing the area under the curve during 313 the probe's withdrawal by the area under the curve during compression. The curve during 314 withdrawal represented the decline in force as the probe returned to its starting height. The 315 maximum force of the TA.XTPlus was set to its lowest setting (5 kg Load Cell), allowing for a 316 force sensitivity of 0.1 g. The instrument was calibrated before every measurement using a 100 317 g weight.

318

#### 319 Statistical Analyses

320 All response metrics (density, gonad index, relative growth rate, gonad color and 321 texture) were tested for normality using the Shapiro-Wilk test and homogeneity of variances using the Breusch-Pagan test. In most cases, assumptions of normality and homoscedasticity 322 323 were violated, so a Box-Cox power transformation was used to attempt to correct the data. If 324 the transformation did not improve normality or homoscedasticity of the data, then non-325 parametric tests were used. A Kruskal-Wallis test was used to compare density and gonad index 326 across subregion, depth bin or season. If a significant difference was detected, a post hoc Dunn's 327 test treated with a Bonferroni correction was conducted using the Pairwise Multiple 328 Comparison of Mean Ranks Package in R. The Pearson product-moment correlation coefficient 329 was determined for mean relative growth rate (evaluated as urchin test diameter in mm per 330 band) with each depth-dependent environmental variable (i.e., temperature, DO, and pH) and 331 for gonad index with depth. One-way ANOVAs were employed to test for differences between 332 gonad color metrics (*i.e.*, lightness, yellowness, redness, and total color change) across urchin 333 species. To determine S. fragilis thresholds from ROV footage, mean environmental data (*i.e.*, 334 depth, salinity, temperature, and DO) were calculated from data where abundances proportional 335 to the maximum abundance were between 0.25 and 0.75.

336

### 337 **Results**

#### 338 Distribution and density of *Strongylocentrotus fragilis*

Reasonably high density is a prerequisite for a viable fishery species, so we identified the depth distribution of *S. fragilis* in trawls where density exceeded 0.001 indiv.  $m^{-2}$ . The median and mean depths of trawls between 2003 and 2013 with *S. fragilis* densities >0.001 indiv.  $m^{-2}$  were 203 m and 250 m, respectively. Fifty percent of the trawls with these densities were found between depths 180.8 m (25% quartile) and 339 m (75% quartile) (Figure 2a). The mean density of *S. fragilis* between 10 and 500 m did not vary significantly among the three survey years (Kruskal-Wallis Test:  $\chi^2 = 5.967$ , p = 0.051). As a result, the density data were pooled prior to further depth bin analysis. Density did not vary significantly across 50-m depth bins in the upper 500 m (Kruskal-Wallis Test:  $\chi^2 = 8.263$ , p = 0.41).

### 348 Abundance threshold and behavioral observations

349 Video analysis of two cross-slope benthic transects between  $\sim$ 450 and 650 m water 350 depth using ship-based ROV deployments off San Diego, CA, revealed a consistent dramatic 351 shift in Strongylocentrotus fragilis abundance with depth (Figure 3a). In each case, a shift from 352 0-5 urchins per frame to a considerable abundance of 33-38 urchins per frame occurred over a 353 short change in depth of <5 m. During the ROV Hercules dive in August 2015, this increase in 354 S. fragilis occurred between 485-490 m water depth. During the ROV Jason dive in December 355 2016, the community changed abruptly to a S. fragilis urchin-dominated community from an 356 asteroid-dominated community between 505-510 m water depth. Table 4 shows the mean 357 environmental conditions in which S. fragilis abundances were 25-75% of the maximum 358 abundances counted during each dive.

We observed *S. fragilis* urchins aggregating around kelp falls (<500 m) consistently during both dives with estimated densities of up to ~200 indiv. m<sup>-2</sup> (Figure 3b). Active feeding on giant kelp (*Macrocystis pyrifera*) was confirmed by collections of urchins clinging to the kelp. However, drift *M. pyrifera* was observed without aggregating urchins at ~600 m where no *S. fragilis* urchins were present (Figure 3b).

364

#### 365 Spatiotemporal variability of edible gonads

366 Mean gonad index (GI) of Strongylocentrotus fragilis collected in the upper 500 m varied significantly among all three subregions (Kruskal-Wallis Test:  $\chi^2 = 56.89$ , p < 0.0001). 367 368 While the mean depths from which the urchins originated significantly differed among subregions (Kruskal-Wallis Test:  $\chi^2 = 74.18$ , p < 0.0001), these depths did not differ between 369 370 Los Angeles and San Diego (*post hoc* Dunn's test: p = 0.76). The mean depth of trawls in the 371 Santa Barbara subregion was significantly shallower (219 m) than Los Angeles (302 m) and 372 San Diego (310 m). The mean GI from Santa Barbara was 26% greater than those from Los 373 Angeles and 94% greater than those from San Diego (Figure 4a). Gonad indices decreased linearly with increasing depth (75-1100 m) in the SCB (Pearson:  $r_{37} = -0.43$ , p = 0.007) (Figure 374 375 4b). When separated into 100-m depth bins, peak GI was found in different depth bins for each 376 subregion with the highest mean GI occurring in Santa Barbara between 200 and 300 m water 377 depth (Figure 4c).

378 Seasonal variability of gonad production in S. fragilis was observed over the sampling 379 period (2012-2016) at a 340-m water depth site near Point Loma, San Diego, CA (Figure 5a). 380 When seasons were pooled across years, GI in S. fragilis exhibited significant seasonality (Kruskal-Wallis Test:  $\chi^2 = 79.822$ , p < 0.001) (Figure 5b). Mean Winter GI was 86% higher 381 382 than the global mean  $(4.11 \pm 0.18 \text{ SE})$  and was reduced by 62-64% in the Spring and Summer 383 (Figure 5b). Mean Summer GI was significantly different across years (Kruskal-Wallis Test:  $\chi^2 = 10.851$ , p = 0.013), with Summer 2013 GI 48% higher than in Summer 2015 (post hoc 384 385 Dunn's test: p = 0.01) (Figure 5c). In addition, GI in Fall of 2015 was 58% lower than in 2013 386 and 55% lower than in 2012 (*post hoc* Dunn's test: p < 0.001).

387

### **Growth variability**

389 Relative growth rate analysis of *Strongylocentrotus fragilis*, as determined from band 390 counts, demonstrated positive growth at all depths. S. fragilis collected from 100-m water depth had the highest growth rate relative to those urchins living at greater water depths (Figure 6). 391 392 The mean relative growth rate at 700m was 66% lower than at 100m (Table 3). Relative growth 393 rate was positively correlated with dissolved oxygen (Pearson's correlation:  $r_3 = 0.93$ , p =394 0.022) (Figure 6a) and pH (Pearson's correlation:  $r_3 = 0.95$ , p = 0.014) (Figure 6b), but there was no significant relationship with temperature (Pearson's correlation:  $r_3 = 0.71$ , p = 0.183) 395 (Figure 6c) or depth (Pearson's correlation:  $r_3 = -0.74$ , p = 0.152). 396

397

### **Roe Quality – Color and Texture**

399 Strongylocentrotus fragilis mean gonad lobe weight (2.38 g  $\pm$  0.33 S.E.) was 80% lower 400 than the weight of gonad lobes of *Mesocentrotus franciscanus* (11.95 g  $\pm$  0.76 S.E.; Kruskal-Wallis Test:  $\chi^2 = 14.778$ , p = 0.0001). Color differences among the three types of gonad (*i.e. S.* 401 402 fragilis, M. fransciscanus Grade B and B-minus) were observed (Figure 7a-d), with M. 403 fransicanus gonads exhibiting more total color change than S. fragilis gonads (1-way ANOVA: 404  $F_{2,29} = 32.49$ , p < 0.001; Figure 7d). S. fragilis gonads did not significantly differ in lightness 405 and redness from *M. franciscanus* B-grade gonads (Figure 7a, c), nor did they significantly 406 differ in yellowness from *M. franciscanus* B-minus grade gonads (Figure 7b). The most 407 distinctive difference in texture between the two species was the peak hardness of their gonads 408 (Figure 7e). On average, S. fragilis gonads were 85% softer than M. franciscanus B-grade gonads (Kruskal-Wallis Test:  $\chi^2 = 12.231$ , p < 0.001; Figure 7e), but there was no significant 409 difference in the resilience between the species (Kruskal-Wallis Test:  $\chi^2 = 3.316$ , p = 0.07; 410 411 Figure 7f).

412

## 413 **Discussion**

414 The development of sustainable climate-tolerant fisheries is one of several management 415 adaptation strategies that stakeholders may pursue to limit the deleterious negative effects of 416 climate change (FAO, 2016). This study uniquely provides spatiotemporal analyses of an 417 unfished species of sea urchin (*Strongylocentrotus fragilis*) and describes relevant food quality 418 properties in order to inform various stakeholders about the feasibility of developing a S. fragilis 419 fishery in southern California. The management criteria that we investigated (resiliency, 420 accessibility, S. fragilis habitat and behavior, and acceptability) may inform the sea urchin 421 industry, management, and scientific communities about S. fragilis should it be considered as 422 a viable fishery in the future. The sheer abundance (Figure 3b) of S. fragilis urchins throughout 423 its vast spatial distribution at water depths (485-510 m) subject to low oxygen (11.7-16.9 µmol 424 kg<sup>-1</sup>) and pH (<7.44) in southern California (Bograd *et al.*, 2008; Gruber *et al.*, 2012; Nam *et* 425 al., 2015) demonstrate the species' tolerance to stressful environments with respect to climate 426 change variables. As a species tolerant to relatively acidic and hypoxic conditions, S. fragilis 427 may become more accessible at shallower depths as the OMZ expands into shallower waters 428 (Sato *et al.*, 2017).

Multiple studies have suggested that important sea urchin fishery species are vulnerable
to the effects of climate change and ocean acidification (O'Donnell *et al.*, 2009; Reuter *et al.*,
2011; Frieder, 2014). In contrast to the conclusions of these experiments on currently fished sea
urchin species, our results suggest that *S. fragilis* currently exhibits reduced relative growth
rates in the OMZ core (700 m) where dissolved oxygen and pH in December 2012 were 9.187
µmol kg<sup>-1</sup> and 7.39, respectively (Figure 6b, c). The DO concentration at 700 m was 93% lower

435 than at 100m (Table 3), and the simultaneously reduced pH and dissolved oxygen conditions in 436 the OMZ are predicted to shoal as the ocean becomes increasingly more acidic and 437 deoxygenated (Bograd et al., 2008; Gruber et al., 2012). Our findings support the results of a 438 study by Taylor et al. (2014), which demonstrated that S. fragilis collected from the OMZ has 439 limited ability to regulate internal acid-base balance under simulated ocean acidification 440 conditions (pH <7.5), with little effect on their feeding rates and righting times. It is possible 441 that differences in food availability at different depths can contribute to the greater relative 442 growth rates at shallower depths (Britton-Simmons et al., 2012). Ranges of pH and dissolved 443 oxygen concentrations at the ROV sites in San Diego where abundant populations of S. fragilis 444 persist at different seasons (Figure 3; Table 4) demonstrate the resilience of this species to 445 extreme pH and oxygen conditions.

446 However, there are lessons to consider from the existing urchin fisheries. Understanding 447 the size- and age-dependent responses to low oxygen and low pH environments is important 448 for setting or changing size limits for the Mesocentrotus franciscanus urchin fishery (Kato and 449 Schroeter, 1985; Rogers-Bennett, 2007). Larger M. franciscanus serve as nursery habitat for 450 younger urchins that are more vulnerable to predation (Tegner and Levin, 1983; Tegner and 451 Dayton, 1991), while younger urchins may not be reproductive. We were unable to observe this 452 behavior in S. fragilis using trawl and ROV imagery, and this possibility warrants further 453 investigation. While the average age of *M. fransicanus* in the fishery is approximately 4-5 years 454 (Kato and Schroeter, 1985), the absolute age of S. fragilis remains uncertain (Sumich and 455 McCauley, 1973), and a comparative study to test effects on absolute growth rates and gonad 456 production would be required. These important environmental and S. fragilis life-history data 457 are additional management criteria that would need to be investigated in further detail, which

458 further highlights the need to expand continental margin ocean observations into the deep ocean
459 (Thurber *et al.*, 2014; Sweetman *et al.*, 2017).

Although sea urchin gonads are often considered delicacies in various cuisines 460 461 worldwide (McBride, 2005), the demand for and fishing pressure on sea urchins continues to 462 increase (Andrew et al., 2002; Botsford et al., 2004; Knapp and Rubino, 2016). In order to 463 provide enough sea urchins for this growing demand, finding alternative sources of supply 464 should be a priority for managers and stakeholders, especially given the known vulnerability of 465 sea urchin populations to overfishing (Andrew et al., 2002; Botsford et al., 2004) and 466 unfavorable environmental conditions. For example, El Niño or anomalously warm ocean 467 conditions (e.g., 2014/15 "warm blob" or 2015/16 El Niño in the Southern CA Bight) reduce 468 the availability of nutrients and inhibit the growth of the primary urchin food source of 469 harvested sea urchins, giant kelp (Macrocystis pyrifera) (Reed et al., 2016). These warm ocean 470 conditions can subsequently affect the gonad production and recruitment of sea urchins into the 471 fishery (Tegner and Dayton, 1991; Arntz et al., 2006; Rogers-Bennett, 2007; Vasquez, 2007; 472 Teck et al., 2017) and may have explained the decrease in S. fragilis gonad production in Fall 473 2015 (Figure 5a, c). As these conditions are predicted to become more frequent due to ocean 474 warming (Sweetman et al., 2017), it is critical for stakeholders to consider alternative sources 475 of sea urchins including increased imports, aquaculture, or other alternative food production 476 techniques (McBride, 2005).

The United States currently imports approximately 90% of its seafood (by value), and the country's trade deficit continues to increase (Kite-Powell *et al.*, 2013; Knapp and Rubino, 2016). In the face of climate change, increasing domestic fishery production (*via* alternative species or aquaculture) may provide some economic relief. Based on the criteria we present, *S*.

481 *fragilis* may be a possible viable alternative fishery to supplement the current southern CA 482 fishery, but further consideration and research will be required. Marketable sea urchin products 483 depend on gonad indices around 5-15% (McBride, 2005), and thus an abundance of food in the 484 wild is required to meet this standard (Teck et al., 2017). Although the gonad weight of S. 485 fragilis gonads was on average 80% lower than M. franciscanus gonads and significantly softer 486 (Figure 7e), the color and resilience was comparable. These results suggest that S. fragilis 487 gonads may not be suitable for direct consumption as *uni*, as smaller and softer gonads may be 488 more difficult to process and transport, but other potential uses for S. fragilis gonads such as 489 garnish and flavoring (e.g., for pasta dishes) could be possible. A study on how S. fragilis roe 490 size and qualities (including taste) compare to other smaller, commercially-fished urchin 491 species (e.g., Strongylocentrotus purpuratus, S. droebachiensis, Loxechinus albus, and others) 492 would also be helpful to better understand the potential for developing S. fragilis as a new 493 fishery.

494 S. fragilis is currently caught as bycatch in baited traps that target the valuable spot 495 prawn (Pandalus platyceros) at a mean depth of 250 m (Phil Zerofski, Personal 496 Communication). Our results suggest that the highest densities of S. fragilis also occurs in the 497 251-300 m depth bin, which coincides with the targeted depth range for P. platyceros (Figure 498 3b). Spot prawn fishers however, are not permitted to catch sea urchins and are prohibited from 499 taking non-target species (CDFG, 2008). The P. platyceros fishery season in southern CA is 500 open during the spring and summer months when S. fragilis gonad production is low and closed 501 during the fall and winter months when S. fragilis gonad production is high (Figure 5). 502 Legalizing S. fragilis bycatch or opening a S. fragilis fishery during fall and winter months 503 could provide an additional source of income for fishers in the region. Baited traps are a less

destructive type of gear than bottom trawls and would minimize costs to fishers and ecosystem impact (Clark *et al.*, 2016). Additionally, we documented on several occasions using ROVs that *S. fragilis* aggregated in large numbers on *M. pyrifera*, the seemingly most important and favorable *S. fragilis* food source. Therefore, the type of bait used for *S. fragilis* could be switched to *M. pyrifera* kelp in order to minimize impact on *P. platyceros* during its closure season. These issues could complicate the development of future fishery activity for *S. fragilis* and warrant further investigation.

511

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# 694 **Figure Captions**

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- 697 southern CA. Commercial landings in million pounds (red line), ex-vessel value in millions of
- 698 US dollars (green dashed line), and price per urchin pound (green dotted line).
- 699 Data source: <u>http://www.dfg.ca.gov/marine/seaurchin/index.asp</u>.
- 700

Figure 2. Pooled *Strongylocentrotus fragilis* data collected during three trawl surveys throughout southern California (2003, 2008, and 2013). (a) Depth distribution of otter trawls with *S. fragilis* densities >0.001 indiv. m<sup>-2</sup>. Boxplot shows upper and lower limits, 25% and 75% quartile depths, and median depth of trawls. (b). Mean density ( $\pm 1$  SE) of *S. fragilis* across 50-m depth bins. Numbers inside bars represent number of trawls within each depth bin.

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707 Figure 3. Abundance thresholds of Strongylocentrotus fragilis from two remotely operated 708 vehicle (ROV) dives conducted on the San Diego slope. (a) Depth of S. fragilis observations as functions of water temperature (°C), dissolved oxygen ( $\mu$ mol kg<sup>-1</sup>), salinity (PSU), and S. 709 710 fragilis abundance determined during the ROV Hercules dive in August 2015 (red circles) and 711 the ROV Jason dive in December 2016 (blue circles). Horizontal colored lines indicate depths 712 at which S. fragilis abundance dramatically increased. (b) All images were taken during the 713 ROV Jason dive. Left: feeding aggregation of S. fragilis at 485 m was estimated to have an 714 approximate density of 200 indiv. m<sup>-2</sup>. Right: holdfast of *Macrocystis pyrifera* kelp at ~625 m 715 unoccupied by S. fragilis.

716 Figure 4. Strongylocentrotus fragilis gonad indices collected from Los Angeles, Santa Barbara, 717 and San Diego subregions in the Southern California Bight. Numbers inside bars indicate 718 replicate number of urchins dissected. (a) Mean (+1 S.E.) gonad index from urchins collected 719 in the upper 500 m. Letters indicate significant difference based on Dunn's test treated with a 720 Bonferroni correction (p < 0.05). (b) Relationship between gonad index (±1 S.E.) and depth in 721 Los Angeles (red circles), San Diego (blue triangles), and Santa Barbara (green diamonds). 722 Linear regression (solid line) and 95% confidence intervals (dashed lines) represents trend 723 across all data. (c) Mean GI (+1 S.E.) separated into 100 m depth bins across subregions.

724 Figure 5. Gonad indices (GI) of Strongylocentrotus fragilis collected from a repeat trawl station 725 at 340 m water depth near Point Loma, San Diego, CA. Red line indicates the dataset mean 726 measured across 12 collections spanning 4.5 years. Letters represent significant differences (p 727 < 0.05) as determined from *post hoc* Dunn's tests. Numbers inside bars indicate replicate 728 number of urchins dissected. (a) Seasonality of GI (+1 S.E.) from Winter 2012 to Summer 2016. 729 (b) Seasonality of GI (+1 S.E.) pooled across years. (c) Comparison of GI between Summer 730 and either Fall or Winter seasons across years to show the difference between seasons with 731 relatively high and low GI.

Figure 6. Mean growth rates of *Strongylocentrotus fragilis* ( $\pm$  1 S.E.) as functions of (a) temperature (C°), (b) dissolved oxygen (µmol O<sub>2</sub> kg<sup>-1</sup>), and (c) *in situ* pH. (d) Growth rates are presented as diameter length (mm) per growth band by counting the number of dark bands within treated interambulacral plate ossicles. Depths of each trawl and CTD cast are presented in Table 3. Gray dashed line indicates a significant correlation between growth rate and environmental variable (see text for details).

- Figure 7. Mean (+1 S.E.) color and texture properties of individual gonad lobes from *Strongylocentrotus fragilis* and *Mesocentrotus franciscanus* (B and B-minus grade). (a)
  Lightness, (b) yellowness, (c) redness, and (d) total color change. Letters indicate significant
  differences among sources of gonads as indicated by Dunn's tests. (e) Mean peak hardness (+1
  S.E.) and (f) resilience (+1 S.E.) of individual lobes from *S. fragilis* and *M. franciscanus* (B
  grade). Letters indicate significant differences between the two sources as the results of either
- a 1-way analysis of variance (peak hardness) or Kruskal-Wallis test (resilience).