

**Review title:**

**Epigenetic regulation of intragenic transposable elements: A two-edged sword.**

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## **Summary**

Genomes of animals and plants contain a large number of transposable elements (TEs). TEs often transpose into genic regions, affecting expression of surrounding genes. Intragenic TEs mostly reside in introns, and in much the same way as intergenic TEs, they are targeted by repressive epigenetic marks for transcriptional silencing. Silenced intragenic TEs generally co-repress expression of associated genes, while in some cases they significantly enhance splicing and transcript elongation. Genomes have evolved molecular mechanisms that allow the presence of silenced TEs within transcriptionally permissive chromatin environments. Epigenetic modulation of intragenic TEs often contributes to gene regulation, phenotypic expression, and genome evolution.

## **Keywords**

Transposable elements/intron/epigenetics/heterochromatin/plant/

## **Introduction**

Transposable elements (TEs) are parasitic genetic elements in the genomes of most organisms that can amplify their own copy numbers in the genome, contributing to genome expansion and evolution (1, 2). For instance, TEs comprises nearly 50% of the human genome (3, 4) and over 80% of the maize genome (5). Since TEs are mutagenic, host genomes transcriptionally inactivate TEs using epigenetic silencing mechanisms, such as DNA cytosine methylation, histone H3K9 methylation, and RNAi (6, 7). Nevertheless, substantial numbers of TEs accumulate within transcriptional gene units. In the human genome, 60% of TEs are localized within introns that comprise only 24% of the genome (8). Interestingly, these “intragenic” TEs are associated with repressive epigenetic marks and form heterochromatin, in much the same way as intergenic TEs, even within actively transcribed regions. Organisms have evolved specific molecular mechanisms that allow the presence of heterochromatic TE sequences within a transcription-permissive chromatin environment. Recent findings of epigenetic regulation of intragenic TEs and their functional implications, especially in animals and plants, will be reviewed.

## **Genome evolution and intragenic TEs.**

Insertion of TEs into genic regions causes transcriptional attenuation of genes, due to disruption of the coding sequence and creation of novel regulatory elements, such as polyadenylation signals, cryptic promoters, and donor/acceptor sequences for alternative splicing (3, 9) (Figure 1). Still, many TEs are found within transcriptional gene units in eukaryote genomes (10). In mammals, the majority of intragenic TEs, especially Long Interspersed Nuclear Element 1 (LINE 1; L1) and Short Interspersed Nuclear Element (SINE) families, are enriched in introns (3, 8). Their sequences are often integrated as a part of mature transcripts, a state termed “exonization” (11), which contributes to splicing variant diversity of transcripts (12, 13) (Figure 1).

As in animals, plant genomes also harbor intragenic TEs that contribute to gene organization and genome evolution. For example, about 10% of genes in the maize genome contain >1 kb TEs in their introns (14). The genome of Norway spruce (*Picea*

*abies*, a gymnosperm) is >100-fold larger (~20 Gb) than that of the model plant *Arabidopsis thaliana* (130 Mb), although the number of genes (~28,000) and their exon sizes are comparable. Instead, genes in Norway spruce have longer introns (15). This is a result of accumulation of LTR-type retrotransposons in intronic regions over millions of years, without elimination of those sequences. A recent analysis of the *A. thaliana* genome showed that 0.7% of annotated genes contain about 3% of all TEs, the majority of them (~80%) residing within introns (16). *Arabidopsis lyrata*, a close relative of *A. thaliana*, has much longer introns than *A. thaliana* due to TE accumulation, suggesting that intron expansion by TE insertion can occur in species-specific manner. These observations indicate that intragenic TEs, especially intronic TEs, are tolerated within transcriptional units in many species, even though they are potentially deleterious for both coding sequences and regulatory elements.

### **Epigenetic regulation of intragenic TEs**

Intragenic TEs are subjected to both genetic and epigenetic regulation. In *A. thaliana*, most intragenic TEs are much shorter than intergenic TEs, suggesting that full-length, intact TEs are selected against and are degraded during evolution (16-18). TE sequences as well as their cryptic regulatory sequences, such as promoters and polyA signals, can be eliminated by mechanisms of unequal homologous recombination and illegitimate recombination (19). In addition to the genetic changes, epigenetic mechanisms play important roles in inactivation of intragenic TEs. Intragenic TEs are targeted by repressive epigenetic marks, such as DNA methylation and histone H3K9 methylation, even within genes actively transcribed by RNA polymerase II (20). Recent studies in mammals showed that repressive histone H3K9 methylation is deposited on L1 family in intronic region for transcriptional silencing, which is likely mediated by the H3K9 methyltransferase SETDB1 (21). H3K9 methylation is recruited to L1s by the human silencing hub (HUSH) complex, comprising TASOR, MPP8, and periphilin proteins (21-23). In addition, Microorchidia 2 (MORC2; MORC2A in mice), a member of the conserved ATPase domain-containing protein family, is required for deposition of H3K9 methylation to intergenic/intronic TEs, as well as endogenous retroviruses (21, 24) (Figure 2A). L1s targeted by HUSH/MORC2 tend to be full-length, evolutionarily

younger, and enriched in the transcriptionally permissive chromatin environment associated with active epigenetic marks, such as H3K4 methylation and H3K27 acetylation (21, 22). Transcriptional induction of L1-containing genes results in accumulation of HUSH/MORC2 on intronic L1 sequences, suggesting that RNA polymerase II (PolII) transcription is required for recruitment of the silencing complex to the intronic TEs. The PolII-dependent recruitment of H3K9 methylation is similar to the process for heterochromatin formation at pericentromeric repeats in fission yeast (25).

In plants, intronic TEs are also associated with repressive epigenetic marks, such as CG and non-CG methylation, H3K9 methylation, and small interfering RNAs (siRNAs) (26), that are required for formation of heterochromatin at TE sequences (16). In *A. thaliana*, the heterochromatic state of intronic TEs can be maintained by maintenance CG methylase MET1, histone H3K9 methyltransferases KYP/SUVH4, SUVH5, SUVH6, and the chromatin remodeler DDM1 (16, 27). Although detailed molecular events for recognition and initiation of epigenetic silencing of intragenic TEs still remain elusive, TEs newly inserted into intragenic regions can be recognized by host defense mechanisms involving RNA-directed DNA methylation (RdDM) (28) (Figure 2B). A recent study using a heat-inducible LTR-type retrotransposon *ONSEN/COPIA78* showed that after transposition into an intron of an active gene, TEs seem to be maintained in active chromatin state for multiple generations (29). Interestingly, genetic crosses of *Arabidopsis* strains efficiently induce DNA methylation and silencing of intronic *ONSEN*. This silencing process depends on siRNA and RdDM, since loss of RNA polymerase IV, which is required for production of 24-nt siRNA and *de novo* DNA methylation, abolishes the silencing (Figure 2B). It is likely that siRNAs produced from homologous *ONSEN* copies in other loci can guide the RdDM machinery to the newly introgressed intronic *ONSEN* in the genome. The TE silencing occurs immediately and efficiently in F1 plants, but how this silencing *in trans* takes place during plant development remains unclear. Transgenes inserted in intronic regions also show behavior similar to that of TEs (30).

### **Impact of intragenic TEs on gene expression**

In general, repressive epigenetic modifications spread into neighboring chromatin and down-regulate expression of surrounding genes. Indeed, in mammals, heterochromatin formation on intronic L1s by binding of HUSH and MORC2 causes co-

repression of both the TEs and associated genes (21, 22) (Figure 2A). It is also reported that genic H3K9 methylation inhibits PolII elongation, causes accumulation of PolII over H3K9 methylated regions, and promotes alternative splicing (31, 32). In plants, TEs associated repressive epigenetic marks also have a negative effect on gene expression; therefore, TEs have been evolutionarily purged from genic regions (33, 34). However, recent studies in plants indicate that outcomes of heterochromatin formation at intronic TEs may differ from those in mammals, in some respects. For example, expression levels of TE-containing genes in some plant species are comparable to those of genes without TE insertions (14, 15). In addition, loss of intronic heterochromatin in *A. thaliana* leads to premature polyadenylation within or before intronic TE sequences, rather than de-repression of associated genes (16, 27). These observations suggest that in plants, intronic heterochromatin tends to enhance proper splicing and/or to mask cryptic polyA signals within TE sequences.

Plants seem to have evolved specific epigenetic mechanisms to “skip” obstructive heterochromatic domain within introns. Genetic and biochemical studies in *Arabidopsis* identified factors required for this mechanism. ENHANCED DOWNY MILDEW 2 (EDM2) was identified as a factor required for proper transcription of a disease-resistance gene that contains many heterochromatic TEs in introns (27, 35). EDM2 has PHD domains that recognize H3K9 methylation, and a putative methyltransferase domain in its C-terminal part (36). Another factor, INCREASE IN BONSAI METHYLATION 2 (IBM2) /ANTI-SILENCING 1 (AS1) /SHOOT GROWTH 1 (SG1) contains an RNA-Recognition Motif (RRM) and a Bromo-Adjacent Homology (BAH) domain that likely binds to chromatin (36-39) (Figure 2B). In addition, several interacting factors of EDM2 have been identified (40). Mutants of these factors show similar molecular phenotypes: transcripts from genes containing heterochromatic domains in their introns are prematurely terminated and polyadenylated within the intron. This defect is similar to that in mutants defective in heterochromatin formation, such as *ddm1* and *suvh456* (The triple mutant for KYP/SUVH4, SUVH5, SUVH6) (16, 27). However, intronic heterochromatin is still maintained in *ibm2* and *edm2*, indicating that these factors are acting downstream of DNA methylation and H3K9 methylation. In addition, PolII elongation seems not to be affected by loss of these factors (37), suggesting their roles in post-transcriptional events, such as splicing and suppression of polyA signals. How these factors are preferentially recruited to intronic TEs, but not to intergenic

TEs remains unclear.

### **Gene regulatory role of intragenic TEs**

Intragenic TE sequences associated with epigenetic modifications often acquire regulatory roles in gene expression, and eventually affect individual phenotypes. In humans, DNA hypermethylation of *Alu* elements in introns of the proopiomelanocortin (*POMC*) gene causes downregulation of *POMC*, that is significantly associated with childhood obesity (41). In another case, DNA methylation of L1 in the intron of the  $\beta$ -globin gene (*HBB*) spreads into promoter and enhancer regions, that induce  $\beta$ -Thalassemia (42). In plants, several studies revealed the impact of epigenetic regulation of intronic TEs in gene function. In oil palm, methylation status of the LINE family retrotransposon Karma in the intron of the DEFICIENS gene affects splicing of the gene, where hypomethylation of Karma causes mis-splicing of the gene and results in the mantled phenotype of oil palm (43). Some plants require a cold period for transition from vegetative phase to reproductive phase, so called vernalization (44). In winter wheat, vernalization treatment induces DNA methylation at TEs in the intronic region of *VRN-A1*, which leads to transcriptional activation and promotion of flowering (45). In addition, population genome study of *A. thaliana* showed that the promoter and intron of the floral repressor gene *Flowering locus C (FLC)* are identified as a “hotspot” of TE insertion among natural accessions (46). Especially enriched are LTR retrotransposons, including *ONSEN/COPIA78*, and accessions having the TE insertion tend to show early flowering phenotypes, suggesting that insertion of the TE attenuates the function of *FLC*. How the epigenetic state of intronic TEs affects *FLC* expression in response to heat stress has yet to be investigated.

### **Conclusion**

Epigenetic silencing of intragenic TEs is a two-edged sword. Aggressive TEs that transpose to transcription-permissive genic regions are a serious threat to gene function and genome integrity. On the other hand, silencing of intragenic TEs attenuates PolIII transcription of the associated genes. Observed accumulation of heterochromatic TEs especially in intronic regions may be a result of a trade-off between TE silencing and

protection of gene function. In the case of plants, the heterochromatic state of intronic TEs enhances splicing/elongation of transcripts by specific molecular mechanisms. This would lead to further accumulation of TEs and expansion of intronic sequences in the genome, which would increase costs of maintenance and propagation. Although so far there are few reports that imply that epigenetic modification of intragenic TEs controls gene function, further studies would allow us to understand the impact of epigenetic regulation of intragenic TEs and their contributions to adaptation and evolution.



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**Conflict of interest**

The author declares no conflict of interest.

## Legends to Figures

Figure 1. Transcriptional defects caused by insertion of transposable elements (TEs) into intronic regions of genes. (A) Cryptic polyA signals encoded by intronic TE cause premature polyadenylation. (B) Internal promoters encoded by TEs can create cryptic transcription start sites. (C) Incorporation of TE sequence as part of mature mRNAs, known as exonization, which changes coding sequences of genes. (D) Epigenetic silencing of intronic TE causes co-repression of associated genes. Black lollipops represent repressive epigenetic marks such as DNA methylation. Light blue boxes represent exon sequences. Red bars denote mRNAs. Arrows indicate transcription start sites.

Figure 2. Epigenetic regulation of intronic TEs in mammals and plants. (A) Epigenetic silencing of intronic L1 elements in mammals. It is suggested that transcription of associated genes can recruit the HUSH/MORC complex to L1 for silencing (21). HUSH/MORC further recruits the H3K9 methylase SETDB1. Heterochromatic state of intronic TEs down-regulates associated genes. (B) Epigenetic silencing of intronic TEs in plants. siRNAs produced from homologous TEs at other loci directs RNA-directed DNA methylation (RdDM) to newly inserted TEs in intronic regions. This process is enhanced by genetic cross. Heterochromatic state of intronic TEs is maintained by DNA/histone methyltransferases such as MET1, SUVHs and chromatin remodeler DDM1, which enhances splicing of heterochromatic intron. IBM2 and EDM2 may bind to intronic heterochromatin via BAH and PHD domains and enhance splicing/elongation. Black lollipops represent repressive epigenetic marks such as DNA methylation. Light blue boxes represent exon sequences. Red bars denote mRNAs and siRNAs. Arrows indicate transcription start sites.

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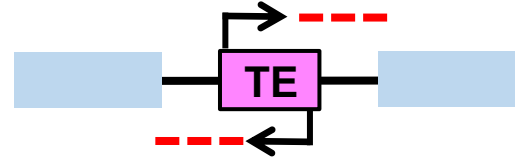
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Figure 1

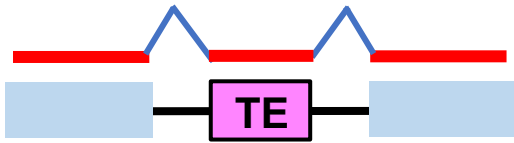
**A** Transcription inhibition by cryptic polyA signals



**B** Aberrant transcription from internal promoter



**C** Exonization



**D** Transcriptional repression

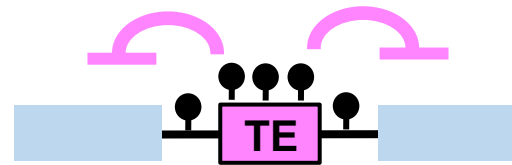


Figure 2

