- 1 Genetic and molecular basis of the immune system in the brachiopod Lingula anatina
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# 10 Abstract

11 The extension of comparative immunology to non-model systems, such as mollusks and annelids, has 12 revealed an unexpected diversity in the complement of immune receptors and effectors among evolutionary 13 lineages. However, several lophotrochozoan phyla remain unexplored mainly due to the lack of genomic 14 resources. The increasing accessibility of high-throughput sequencing technologies offers unique opportunities for extending genome-wide studies to non-model systems. As a result, the genome-based 15 16 study of the immune system in brachiopods allows a better understanding of the alternative survival 17 strategies developed by these immunologically neglected phyla. Here we present a detailed overview of the 18 molecular components of the immune system identified in the genome of the brachiopod Lingula anatina. 19 Our findings reveal conserved intracellular signaling pathways as well as unique strategies for pathogen 20 detection and killing in brachiopods.

- 21
- 22 Keywords: Brachiopoda; Lophotrochozoa; innate immunity; immune system evolution; genome.
- 23

# 24 Abbreviations

- 25 AMP: antimicrobial peptide
- 26 AP-1: activator protein 1
- 27 BPI: bactericidal/permeability increasing protein
- 28 CARD: caspase recruitment domain
- 29 CARDIF: CARD adaptor inducing IFN-beta (CARDIF)
- 30 C1qDC: C1q domain-containing
- 31 CCF: coelomic cytolytic factor
- 32 CCP: complement control protein

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- 33 cIAP1/2: cellular inhibitor of apoptosis protein 1/2
- 34 CLECT-DC: C-type lectin domain-containing
- 35 CRD: carbohydrate recognition domain
- 36 DAMPs: damage associated molecular patterns
- 37 dFADD: Fas-associated death domain-containing protein
- 38 DFD: death fold domain
- 39 IKK: IKB kinase
- 40 IL-17: interleukin-17
- 41 IL-1RL: Interleukin-1 receptor-like
- 42 IMD: immune deficiency
- 43 IPS-1: IFN-beta promoter stimulator
- 44 IRF: interferon responsive factor
- 45 ISRE: interferon-stimulated response element
- 46 FREP: fibrinogen-related protein
- 47 FReD: fibrinogen-related domain
- 48 GNBPs: Gram-negative binding proteins
- 49 LGBPs: lipopolysaccharide- and β-1,3-glucan binding proteins
- 50 LRRs: leucine-rich repeats
- 51 MACPF-DC: membrane attack complex/pore forming domain-containing
- 52 MAMPs: microbe-associated molecular patterns
- 53 MAPK: mitogen-activated protein kinase
- 54 MAVS: mitochondrial antiviral-signaling protein
- 55 mcc: multiple cysteine cluster
- 56 MIF: macrophage migration inhibitory factor
- 57 NF-κB: nuclear factor kappa-light-chain-enhancer of activated B cells
- 58 NLR: NACHT-LRR proteins
- 59 PAMPs: pathogen-associated molecular patterns
- 60 PGRP: peptidoglycan recognition protein

- 61 PGRP-PSM: peptidoglycan recognition protein putative signaling mediator
- 62 PRRs: pattern recognition receptors
- 63 RHIM: RIP homotypic interaction motif
- 64 RIG-I: retinoid inducible gene I
- 65 RIP: receptor-interacting protein
- 66 RLR: RIG-like receptor
- 67 scc: single cysteine cluster
- 68 SCR: scavenger receptor, cysteine-rich
- 69 STING: stimulator of interferon genes
- 70 SUEL: sea urchin egg lectin
- 71 TAK1: transforming-growth-factor-beta-activated kinase 1
- 72 TBK1: TANK-binding kinase
- 73 TGF- $\beta$ : transforming growth factor  $\beta$
- 74 TIR-DC: TIR domain-containing
- 75 TNF $\alpha$ : tumor necrosis factor alpha
- 76 TLR: Toll-like receptor
- 77 TEP: thioester-containing protein
- 78 TNFR: tumor necrosis factor receptor
- 79 TPM: transcripts per million
- 80

### 81 **1. Introduction**

82 The Lophotrochozoa superphylum (including mollusks, annelids, brachiopods, and other invertebrates) is one 83 of the most diversified animal groups, only second to Ecdysozoa in numbers of living species. These organisms 84 comprise a heterogeneous mix of monophyletic invertebrate phyla (Helmkampf et al., 2008; Philippe et al., 85 2005) that have virtually colonized all terrestrial and aquatic environments, displaying a remarkable biological 86 plasticity and capability of adaptation. This diversity is exemplified by mollusks, which comprise over 20% of 87 all marine species. Despite the ecological and evolutionary importance of lophotrochozoans, their 88 immunological study has been limited compared to the other major groups of protostomes, such as 89 Ecdysozoa. For what concerns Mollusca, early cellular studies (Canesi et al., 2002; Matricon-Gondran and 90 Letocart, 1999; Takahashi and Muroga, 2008) have been followed by extensive molecular surveys in 91 gastropods (Adema et al., 2017; Coustau et al., 2015; Pila et al., 2017), cephalopods (Castillo et al., 2015; 92 Gestal and Castellanos-Martínez, 2015) and bivalves (Gerdol and Venier, 2015; Song et al., 2015; Zhang et al., 93 2015). A minor interest has been directed to Annelida, with studies targeting immune cells (Boidin-Wichlacz 94 et al., 2011; Vetvicka and Sima, 2009) and immune genes (Altincicek and Vilcinskas, 2007; Nyholm et al., 95 2012; Tasiemski and Salzet, 2017) of polychaetes, oligochaetes and hirudinean worms.

96 Detailed data concerning the immune system of other lophotrochozoan phyla is lacking, except from 97 occasional reports focused on specific gene families (Jeong et al., 2015). Brachiopods, comprising no more 98 than 325 extant species, can be considered as part of these immunologically unexplored phyla. The 99 phylogenetic position of brachiopods, in particular in relation with other lophotrochozoan phyla (i.e. 100 Nemertea) has been a contentious matter for a very long time. The recent availability of fully sequenced 101 genomes for brachiopods, nemerteans and phoronids finally allowed to clarify the phylogenetic position of 102 Nemertea as a sister to the closely related phyla Brachiopoda and Phoronida (Figure 1, panel E) (Luo et al., 103 <mark>2018).</mark>

104 Despite their current sporadic distribution, brachiopods were extremely abundant in ancient seas, 105 with over 30,000 species known by fossil records. While brachiopods underwent a massive reduction in their 106 distribution, being out-competed by bivalves as a consequence of the Permian-Triassic extinction, some 107 species survived by developing a series of morphological and physiological adaptations (Posenato et al., 108 2014). All extant brachiopods are marine organisms, which mostly live in continental shallow waters, usually 109 attached to the substrate with their pedicles (Figure 1, panel A and B). Although brachiopods morphologically 110 resemble bivalve mollusks, their two valves are dorso-ventrally oriented, in contrast to a lateral position in 111 bivalves. Furthermore, like phoronids and bryozoans, they possess a horseshoe-shaped lophophore, a 112 characteristic feeding structure which has long been used for the classification of these phyla within a 113 taxonomic group named Lophophorata (Emig, 1997).

114 Anatomically, brachiopods have an open circulatory system, consisting of a dorsal vessel and several 115 interconnected blood sinuses, which deliver nutrients and oxygen to various parts of the body (Figure 1, 116 panel D). The blood and the colorless fluid contained in the coelomic cavity can mix, to some extent, as the 117 two compartments communicate. In physiological conditions, three main types of circulating cells can be 118 recognized in L. anatina: (i) erythrocytes, containing the respiratory pigment hemerythrin and abundant in 119 the blood vessels of the mantle; (ii) spindle body cells, elongated and rich in fibres, the most abundant cell 120 type in aquaria-maintained animals; (iii) amoebocytes, with a phagocytic activity, common in the coelomic 121 cavity of the pedicle and characterized by the presence of electron-dense granules (Rowley and Hayward, 122 1985) (Figure 1, panel C). The presence of amoeboid coelomocytes in the pedicle coelom of brachiopods has 123 been confirmed in different species, whereas this cell type appears to be extremely rare in the mantle cavity 124 (Heller, 1931; Morse, 1902; Prenant, 1928; Yatsu, 1902). Although some studies have further classified 125 amoebocytes among the hyaline, eosinophilic and basophilic subtypes (Ohuye, 1938), other researchers have 126 later pointed out that such morphological variations might be related to the degree of cell granulation 127 (Rowley and Hayward, 1985). While brachiopod amoebocytes cells might be involved in immune functions 128 (James et al., 1991), this has not been demonstrated. An immune-related function for these phagocytic cells 129 would indeed be reminiscent to that of annelid coelomocytes, which are involved in the recognition and 130 clearance of pathogens and waste material (Vetvicka and Sima, 2009). Brachiopod amoebocytes are also 131 involved in the resorption of necrotic tissues (Chuang, 1983) and the shell regeneration process (Pan and 132 Watabe, 1989).

133 The information available concerning pathogens and diseases affecting brachiopod species are also 134 scarce and mostly derive from paleobiological observations. Brachiopods have been subject to intensive 135 predation by drilling gastropods and/or polychaetes during their evolution (Baliński and Yuanlin, 2010; 136 Baumiller and Bitner, 2004; Kiel, 2008; Leighton, 2003; Teichert, 1945) and predation by marine invertebrates 137 appears to be rather relevant even in extant brachiopods under certain conditions (Tyler et al., 2013). Signs 138 of recovery from shell drilling have been widely documented by fossil records (Alexander, 1986; Hiller, 2014; 139 Peel, 2015), indicating that, despite the tissue damage sustained, brachiopods can survive and recover sub-140 lethal damages. Shell damage could lead to the exposure of injured tissues to the external environment, 141 thereby enabling the direct contact with pathogens that are often responsible for disease outbreaks and even 142 mass mortality events in other sessile marine invertebrates (Garnier et al., 2007; Paillard, 2004). Fossil 143 records also show that Devonian brachiopods could be infested by non-boring vermiform metazoan parasites 144 of uncertain taxonomic placement, as evidenced by the presence of tubular structures on the inner surface 145 of valves, interpreted as the result of shell deposition on parasites infesting the mantle cavity and damaging 146 the mantle tissue (Bassett et al., 2004; Vinn et al., 2014). Despite the little amount of reports available for 147 parasitism in contemporary brachiopods, the observation of shells from dead animals suggests that many 148 rhynchonelliform species living in Northern America suffer from significant parasitism by spionid polychaetes 149 (Rodrigues, 2007). Furthermore, some brachiopod species have been also reported as occasional 150 intermediate hosts of digenean trematodes (Cremonte et al., 2008).

At the same time, very little is known about mutualistic and commensalistic symbiosis in this phylum. Apart from epizoic foraminifers and other encrusting organisms which exploit feeding currents (Zumwalt and Delaca, 1980), a curious case of commensalism has been reported between *Laqueus rubellus* and the crab *Pinnotheres laquei*, which lives between the brachiopod valves without affecting shell deposition and mantle/lophopohore shape (Feldmann et al., 1996). The microbiota associated with brachiopod tissues represent a completely uncharted territory.

157 Overall, while the general knowledge of brachiopod biology is still very limited, this lophotrochozan 158 phylum represents an interesting, yet unexplored, target for immunological studies, providing an alternative 159 model to mollusks and roundworms, which might help to improve our understanding of the evolution of 160 immune strategies in metazoans.

- 161
- 162 **2.** Materials and methods
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# 164 **2.1. Sequence data and identification of immune-related genes**

The *L. anatina* genome and transcriptome assemblies were retrieved from a previous study (Luo et al., 2015).
 Briefly, the genome assembly was obtained by the use of a hybrid sequencing approach combining the
 outputs of Illumina, 454 Life Sciences reads and PacBio sequencing technologies. The initial assembly,
 performed with 454 and paired-end Illumina reads only, was carried out with Newbler. The preparation of

Illumina mate-pair libraries, together with additional 8.5 Gb PacBio data (consisting of reads spanning several
 Kb of sequence) were used for scaffolding, greatly improving the contiguity of the assembly, which was

171 further refined to close gaps and remove redundancy. Methodological details about the assembly procedure

# 172 can be found in the genome paper (Luo et al., 2015).

173 The 425-Mb L. anatina genome (v1.0) contains 34,105 coding genes, whose models were 174 downloaded and imported into the CLC Genomics Workbench v10 environment (Qiagen, Hilden, Germany) 175 subsequent analyses. As an accessory dataset, the for sequence transcriptome v1.0 176 (http://marinegenomics.oist.jp/), assembled with Trinity (r2013\_08\_14) (Grabherr et al., 2011) was also 177 taken into account. Briefly, the Trinity assembly approach generates high quality, full-length sequences of 178 transcripts expressed in a given tissue (or a combination of tissues, depending on the biological material 179 available), also reporting alternatively spliced isoforms. In the Lingula genome paper, this data, obtained 180 from multiple adult tissues and developmental stages (see section 2.2), was exploited in the AUGUSTUS 181 pipeline for gene annotation, which evaluated the alignment of de novo assembled transcripts with the 182 reference genome to predict coding regions (Luo et al., 2015).

183 Transcriptome data was used: (i) to assess the completeness of the proteins virtually predicted from 184 gene models; (ii) to evaluate the presence of alternatively spliced isoforms; and (iii) to confirm the absence 185 of specific genes that could not be identified within the set of predicted gene models.

186 Both the genome-derived gene models and transcripts were used to build sequence databases for 187 subsequent BLAST similarity searches (Altschul et al., 1990). Immune-related sequences were identified 188 based on the presence and organization of specific functional domains in the virtually-translated protein 189 products identified with InterProScan 5 (Jones et al., 2014). The presence of signal peptides for secretion and 190 transmembrane regions in the encoded proteins were evaluated, whenever necessary, with Phobius (Käll et 191 al., 2004). Similarity-based searches were mainly performed using as queries relevant immunity-related 192 protein sequences retrieved from representative vertebrate and invertebrate organisms, namely: Homo 193 sapiens (vertebrates), Branchiostoma floridae (Cephalochordata), Strongylocentrotus purpuratus 194 (Echinodermata), Capitella teleta (Lophotrochozoa, Annelida), Crassostrea gigas (Lophotrochozoa, Mollusca, 195 Bivalvia), Biomphalaria glabrata (Lophotrochozoa, Mollusca, Gastropoda) and Drosophila melanogaster 196 (Ecdysozoa, Insecta). In some cases, key sequences from additional species were also used. Matching hits 197 were initially checked for completeness by the comparison with query sequences and further evaluated by 198 the identification of homologous sequences in the transcriptome or the alternative genome annotation 199 provided by the NCBI Eukaryotic Genome Annotation Pipeline 200 (https://www.ncbi.nlm.nih.gov/genome/annotation\_euk/Lingula\_anatina/100/). Throughout the text, levels 201 of sequence similarity are always intended at the amino acid level, unless differently stated.

202

# 203 2.2. Assessment of gene expression levels

204 The gene expression level of target genes of interest were calculated across seven adult tissues (lophophore, 205 whole gut, digestive cecum, dorsal mantle, ventral mantle, pedicle and regenerated pedicle) and ten 206 developmental stages (fertilized egg, 32-cell, 128-cell, early blastula, blastula, early gastrula, mid gastrula, 207 late gastrula, one and two pair-of-cirri larvae) based on the RNA-seq data generated within the frame of the 208 L. anatina genome sequencing project (Luo et al., 2015). Sequencing reads for each sample were imported 209 into the CLC Genomics Workbench v10 environment. Here, they were trimmed by removing low-quality 210 regions and residual adapter sequences, and individually mapped against the reference genome based on 211 0.75 and 0.98 length and similarity fraction thresholds. To enable full comparability of gene expression levels 212 both within and among samples, raw counts were converted to transcripts per million (TPM) values, a 213 measure which is consistent with the relative molar concentration of each mRNA species (Wagner et al., 214 2012). Gene expression levels were square root-transformed to build heat maps.

215

### 216 2.3. Specialized downstream analyses

Whenever a sequence could not be detected in L. anatina, RNA-seq reads from other brachiopod were 217 218 downloaded from the NCBI Sequence Read Archive (data retrieved in October 2017) including Magellania venosa (Jackson et al., 2015), Laqueus californianus, Hemithiris psittacea, Glottidia pyramidata (Halanych 219 220 and Kocot, 2014), Novocrania anomala, Terebratalia transversa, Kraussina rubra and Liothyrella uva 221 (unpublished) (Table S1). Paired-end reads were imported into the CLC Genomics Workbench v10 222 environment, trimmed based on quality and separately used to generate de novo transcriptome assemblies 223 for each species, setting the word size and bubble size parameters to "automatic" and the minimum contig 224 length to 300 nucleotides. The obtained transcriptomes were analyzed to calculate N50 statistics and used 225 to build databases for BLAST searches.

226 On specific occasions, remote structural similarities were identified with HHpred (Söding et al., 2005) 227 and structural modelling of the three-dimensional structure of *L. anatina* predicted proteins was carried out 228 with Phyre 2 (Kelley et al., 2015) based on the templates deposited in the Protein Data Bank database.

229 Phylogenetic trees were constructed based on the multiple sequence alignment of the target amino 230 acid sequences built with MUSCLE (Edgar, 2004) and refined with Gblocks v0.91b to remove non-alignable 231 residues (Talavera and Castresana, 2007). Bayesian phylogenetic inference was performed with MrBayes v3.2 232 (Huelsenbeck and Ronquist, 2001), implementing the best-fitting molecular models of evolution estimated 233 by ProtTest v2.4 (Darriba et al., 2011). The number of generations for the Markov chain Monte Carlo analyses 234 was set based on the convergence of the two independent analyses run in parallel, evaluated by the reaching 235 of a standard deviation of split sequences <0.05 and an effective sample size >200. All estimated parameters 236 were inspected with Tracer v.1.6 (http://tree.bio.ed.ac.uk/software/tracer/). In the graphical representation 237 of the phylogenetic trees, nodes supported by posterior probability values <50% were collapsed.

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### 239 **3. Results and discussion**

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### 241 **3.1.General remarks**

The metazoan innate immune system is based on a pathway consisting of receptors, signaling and effector 242 243 molecules whose concerted action activates a coordinated response towards invading microorganisms and 244 parasites. The initial involvement of specific immune cells and humoral factors in the recognition of microbe-245 and pathogen-associated molecular patterns (MAMPs and PAMPs) further reinforces the response at a 246 systemic level, leading to the recruitment of other specialized cells at the site of infection and triggering the 247 production of pro-inflammatory and antimicrobial factors. Depending on the nature of the invading 248 microbes, the extent and the severity of the infection, the concomitant presence of tissue damage and the 249 overall physiological status of the animal, the interplay between these factors direct the fate of the infected 250 cells either towards survival or death.

The *L. anatina* genome contains extracellular putative pattern recognition receptors (PRRs), secretory lectin-like molecules characterized by extraordinary sequence diversity and recognition properties. These receptors are humoral factors acting in the extracellular space, which might be involved in the activation of a primitive complement-like system. However, the precise importance this defense mechanism 255 in invertebrates has not been fully elucidated yet. Soluble factors are assisted in their role by expanded 256 families of membrane-bound receptors (including toll-like receptors) and by cytosolic PRRs. Regardless of the 257 cellular and subcellular localization of PRRs, immune signals trigger a conserved signaling pathway, which 258 includes the activation of the nuclear factor kappa-light-chain-enhancer of activated B cells (NF-κB) or the 259 activator protein 1 (AP-1), either through the IKB kinase (IKK) complex or the mitogen-activated protein 260 kinase (MAPK) cascade. This process leads to the production of immune effectors involved in the killing of 261 microbes, i.e., antimicrobial peptides, lysozymes and pore-forming molecules, and of elusive cytokine-like 262 molecules employed in the perpetration of the response at a systemic level.

263 Below, we provide a comparative summary of the number of genes involved in immune response in 264 L. anatina and in three other representative lophotrochozoan species (the polychaete worm C. teleta, the 265 gastropod mollusk Biomphalaria glabrata, and the bivalve mollusk C. gigas). As outgroups, we selected the 266 fruit fly D. melanogaster and the sea urchin S. purpuratus, which genome has been the subject of extensive 267 studies (Hibino et al., 2006) (Figure 2).

268 The cDNA sequences of the major molecular players of the L. anatina immune system are reported 269 in Supplementary File 1.

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### 271 3.2. Extracellular PRRs

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### 273 3.2.1. Fibrinogen- related domain-containing proteins

274 Fibrinogen-related domain containing (FReD-C) proteins are a heterogeneous group of proteins found in all 275 metazoans that share sequence similarity with vertebrate ficolins, soluble lectins with a collagen and a 276 fibrinogen-like C-terminal domain, involved in the activation of the lectin pathway of the complement system 277 (Matsushita, 2010). Some FRED-C proteins have been implicated in PAMP recognition in some invertebrates, 278 a function that has been first demonstrated to be crucial in modulating the interplay between gastropod 279 mollusks and parasitic trematodes in a particular subfamily of molecules named fibrinogen-related proteins 280 (FREPs) (Adema et al., 1997; Hanington and Zhang, 2011). The immune role of these PRRs has been recently 281 investigated also in bivalves, where they are a greatly expanded gene family and lack the N-terminal 282 immunoglobulin domain typical of bona fide gastropod FREPs (Huang et al., 2015; Romero et al., 2011). 283 Notably, gastropod FREPs are highly polymorphic. In the snail Biomphalaria glabrata, their diversification 284 occurs through somatic mutation, possibly serving as an anticipatory mechanism of immunity (Adema, 2015; 285 Pila et al., 2017). Notably, B. glabrata FREPs, together with C-type lectin-related proteins (CREPs) and 286 galectin-related proteins (GREPs), create an interesting group of molecules named VIgL (Variable 287 Immunoglobulin and Lectin domain containing molecules), due to the shared presence of Ig-superfamily 288 domains in the N-terminal region, combined with a C-terminal lectin-like domain (Dheilly et al., 2015). 289 Consistently with the absence of similar proteins in bivalves (Gerdol, 2017), no CREP- or GREP-like sequences 290 could be identified in L. anatina, reinforcing the hypothesis that these recognition proteins are gastropod-291 specific innovations.

292 The immune role of FReD-C proteins in invertebrates is not limited to mollusks, as two proteins with 293 a similar structure to molluscan FReD-C proteins and ficolins, named tachylectin-5A and B, contribute to 294 approximately two-thirds of hemagglutination activity in horseshoe crabs (Kawabata et al., 2009), likely 295 reflecting the common origin of vertebrate blood coagulation and invertebrate hemocyte agglutination.

296 We identified 158 L. anatina genes that contain fibrinogen C-terminal globular domains and display 297 a variable domain architecture. Some L. anatina FReD-C proteins share a remarkable sequence similarity

(~50-55% identity at the amino acid level) with bona fide gastropod FREPs, tachylectins, ficolins and ryncolins
 (a family of snake venoms interfering with the coagulation cascade), suggesting a role possibly linked to
 hemagglutination. However, none of these proteins displays N-terminal immunoglobulin or collagen
 domains, which characterize gastropod FREPs and vertebrate ficolins, respectively. It is noteworthy that a
 subset of approximately 35 *L. anatina* FReD-C proteins are highly similar (>60% sequence identity at the
 amino acid level in pairwise alignments), and therefore likely result from a recent gene family expansion
 event.

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# 306 **3.2.2. C1q domain containing proteins**

307 C1q is a highly plastic globular domain that has been recently identified as a PRR module in many 308 protostomes. In particular, C1q domain-containing (C1qDC) genes have undergone massive expansion in 309 bivalves, where they represent the major class of extracellular lectin-like molecules (Gerdol et al., 2015). 310 However, C1qDC genes are relatively uncommon in other vertebrate groups, and independent gene family expansion events have led to the acquisition of a large complement of C1qDC genes in different taxa (Gerdol 311 312 et al., 2011). The L. anatina C1qDC family did not undergo extensive expansion with only 27 genes identified. 313 The most common domain architecture observed in brachiopods corresponds to secreted C1q-like proteins 314 (bearing an N-terminal signal peptide, followed by collagen repeats and a C-terminal globular C1q domain). 315 Although this structural organization is uncommon in bivalves, where collagen repeats are replaced by 316 functionally homologous coiled-coil regions, it is found in many vertebrate C1qDC proteins. These includes 317 the three major components of the complement C1q complex (C1qA, C1qB, and C1qC), which are activated 318 in response to the interaction with antigen-bound immunoglobulins. Due to their potential role as PRRs, 319 C1qDC proteins might be part of an ancestral complement system, where they could overcome the lack of 320 immunoglobulins by directly recognizing PAMPs.

However, in the absence of functional data and relevant sequence similarity to other functionally characterized C1qDC genes, the role of these molecules in brachiopods remains a matter of speculation. Indeed, as demonstrated by the presence of a C1qDC gene with chemotactic properties involved in nerve growth in annelid worms (Tahtouh et al., 2009), lophotrochozoan C1qDC genes are not exclusively linked to immune functions.

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### 327 3.2.3. C-type lectins

328 C-type lectin domain-containing (CLECT-DC) proteins represent a large family of heterogeneous molecules 329 characterized by the presence of a CLECT carbohydrate recognition domain (CRD), often combined with other 330 structural motifs. While their variable domain architecture can lead to a remarkable functional diversity in 331 Lophotrochozoa, many C-type lectins act as PRRs in marine invertebrates (Sekine et al., 2001; Yuasa et al., 332 1998). This function is in line with that covered by mannose-binding lectins in the vertebrate complement 333 system (Fraser et al., 1998). Like other protostomes, the genome of L. anatina contains over 300 CLECT-DC 334 genes, with a variable domain architecture and little homology (~30-35% at the amino acid level) to 335 sequences with a well-characterized function in invertebrates. We present here a few recurrent domain 336 architectures that might warrant further research concerning a possible involvement in the immune system 337 (Figure 3).

One of the most common domain organizations comprises a short signal peptide for secretion, immediately followed by the CRD domain. In many cases, the CLECT domain is associated with an N-terminal coiled-coiled region, which might provide the functional equivalent to the collagen region of mannose341 binding lectins, enabling oligomerization. Despite low primary sequence homology, these CLECT-DC proteins 342 show a structural organization very similar to lectins involved in PAMP recognition in other metazoans (Fraser 343 et al., 1998; Sekine et al., 2001; Yuasa et al., 1998). The CLECT domain is often associated with other domains 344 with diverse function, or with low complexity regions. While some of these genes (e.g. those containing von 345 Willebrand factor type A domains) are likely to be involved in cellular adhesion as extracellular matrix 346 proteins, the functional role of several others is still unknown. Some secreted CLECT-DC proteins are very 347 large and comprise more than one thousand residues. In these cases, the CLECT domain is often combined 348 with other putative carbohydrate-binding domains-e.g. the WSC domain, and with a large number of 349 complement control protein (CCP) modules (Reid and Day, 1989), supporting their involvement in recognition 350 and/or adhesion processes.

351 We found that about 20 L. anatina genes bear a variable number of consecutive CLECT modules (6 352 to 17), resulting in protein products of up to 2,500 amino acids. These large proteins, containing a single C-353 terminal transmembrane domain, display a remarkable structural similarity with vertebrate macrophage 354 mannose receptors involved in the recognition of non-self and the activation of phagocytosis (Fraser et al., 355 1998). The main difference between the L. anatina mannose-receptor-like proteins and their vertebrate 356 counterparts is the lack of the N-terminal Ricin-B-like/Fibronectin type II domain tandem. While the structural 357 homology between the two receptors might be the result of convergent evolution (no macrophage mannose 358 receptor has ever been identified in basal deuterostomes), such a remarkable similarity certainly warrants 359 future functional investigations. Moreover, some of these genes combine CLECT with F-type lectin-like and 360 LCCL domains, which have also been implicated in immune response (Trexler et al., 2000). Other unusual 361 CLECT-DC membrane-bound receptors contain multiple CCP modules, suggesting a possible involvement in 362 the control of the complement system.

363

### 364 3.2.4. Mytilectins

Mytilectins, a novel group of  $\alpha$ -D-galactose-binding lectins recently described in mussels (Mytilus spp., 365 366 Mollusca), can bind human lymphoma cells and trigger their apoptosis (Fujii et al., 2012). The structure of 367 mytilectins is quite simple, as it comprises three tandemly-repeated carbohydrate-binding units structurally 368 similar to the Ricin-B lectin domain, and it sometimes also includes an additional C-terminal domain that 369 shows a suggestive resemblance to pore-forming bacterial toxins (Hasan et al., 2016). The presence of 370 carbohydrate recognition and pore-forming domains within the same molecule has important implications 371 on the possible involvement of these lectins in the activation of molecular machinery aimed at the killing of 372 invading microbes similar to the terminal pathway of the complement system.

373 Interestingly, mytilectins appear to have a narrow taxonomical distribution, limited to some bivalve 374 species. To the best of our knowledge, brachiopods become the second phylum where this group of lectins 375 has been recognized. At least seven complete distinct loci exist in L. anatina, all encoding ~300 amino acids 376 long protein precursors which are structurally similar to each other and include a C-terminal pore-forming 377 domain. In spite of a limited amino acid primary sequence identity (30-35%), bivalve and brachiopod 378 mytilectins share a highly conserved three-dimensional fold (Figure S1). However, in contrast with bivalves, 379 L. anatina mytilectins bear a signal peptide, which would determine their targeting to the extracellular space. 380 It is also worth mentioning that the C-terminal pore-forming domain of mytilectins, despite an insignificant 381 primary sequence homology, is structurally similar to biomphalysin, a pore-forming toxin produced by the 382 snail B. glabrata to kill Schistosoma mansoni larvae (Galinier et al., 2013).

- 383
- 384 3.2.5. Galectins

385 Galectins are a class of lectins binding  $\beta$ -galactoside residues, ancient widespread in metazoans (Müller et 386 al., 1997), which hold a dual role as PRRs and damage associated molecular patterns (DAMPs) (Sato et al., 387 2009). Some invertebrate galectins, e.g. BgGal from the snail B. glabrata, have been specifically implicated in 388 the recognition of pathogen-associated sugars (Yoshino et al., 2008). Most of the invertebrate galectins 389 described so far and, in particular, those involved in PAMP recognition in mollusks, comprise either one, two 390 or four consecutive galectin domains, with no evident signal peptide, as they are usually targeted to the 391 extracellular space by the leaderless secretion pathway. The four L. anatina galectin genes contain a domain 392 architecture similar to that of mollusks. One of these genes encodes a galectin with two CRDs, two other 393 genes encode galectins with four CRDs, and one corresponds to an unusual lectin with three domains, with 394 the first and the second one separated by a low complexity region. It is noteworthy that galectins with four 395 CRDs are absent in the genomes of annelids and nemerteans, leaving brachiopods, phoronids and mollusks 396 as the only three known lophotrochozoan phyla where such a domain combination is present. Due to their 397 high sequence homology and highly conserved function in all metazoans, it is reasonable to assume that 398 brachiopod galectins also share functional similarity with their molluscan homologs.

399

# 400 3.2.6. Hydrolase family 16 lectins

401 The lectin-like molecules characterized by the presence of a conserved glycoside hydrolase family 16 402 (IPR000757) share high homology with insect Gram-negative binding proteins (GNBPs). In Drosophila 403 immunity, GNBPs play an important role, in collaboration with PGRPs, by recognizing bacterial components 404 (Lys-type peptidoglycan and  $\beta$ -glucans) and triggering the extracellular proteolytic cascade which leads to 405 the activation of Toll and melanization (Ferrandon et al., 2007). This function has only been elucidated so far 406 in arthropods, whereas in other metazoans, TLRs are likely able to directly recognize PAMPs without the 407 intervention of the cytokine Spätzle, the final product of the aforementioned proteolytic cascade. Proteins 408 similar to GNBPs are however present in different metazoan phyla, even though in some cases they have no 409 role in immunity, being rather used for digestive processes as glucanases (Hughes, 2012).

As multiple GNBP-like sequences have been reported in lophotrochozoans, either with a function as microbial sensors (and therefore named lipopolysaccharide- and  $\beta$ -1,3-glucan binding proteins, LGBPs) or as endo  $\beta$ -1,3-glucanases, we relied on phylogeny to assign the six genes identified in *L. anatina* to either of the two categories (**Figure 4**). None of the brachiopod sequences fell within the glucanase family, ruling out the involvement of *L. anatina* GNBPs in digestive processes. On the other hand, three sequences fell within the LGBP clade, together with some sequences previously described in mollusks as pattern recognition proteins and upregulated in response to bacterial challenges (Nikapitiya et al., 2010; Zhang et al., 2010).

417 The remaining three brachiopod sequences were placed in the same branch of annelid coelomic 418 cytolytic factors (CCFs). Although the posterior probability was not significant for supporting this clade, the 419 structural similarity between the three brachiopod sequences and CCFs, given by the lack of the N-terminal 420 glucan-binding domain found in LGBPs and GNBPs alike, reinforces their homology. CCFs, first recognized in 421 the coelomic fluid of Eisenia fetida (Bilej et al., 1995), play a fundamental role in the immunity of earthworms, 422 where they act as broad-spectrum PRRs. Indeed, they can recognize cell wall components of Gram-positive 423 and -negative bacteria (lipopolysaccharides and peptidoglycan), as well as fungi ( $\beta$ -1,3 glucans) (Šilerová et 424 al., 2006), thereby activating the melanization cascade (Beschin et al., 1998).

While it remains to be confirmed whether *L. anatina* CCF-like proteins are functionally homologous to earthworm CCFs, the results of the phylogenetic analysis are consistent with a likely involvement of all the six identified brachiopod genes in immune processes. Although this observation finds a parallelism with the previous identification of lipopolysaccharide-binding proteins in blood cell lysates of *Terebratulina* sp. (Bakholdina et al., 2014), the specific role of the two divergent groups of brachiopod GNBPs in PAMP
 recognition and their possible activation of the melanization cascade remains to be fully elucidated.

431

# 432 **3.2.7.** Other lectins possibly involved in pathogen recognition

433 The inference of possible functions of lectin-like molecules in brachiopods is complicated by the frequent 434 combination of the main CRD with various other conserved domains and by limited sequence homology with 435 well-described counterparts in other animals. Among these, F-type lectins, occasionally implicated in immune 436 recognition in invertebrates (Vasta et al., 2012), represent an exemplifying case, as they comprise about 50 437 members in L. anatina with variable domain combinations. Similarly, the sea urchin egg lectin (SUEL) 438 rhamnose-binding CRD, commonly found in invertebrate lectins as a system of protection for fertilized eggs 439 from infection (Tateno, 2010), is present in L. anatina. The egg-protection role is covered in gastropods by H-440 type lectins. However, the study of this lectin family in Lophotrochozoa has been limited, and no functional 441 information has been collected for non-molluscan invertebrates (Gerdol, 2017; Gerlach et al., 2005). Only 442 two H-type lectin genes are present in L. anatina, and they share limited sequence homology with the 443 agglutinins of land snails.

444 Moreover, proteins bearing an apextrin-like C-terminal (ApeC) domain recently emerged as possible 445 PRRs in amphioxus (Huang et al., 2014) and a lectin-like function has also been tentatively assigned to 446 apextrin-like molecules in bivalves (Gerdol and Venier, 2015). In contrast with molluscan genomes, which 447 encode several apextrin-like proteins, only a single secreted ApeC gene was found in L. anatina. This gene 448 bears homology with those of amphioxus, supporting the possible role of this lectin-like molecule as a PRR. 449 Finally, a novel class of lectins recently described in the gastropod Aplysia (Carneiro et al., 2017; Motohashi 450 et al., 2017), is also present in L. anatina. These carbohydrate-binding proteins, containing the conserved 451 domain, DUF3011, are absent in the genomes of other gastropods and have not been described in other 452 invertebrates. The genome of L. anatina contains a few members of this family, although their precise 453 number could not be assessed due to uncertainties in the prediction of these gene models. They appear as 454 secretory proteins of about 160 amino acids, which could be involved in the protection of eggs from bacterial 455 infections, like that in Aplysia.

456

# 457 **3.3. The complement-like system of brachiopods**

458 In vertebrates, the complement system certainly represents the most important effector component of the 459 innate immune response, which has often been described as a functional link between innate and acquired 460 immunity (Dunkelberger and Song, 2009). This highly complex molecular mechanism, aimed at the 461 opsonization and killing of pathogens and at the activation of inflammatory processes, can be fundamentally 462 activated through three ways: (i) the classical pathway, mediated by the binding of C1q to an antigen-463 complexed immunoglobulin; (ii) the alternative pathway, triggered by the binding of microbes by the C3b 464 complement component; and (iii) the lectin pathway, mediated by PAMP recognition by ficolins and 465 mannose-binding lectins.

Due to the lack of immunoglobulins and other crucial molecules of the complement system in invertebrates, it has long been debated whether this system was an innovation of the vertebrate lineage or if an ancestral, simplified system was already present in the common ancestor of all metazoans. The first pieces of evidence supporting the latter hypothesis came from the observation that two key components, C3 and Factor B, are conserved in both vertebrates and invertebrates, although *bona fide* C3 components are crucially missing in several arthropods (Sekiguchi et al., 2012). This suggests the presence of both the alternative and of the lectin pathways in the most recent common ancestor of all animals (Smith et al., 1999).
These early observations were later confirmed in cnidarians, echinoderms, urochordates, cephalochordates
(Pinto et al., 2007) and, more recently, also in some lophotrochozoan phyla (Prado-Alvarez et al., 2009).

475 Although the existence of a classical complement pathway is ruled out in all invertebrates by the lack 476 of immunoglobulins, C1qDC proteins, often expanded and highly diversified, may potentially be involved in 477 opsonization and pathogen clearance, like ficolins and mannose-binding lectins. However, due to the lack of 478 convincing sequence homology and the absence of a series of proteases required for the activation of the 479 complement lectin pathway (such as mannose-associated serine protease), the hypothesis that these lectin-480 like molecules are effectively involved in the activation of the brachiopod complement system remains a 481 matter of speculation in absence of functional evidence. Regardless of the involvement of C1qDC proteins, 482 FReD-C proteins, C-type lectins or any other PRRs in a lectin-like complement pathway, the two major central 483 components of the complement system, Factor B and C3 are notably both present in L. anatina as single-484 copy genes. The brachiopod Factor B is highly similar to those of vertebrates, with the only difference given 485 by the higher number of CCP modules present.

486 C3 and the accessory complement proteins C4, C5 and  $\alpha_2$  macroglobulin, pertain to the family of 487 thioester-containing proteins (TEPs), characterized by the presence of a unique and conserved thioester 488 motif (GCGEQ) which confers the ability to form covalent bonds with macromolecules (Levine and Dodds, 489 1990). As previously reported by other authors, C3 is highly conserved across most metazoans (with the 490 notable exception of arthropods), and the brachiopod genome contains a phylogenetically supported, bona 491 fide, C3 orthologous gene, which encodes a protein displaying both a conserved thioester motif and a C-492 terminal netrin domain, which differentiates C3/C4/C5 family proteins from other TEPs (Figure S2). Although 493 the L. anatina C3 is the lone member of the C3/C4/C5 clade, at least seven other TEPs are encoded by the 494 genome of this brachiopod (**Figure S2**), including a genuine  $\alpha_2$  macroglobulin, another large plasma protein 495 potentially functioning as a powerful inhibitor of virulence factors and proteases produced by invading 496 microbes (Armstrong and Quigley, 1999). The other Lingula TEPs were placed by the phylogenetic analysis 497 with high confidence within the CD109/iTEP clade, which includes vertebrate CD109 proteins, negative 498 regulators of the TGF- $\beta$  signaling (Bizet et al., 2011), and invertebrate TEPs (iTEPs) that promote opsonization 499 and phagocytosis (Blandin et al., 2008; Bou Aoun et al., 2010; Zhang et al., 2009). This observation warrants 500 further investigations, especially taking into account the partial conservation of the thioester motif in some 501 brachiopod sequences (Figure S2).

502 The mode of activation of the complement system in protostomes has been extensively studied in 503 the horseshoe crab Tachypleus tridentatus, where it can be triggered either by Gram-positive bacterial 504 infection in a Factor B-dependent way (Ariki et al., 2008) or by Gram-negative bacterial infection in a Factor 505 B-independent way, through the lipopolysaccharide-sensitive clotting Factor C (Tagawa et al., 2012). A 506 previous study, targeting Ecdysozoa, demonstrated that the Factor C-dependent pathway is only present in 507 Chelicerata, while it was lost (along with C3 and Factor B) in Pancrustacea and most Myriapoda (Sekiguchi 508 and Nonaka, 2015). Surprisingly, a single-copy gene produces a Factor C-like protein in L. anatina. Although 509 this protein lacks the C-type lectin and LCCL domains typical of T. tridentatus Factor C (Figure 5), it presents 510 the same domain architecture of the Factor C-like protein of the centipede Scolopendra subspinipes, which 511 has also been suggested to be involved in the complement system (Sekiguchi and Nonaka, 2015). To the best 512 of our knowledge, this is the first report of a Factor C-like protein outside arthropods, as well as the first piece 513 of evidence supporting the existence of a Factor B-independent alternative pathway of the complement 514 system in Lophotrochozoa. Upon further scrutiny, we could detect Factor C-like genes with a peppered 515 taxonomical distribution in the genomes of other non-arthropod invertebrates, i.e. the lophotrochozoans M. 516 yessoensis and Phoronis australis, the priapulid Priapulus caudatus and the amphioxus Branchiostoma 517 belcheri. No similar gene was however present in several other genomes, revealing a. Phylogenetic inference 518 clarified that such genes were placed well within the Factor C clade, together with all the arthropod 519 sequences previously described by Sekiguchi and Nonaka (2015) and well distinct from other animal serine 520 proteases involved in blood clotting (**Figure S3**).

521 Overall, the *L. anatina* genome allows us to hypothesize the existence of an ancient lectin pathway 522 of the complement system in brachiopods, similar to the one partially unveiled in other invertebrates (Pinto 523 et al., 2007). This system would consist of C1qDC proteins, FReD-C proteins or C-type lectins, which might 524 trigger the activation of C3 thanks to the action of presently unknown proteases. In addition to that, a Factor 525 C-like protein might directly mediate the activation of the complement system in response to Gram-negative 526 bacteria (Figure 5).

527 In vertebrates, the terminal pathway of the complement system consists of proteins related to the 528 MACPF superfamily (C6-C9), which form the membrane attack complex (MAC), leading to the killing of Gram-529 negative bacteria through lytic mechanisms (Tschopp et al., 1986). Although a number of proteins pertaining 530 to this superfamily have been already evidenced in different invertebrate phyla (Mah et al., 2004), they only 531 show a remote sequence similarity to MAC components. In total, seven brachiopod genes encode proteins 532 related to the MACPF superfamily. Three of these share high similarity to MPEG1, an evolutionarily conserved 533 gene (Wiens et al., 2005) that is thought to be involved in the clearance of phagocytosed bacterial cells (He 534 et al., 2011). However, the remaining four brachiopod MACPF proteins are largely divergent from any other 535 known perforin studied so far: three are long secretory proteins that combine the pore-forming domain with 536 multiple furin-like and EGF domains, whereas a single copy gene encodes a protein where the MACPF domain 537 is associated with a C-terminal SCR domain.

Among the other possible alternative pore-forming effectors, mytilectins are likely to lead to the lysis 538 539 of pathogenic microbes upon recognition, without the involvement of accessory molecules in the brachiopod 540 complement system. Due to their previously demonstrated agglutinating properties (Hasan et al., 2016), 541 mytilectins might be considered as likely accessory components of the brachiopod complement system (Figure 5). As previously mentioned, the C-terminal domain of mytilectins shares a remote structural 542 543 similarity with biomphalysins, pore-forming molecules involved in immune defense in gastropods (Galinier 544 et al., 2013), due to the presence of an aerolysin-like fold. However, no bona fide biomphalysin sequence is 545 present in brachiopods. Another interesting finding in the context of pore-forming molecules was the 546 presence of a few genes encoding members of the actinoporin family, sharing significant similarity with the 547 clamlysin, a lytic protein produced by freshwater clams whose physiological function is presently unknown <mark>(Takara et al., 2011)</mark>. 548

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## **3.4. Membrane-bound receptors and related intracellular signaling pathways**

551

552

## 3.4.1. Peptidoglycan recognition receptors and the IMD-like pathway

553 The N-acetylmuramoyl-L-alanine amidase domain characterizes a heterogeneous group of metazoan 554 membrane-bound or secreted proteins collectively known as peptidoglycan recognition receptors (PGRPs). 555 The interplay between PGRPs and Gram-negative binding proteins (GNBPs) has been well characterized in 556 Drosophila, where this system can modulate the melanizing prophenoloxidase cascade, the cleavage of the 557 Spätzle pro-cytokine and, subsequently, the Toll pathway in response to infections by Gram-positive bacteria. 558 On the other hand, when Gram-negative bacteria infections occur, membrane-bound PGRPs are directly 559 responsible for the activation of the immune deficiency (IMD)-mediated intracellular signaling cascade (Royet 560 and Dziarski, 2007), which shares many molecular components with the vertebrate tumor necrosis factor receptor (TNFR) pathway. Although PGRPs are also present in vertebrates, they are not bound to the cell membrane, and they are not able to convey the immune signal to the cytosol, rather functioning as direct bactericidal and bacteriostatic agents in the extracellular space thanks to their *N*-acetylmuramoyl-L-alanine amidase activity (Montaño et al., 2011).

565 While the presence of secreted PGRPs involved in pathogen recognition has been previously 566 evidenced in lophotrochozoans (Ni et al., 2007), only fragmentary evidence exists for membrane-bound 567 PGRPs (Gerdol and Venier, 2015). Furthermore, their involvement in an IMD-like pathway is still uncertain 568 due to the absence of an IMD-like gene, which we can confirm also brachiopods. The genome of *L. anatina* 569 has at least 12 PGRP genes that encode a peculiar repertoire of molecules compared to both arthropods and 570 mollusks (Werner et al., 2000) (**Figure S4**).

571 In detail, two L. anatina genes produce secreted proteins with a single PGRP domain, orthologous to 572 a PGRP previously described in the mussel Mytilus galloprovincialis (Gerdol and Venier, 2015) and only 573 sharing remote similarity with arthropod PGRPs. Two additional brachiopod-specific genes encode complex 574 secreted proteins with four highly similar and consecutive PGRP domains. The combination of the PGRP 575 domain with three consecutive N-terminal scavenger receptor, cysteine-rich (SCR) domains is a domain 576 combination uniquely found in brachiopods. The SCR domain is widespread in animals, and it displays a broad 577 range of functions, including pathogen recognition and mediation of phagocytosis, thereby reinforcing the 578 idea that this protein could be involved in the innate immune system (Canton et al., 2013). Brachiopods also 579 possess membrane-bound PGRPs, as seven distinct genes of this type are present in L. anatina.

580 Arthropods use a signaling adaptor, IMD, to transduce immune signaling inside the cell thanks to the 581 heterotypic interaction between the intracellular receptor-interacting protein (RIP) homotypic interaction 582 motif (RHIM) domains of PGRPs with IMD. Consequently, the C-terminal DEATH domain of IMD triggers the 583 downstream signaling cascade by interacting with the DEATH domain of Drosophila Fas-associated death 584 domain-containing protein (dFADD). However, evidence concerning the existence of this PGRP/IMD/dFADD 585 based system has so far remained elusive in lophotrochozoans, despite the conservation of all the 586 downstream intracellular components of the signaling cascade. In our previous survey of the mussel immune 587 genes repertoire, we suggested that, if present, such a system would need an alternative and still unknown 588 intracellular adaptor due to the lack of an IMD homolog and of intracellular RHIM domains required for IMD 589 recruitment in molluscan membrane-bound PGRPs (Gerdol and Venier, 2015). Like mussels, also L. anatina 590 possess four membrane-bound PGRPs with an intracellular region without any recognizable conserved 591 domain. However, the brachiopod genome also encodes three transmembrane proteins with a well-592 recognizable intracellular DEATH domain, which could potentially recruit dFADD-like molecules, thereby 593 bypassing the need of accessory IMD-like adaptor proteins.

594 This finding is particularly important, as it suggests for the first time that a PGRP-based IMD-like 595 signaling might exist in Lophotrochozoa. If confirmed by experimental evidence, this hypothetical system 596 would not require the presence of any intracellular adaptor protein, relying on the direct heterotypic 597 interaction between the DEATH domain of these novel receptors and dFADD, as depicted in Figure 6. From 598 now on, we indicate these three brachiopod genes as PGRP-PSM (Peptidoglycan Recognition Protein Putative 599 Signaling Mediator), waiting for a functional confirmation of its proposed mechanism of action. It remains to 600 be elucidated whether the other brachiopod membrane-bound PGRPs devoid of a DEATH domain can recruit dFADD or other functionally homologous adaptors in a similar fashion. The recruitment of dFADD 601 602 consequently determines the activation of the caspase DREDD, which can ultimately lead to the activation of 603 the pro-inflammatory transcription factor Relish upon the cleavage of its C-terminal ankyrin repeats region 604 by DREDD. Alternatively, the interaction between IMD and dFADD (and therefore, hypothetically, between 605 PGRP-PSM and dFADD in brachiopods) can lead to the activation of the transforming-growth-factor-beta606 activated kinase 1 (TAK1), thereby converging to the signaling pathway activated downstream of Toll-like 607 receptors.

608 As briefly mentioned above, the Drosophila IMD signaling pathway is thought to cover a homologous 609 function to that of the TNF $\alpha$  signaling pathway of vertebrates, even though the recognition receptors are 610 different (Lemaitre and Hoffmann, 2007). Indeed, given the absence of IMD-like molecules and the different 611 function of PGRPs in vertebrates, a different class of receptors, called TNFRs, activates this system. These 612 cytokine receptors are primarily linked to the regulation of apoptosis and inflammation upon the binding 613 with their ligands, some of which will be described in the following sections. A thorough discussion of the 614 molecular mechanisms possibly leading to apoptosis through TNFRs in brachiopods goes beyond the scope 615 of this manuscript. However, it is worth briefly exploring whether such receptors are present in the genome 616 of L. anatina, or if the activation of TAK1 can be reasonably assumed to occur only in response to signals 617 conveyed to the cytosol by PGRP-PSM. First, similarly to what has been previously reported in mollusks (Li et 618 al., 2009), no membrane-bound protein shows remarkable sequence similarity with the vertebrate TNF 619 receptors TNFR1 and TNFR2, even though three brachiopod proteins share the same domain organization, 620 with extracellular TNFR repeats and an intracellular DEATH domain for signal transduction. A single-copy 621 gene encoding a receptor with TNFR ectodomains and an intracellular TIR domain, which is typically involved 622 in immune signaling, provides a potential candidate. Therefore, although some TNFR ligands are encoded by 623 the *L. anatina* genome, the nature and the precise function of their receptors presently remains uncertain.

624 Consistently with the lack of a convincing TNFR1/2 homolog, TRADD, a direct interactor with the 625 intracellular DEATH domain of TNFRs and a key player in the recruitment of the components of the TNFR 626 associated complex I, is missing, not just in brachiopods but also in the genome of all other protostomes. 627 However, despite the lack of TRADD and clear TNFR homologs, other major components of TNFR-associated 628 complexes are present and highly conserved: for example, as mentioned above, many different TRAF genes 629 are encoded by the L. anatina genome, including TRAF2 homologs. Several homologs to the cellular inhibitor 630 of apoptosis protein 1/2 (cIAP1/2), responsible of the ubiquitination of the key molecule RIP-1 kinase (RIPK1) 631 are also present and evolutionarily well conserved. Crucially, a RIPK1 sequence appears to be present and 632 relatively well conserved when compared to that of amphioxus (Li et al., 2011). RIPK1 is the key regulator of 633 the TNFR signaling, as it acts as a major switch between cell death and survival. Indeed, the complex formed 634 by TNFR, TRADD, TRAF2 and cIAP1/2 recruits and activates TAK1, switching on canonical NF-κB signaling and 635 leading to survival. On the other hand, the activity of the linear ubiquitin chain assembly complex (LUBAC) 636 leads to the recruitment of other cytosolic factors to form a macromolecular complex (the TNFR-associated 637 complex II), which triggers apoptosis (Conrad et al., 2016). While previous efforts failed to identify a RIPK1-638 like sequence in mollusks (Gerdol and Venier, 2015) and echinoderms (Hibino et al., 2006), the report of this 639 molecule in brachiopods is corroborated by the presence of a similar protein in the genomes of the 640 segmented worm C. teleta and the phoronid P. australis. This finding indicates that RIPK1 might have 641 emerged as an important molecular switch between cell death and survival in basal protostomes, even in the 642 absence of vertebrate-like TNFRs and TRADD, whose functional homologs might be highly divergent or even 643 missing in protostomes.

A homolog to Relish, the transcription factor activated in the final steps of IMD signaling in Drosophila, is present in *L. anatina*. As previously suggested by other authors, the presence of Relish in Lophotrochozoa might provide support to the existence of an IMD-pathway (Zhang and Coultas, 2011). However, we show that the brachiopod Relish homolog (and, by extension, the orthologous sequences in mollusks and annelids) shares a higher degree of similarity with deuterostome p100/p105-like proteins, which is also confirmed by the presence of a C-terminal DEATH domain, absent in arthropods (**Figure S5**).

650 Overall, the investigation of IMD/TNFR signaling-related genes in *L. anatina* highlights that: (i) an 651 IMD-like system, similar to that of *Drosophila*, may be activated downstream of membrane-bound PGRPs without the involvement of an IMD-like adaptor, and (ii) a similar signaling complex may be activated
downstream of TNFR-like receptors and without the involvement of TRADD, as suggested by the presence of
highly conserved TRAF2 and RIPK1. However, the identity of these receptors and the hypothetical adaptor
molecules bridging TNFRs with RIPK1 is still uncertain.

656

# 657**3.4.2.** Toll-like receptors and activation of the pro-inflammatory response through the IKK complex658and MAPK

659 Toll-like receptors (TLRs) are the most successful type of metazoan PRRs. These membrane-bound receptors 660 can recognize a broad range of PAMPs through extracellular leucine-rich repeats (LRRs) and trigger immune 661 signaling by the heterotypic interaction of their TIR domain with intracellular adaptor proteins. This signaling 662 cascade finally results in the activation of the pro-inflammatory response and the transcription of effector 663 genes involved in antimicrobial defense. The TLR gene family appears to have independently undergone great 664 expansion in different invertebrate phyla (Dishaw et al., 2012; Gerdol et al., 2017a; Hibino et al., 2006; Zhang 665 et al., 2015), broadening the range of PAMPs recognized and conferring an highly complex and specific 666 immune response.

667 A previously published study focused on the identification of TLRs in neglected lophotrochozoan taxa 668 reported the presence of a limited number of TLRs in the transcriptomes of some brachiopod species 669 (Halanych and Kocot, 2014). More recently, we have highlighted the presence of over 30 membrane-bound 670 TIR-domain-containing proteins in L. anatina, including several TLRs (Gerdol et al., 2017a). The in-depth 671 analysis of the genome performed in this study permitted to refine this analysis and to identify 49 different 672 complete TLR genes and a handful of truncated pseudogenes, which overall place brachiopods somewhere 673 in between annelids (which have less than 10 TLRs) and mollusks (which may even possess over 100 TLRs in 674 some cases). L. anatina TLRs can be conveniently divided into two categories based on the architecture of 675 their ectodomains: single cysteine cluster (scc) and multiple cysteine cluster (mcc) TLRs. The former group 676 comprises receptors similar to vertebrate TLRs, with typical LRRs flanked by N-terminal and C-terminal LRR 677 domains. The latter includes evolutionarily ancient receptors similar to Drosophila Toll, which display two 678 separated clusters of N-terminal and C-terminal LRRs. Forty-one L. anatina receptors can be classified as 679 sccTLRs, consistently with previous reports about the expansion of this TLR subgroup in lophotrochozoans 680 (Gerdol et al., 2017a).

681 However, brachiopod sccTLRs clustered in two largely divergent phylogenetic groups (Figure 7) that 682 are also characterized by a different gene architecture; the members of the first and largest group are 683 encoded by intronless genes, like in sea urchin (Hibino et al., 2006). The second group, on the other hand, 684 consists of genes bearing a single intron located in a conserved position, dividing the exon encoding LRRs and 685 the transmembrane domain from the one encoding the TIR domain (Figure S6). Both types of sccTLRs appear 686 to have evolved through recent rounds of gene duplication, as evidenced by the presence of several highly 687 conserved gene copies in a cluster organization within the same genomic scaffolds. The high degree of 688 similarity among all two-exons/one-intron sccTLRs further suggests that all these genes have been originated 689 by a relatively recent event. The seven L. anatina mccTLRs displayed a higher diversity and clustered in an 690 independent clade (Figure 7).

691 It has been possible to fully delineate an almost complete machinery for the transduction of innate 692 immune signals from the extracellular environment to the nucleus in a lophotrochozoan, the Mediterranean 693 mussel *M. galloprovincialis* (Toubiana et al., 2014). This sophisticated system, consisting of TLRs, intracellular 694 adaptors and kinases, and transcription factors, finally triggers the expression of pro-inflammatory cytokines 695 and defense molecules either via the IKK complex or the MAPK pathway. Overall, the remarkable sequence 696 homology of its molecular components revealed a significant overlap with the pathway activated 697 downstream of Toll in arthropods, and even more with the pathway activated downstream of TLRs in 698 deuterostomes (Gerdol and Venier, 2015). First, it has to be taken into account that the arthropod Toll 699 signaling is activated by the interaction between the extracellular LRR region of Toll and the pro-inflammatory 700 cytokine Spätzle, which does not have any homolog neither in brachiopods nor other lophotrochozoans 701 (Gerdol and Venier, 2015). The activation of Spätzle is the result of a complex extracellular proteolytic 702 cascade governed by the interplay between secreted PGRPs and GNBPs or, in the case of fungal PAMPs, by 703 the activation of the Persephone protease. The secreted PGRPs found in brachiopods only share a very 704 remote sequence similarity with those of Drosophila. As explained in detail in the previous sections, no 705 sequence homologous to arthropod GNBPs is present in the L. anatina genome, and the possible involvement 706 of CCF-like proteins in the melanization cascade remains to be investigated. Moreover, no clear homolog to 707 Persephone could be identified among the many brachiopod serine proteases. Overall, based on the data 708 presently available, it appears more likely that brachiopod TLRs act similarly to vertebrate and echinoderm 709 receptors, i.e., by directly binding PAMPs at the cell membrane site.

710 The link between TLR diversification and PAMP-binding specificity in invertebrates is still far from 711 being understood, but this is not the only aspect of the TLR immune signaling cascade that remains somewhat 712 obscure. For example, it is presently unclear whether the massive diversification of TLRs also implies the use 713 of different intracellular adaptors. Previous molecular surveys failed to identify a TRIF homolog in mollusks, 714 ruling out the existence of a MyD88-independent signaling pathway homolog to that activated downstream 715 of TLR3 in human. However, it is certainly possible that other divergent intracellular TIR domain-containing 716 (TIR-DC) adaptors hold a similar role in lophotrochozoans, due to the massive expansion of cytosolic TIR-DC 717 proteins (Gerdol et al., 2017a).

Quite surprisingly only a single MyD88 gene is present in *L. anatina*, in stark contrast with bivalve mollusks, where multiple MyD88 genes are always present (Ning et al., 2015). The presence of a unique MyD88, the first adaptor molecule interacting with the C-terminal cytosolic TIR domain of TLRs, might indicate the possible convergence of the signals of all brachiopod TLRs to a single adaptor unless the presence of a MyD88-independent signaling is assumed. SARM, an evolutionarily conserved negative regulator of TLR signaling, is also present in the brachiopod genome as a single copy gene encoding a protein with a wellconserved structural architecture (**Figure 7**) (Peng et al., 2010).

725 All the other molecular components involved in the signaling downstream of MyD88 and SARM, and 726 possibly leading to the activation of NF-κB (through the IKK complex) and/or AP-1 (through the MAPK 727 pathway), are present in the L. anatina genome, with no major exception (Figure 8), even though some 728 differences with vertebrates need to be pointed out. TOLLIP, an ubiquitin-binding protein associated with 729 TLRs, which mediates inflammation (primarily by regulating IL-1R and IRAK turnover), is found in a single 730 copy, which presents a canonical domain organization, with an N-terminal C2 and a C-terminal CUE module. 731 L. anatina also displays four IRAK (Interleukin-1 receptor-associated kinase)-like genes. In vertebrates, IRAK1 732 and IRAK4 are recruited by MyD88, leading in turn to the recruitment of TRAF6. Two out of the four 733 brachiopod IRAK-like molecules show high similarity to IRAK4; one, like in bivalves (Gerdol and Venier, 2015), 734 is equally similar to both IRAK1 and IRAK4, whereas the fourth one is unlikely to be involved in signal 735 transduction due to the lack of the N-terminal DEATH domain. TRAF6, one of the key mediators of the 736 immune signaling due to its role in forming a complex with TAK1, is encoded by two paralogous gene copies 737 highly homologous to that of vertebrates. TRAF6 is also an important switch for the activation of the MAPK 738 cascade, as an alternative to the activation of the IKK complex (Figure 8). The activation of this alternative 739 route is guaranteed by the interaction with ECSIT, an evolutionarily conserved adaptor (Lin et al., 2017), 740 which is also present in two paralogous gene copies in L. anatina.

A clear brachiopod homolog of TAK1 is also present, as well as its accessory proteins TAB1 and TAB2 that, together with TRAF6, form a complex which translocases from the proximity of the inner face of the 743 plasma membrane to the cytosol. Here, TAK1 is activated, switching on the activity of the IKK signalosome. 744 The IKK complex, composed in vertebrates by the two kinases IKK $\alpha$ , IKK $\beta$  and by the regulatory subunit 745 IKK $\gamma$ /NEMO, is the central regulator of NF- $\kappa$ B activation. Only a single IKK $\alpha/\beta$  homolog could be identified in 746 Lingula, exactly like in mussels (Toubiana et al., 2014), suggesting that the function of the two highly 747 homologous vertebrate IKK proteins (Adli et al., 2010) is covered by a single kinase in lophotrochozoans. 748 Despite a lower structural conservation, a NEMO homolog could also be detected with high confidence. The 749 final steps of the signaling involve the phosphorylation of the inhibitor protein IK $\beta$ /cactus, which leads to its 750 ubiquitination and degradation, finally allowing the NF-kB transcription factor to enter the nucleus, inducing 751 the expression of its target pro-inflammatory genes. Brachiopods possess three well-conserved IK $\beta$  homologs 752 and, as mentioned in the previous section, two proteins belonging to the class I (p-100/p105-like) and class 753 II (p65-like) NF- $\kappa$ B transcription factor family (**Figure S5**).

754 As briefly mentioned above and shown in Figure 8, TRAF6 can also activate the MAPK pathway thanks 755 to the interaction with ECSIT. The MAPK pathway is involved in multiple biological processes not strictly 756 correlated with immunity, including cellular differentiation, growth, and survival. The brachiopod MAPK 757 signaling machinery appears to be complete and highly similar to that previously reported in bivalve mollusks 758 (Gerdol and Venier, 2015). This signaling includes a phosphorylation cascade that starts from TAK1 and 759 sequentially involves the MAPKKK MEKK1, either the MAPKK MKK4 and MKK7 (both present as single copy 760 genes) or two sequences homologous to MKK3/6 and, finally, several JNK/p38-like kinases. This complex 761 signaling cascade ultimately leads to the nuclear translocation of the AP-1 transcription factor, which 762 complements NF- $\kappa$ B in triggering the expression of pro-inflammatory cytokines and effector molecules. The 763 AP-1 transcription factor is a heterodimer composed of c-JUN and c-FOS family proteins, which are both 764 found in multiple copies in the *L. anatina* genome.

765 Recent findings suggest that LRRs may contribute to the recognition of PAMPs in another distinct 766 class of membrane-bound receptors, provisionally named LRRIGs. These novel receptors, identified so far 767 only in oysters, show an immunoglobulin ectodomain associated with LRRs, can bind with high affinity LPS, 768 PGN and poly(I:C) and appear to mediate the production of cytokines and phagocytosis in cultured 769 hemocytes (Huang et al., 2018; Wang et al., 2017). Interestingly, two genes pertaining to this family of 770 receptors are present in L. anatina (Figure S7), and the finding of a LRRIG-like gene also in the annelid C. 771 teleta suggest that these novel receptors might be broadly distributed among Lophotrochozoa. Considering 772 the promising results obtained in oyster, LRRIGs emerge as interesting targets for immunological research in 773 brachiopods., especially due to their domain architecture, highly similar to that of Variable Lymphocyte 774 Receptors found in jawless fish (Huang et al., 2018). We can also report that the intracellular domain of 775 brachiopod LRRIGs, reported to lack any recognizable conserved domain in oysters, presents a structural 776 arrangement similar to the Four-helix Bundle of apolipoproteins.

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## 3.4.3. Possible involvement of other TIR-domain containing proteins in immune signal transduction

779 Besides TLRs, MyD88 and SARM, several other TIR-DC proteins are present in brachiopods. This is in line with 780 previous reports in the genomes of oyster, amphioxus and other invertebrate metazoans, where over 50 781 "orphan" TIR-DC genes have been described (Dishaw et al., 2012; Huang et al., 2008). In a recent comparative 782 study, we explored the variability of TIR-DC proteins in bivalve mollusks, trying to issue an improved 783 classification system which might better capture the structural diversity of these possible mediators of the 784 intracellular immune signaling, either downstream of TLRs or other intracellular PRRs (Gerdol et al., 2017a). 785 Based on the previously proposed classification scheme, we could detect members of several TIR-DC gene 786 families in *L. anatina*. Namely, we identified:

- 787 (i) Interleukin-1 receptor-like (IL-1RL) proteins: in vertebrates, these membrane-bound receptors, 788 bearing immunoglobulin ectodomains, convey IL-1 signals to the IKK complex, activating NF- $\kappa$ B. While two 789 IL-1RL genes were identified in L. anatina, the orthologous state of vertebrate and invertebrate IL-1RL genes 790 is still a matter of debate due to limited sequence homology and therefore their function cannot be assigned 791 with certainty.
- 792 (ii) receptors with EGF ectodomains (as a single-copy gene): this family of membrane-bound proteins 793 was described for the first time in our previous study.
- 794 (iii) ecTIR-DC 3, found with five distinct gene copies. This is an ancient gene family widespread in 795 metazoans, characterized by the contemporary presence of ARM repeats, a central TIR domain, and a 796 degenerated C-terminal SAM domain.
- 797 (iv) ecTIR-DC 5 (single copy gene), another ancient protein family bearing 3 TIR domains, with the 798 first and the second one separated by a SAM domain.
- 799 (v) ecTIR-DC 7, characterized by a series of TPR repeats and a C-terminal TIR domain. We could 800 identify three distinct ecTIR-DC 7 genes in L. anatina.
- 801 (vi) ecTIR-DC 8, a widespread metazoan family of cytoplasmic proteins with an N-terminal Macro 802 domain and 3 consecutive TIR domains, present in three copies in the brachiopod genome.
- 803 (vii) ecTIR-DC 9, a large intracellular protein with a neuralized domain, followed by a ROC/COR 804 tandem, a death domain, and a C-terminal TIR domain. The central ROC/COR tandem is also found in ecTIR-805 DC family 14, very large proteins with complex domain architectures and two consecutive TIR domains, which 806 were found in relatively large number (6 genes) in *L. anatina*.
- 807 (viii) ecTIR-DC 11, very ancient adaptors with a TIR domain followed by HEAT repeats. Three ecTIR-808 DC genes are present in the genome of *L. anatina*.
- 809 (ix) ecTIR-DC 12, a single-copy gene, which could only be found in bivalves and brachiopods, even 810 though the two share low sequence homology. In this case, the TIR domain is followed by TRAF repeats.
- 811 (x) ecTIR-DC 15, a single copy gene of a widespread metazoan family, which encodes a large protein 812 with two consecutive TIR-domains and a Rossman-like fold domain.
- 813 All the above-mentioned families, whose domain organization is summarized in Figure S8, are 814 conserved in most lophotrochozoans, and sometimes their origin can be traced back to basal multicellular 815 metazoans. Several other previously unreported TIR-DC proteins are however present in L. anatina, although 816 in most cases they were taxonomically restricted to brachiopods. The only case fitting the criteria required 817 to gain a classification as a novel evolutionarily conserved TIR-DC family was that of a protein containing an 818 N-terminal TIR domain, followed by a NB-ARC domain (typical of proteins involved in cell death regulation) 819 and by several WD40 repeats. This protein family also found in Cephalochordata and Hemichordata (but not 820 in lophotrochozoans other than brachiopods) was therefore named ecTIR-DC family 16 (Figure S8). In 821 addition to the aforementioned families, a few membrane-bound receptors lacking recognizable 822 ectodomains were also present in L. anatina.
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  - 3.5. Cytosolic PRRs and related signaling pathways
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### 826 3.5.1. The NLR system

827 NACHT-LRR proteins (NLRs) are important intracellular receptors involved in a multitude of functions, which 828 include intracellular PAMP recognition, inflammation and apoptosis (Fritz et al., 2006). Vertebrate NLRs 829 usually have a conserved organization, consisting of an N-terminal death fold domain (DFD, including the DEATH, DED, CARD or PYD subfamily), a central NACHT domain for oligomerization and C-terminal LRRs for
recognition. The diversity has been recently elucidated also in cnidarians, echinoderms and amphioxus,
where they constitute a greatly expanded gene family (Hamada et al., 2013; Hibino et al., 2006; Huang et al.,
2008). Genomic data point out that a similar gene expansion event happened in some lophotrochozoans,
such as in the annelid *C. teleta* (Simakov et al., 2013), but not in others, i.e., bivalve mollusks (Zhang et al.,
2015).

836 Our survey permitted to evidence the presence of only a single canonical NLR in L. anatina, displaying 837 high similarity with the single NLR previously described in M. galloprovincialis (Gerdol and Venier, 2015) and 838 with orthologous sequences in other mollusks and annelids, comprising an N-terminal caspase recruitment 839 domain (CARD) and canonical C-terminal LRRs (Figure 9A). The DFD/NACHT/LRR architecture, typical of the 840 expanded sea urchin NLR gene family, was overall not common in brachiopods. On the other hand, the L. 841 anatina genome encodes three additional proteins with N-terminal CARD domains, a central NACHT module 842 and a C-terminal region lacking any recognizable conserved domain (Figure 9B). All the aforementioned 843 proteins display a short accessory domain associated to NACHT, named NAD (NACHT Associated Domain), 844 which is fundamental for the oligomeric assembly of NLRs (Singer et al., 2014). Although these four 845 brachiopod NLRs do not find clear homology to any of the vertebrate NLRs, they display an identical domain 846 architecture to that of NOD1 (Chen and Pedra, 2009).

847 In addition to CARD-containing NLRs, we could also identify three additional putative NLRs with 848 poorly recognizable N-terminal DFDs, but structurally modeled as non-canonical DEATH folds, associated to 849 a central NACHT/NAD tandem and LRRs in the C-terminal region (Figure 9C). While the lack of sequence 850 homology with vertebrates prevents the assignment of a putative function to such proteins, their structure 851 once again resembles that of bona fide NLRs. The downstream signal transduction by NLRs requires the 852 interaction of the N-terminal signaling DF domain with adaptor proteins. In vertebrates, inflammasome-853 related NLRs activate ICE caspases, which in turn activate IL-1. However, since both the key adaptor involved 854 into this process (PYCARD) and PYRIN domains themselves are missing in invertebrates (Huang et al., 2008), 855 the existence of inflammasome complexes in protostomes has been previously brought into question (Latz 856 et al., 2013). Alternatively, NOD1/2 NLRs are capable of transmitting the sensing of peptidoglycan 857 components in the cytosol through the RIP kinase 2 homolog (also known as RICK), which is apparently also 858 absent in brachiopods, despite the presence of several RIP kinase-like sequences with variable C-terminal 859 domains. While the possible role of such molecules in mediating NLR signaling remains to be investigated, it 860 has been previously hypothesized that DFD-containing adaptors divergent from those of vertebrates might 861 be held responsible for NLR signal transduction in invertebrates (Huang et al., 2008). Notwithstanding these 862 major uncertainties, in agreement with current molecular models the activation of NLRs is thought to trigger 863 the expression of proinflammatory cytokines, IFN and AMPs either through NF-κB, IRF3/7 or AP-1 864 transcription factor complexes, using pathways which partially overlap those previously described 865 downstream of PGRP and TLR membrane-bound receptors (Figure 8).

866

# 867 3.5.2. The RLR pathway

RIG-like receptors (RLRs) are among the most important sensors of viral infection in the cytosol. These
molecules with helicase activity take their name from the Retinoid Inducible Gene I (RIG-I), which in human
is responsible for the detection of 5' triphosphate uncapped double-stranded RNAs produced during viral
replication (Yoneyama and Fujita, 2007). The caspase recruitment domain of RLRs activates a downstream
signaling cascade which results in the activation of the pro-inflammatory transcription factors NF-κB and
IRF3, thereby effectively mounting antiviral response.

874 L. anatina possesses at least seven RLR-like genes, which all share significant homology either to RIG-I 875 or to MDA-5, another RLR which is involved in the sensing of longer dsDNA molecules (Kato et al., 2008). 876 These include genes with clear homology to human RLR-I, which also displays an identical domain 877 architecture (Figure 9D). Others, despite sharing significant homology, present a different number of CARD 878 or C-terminal RIG domains or a death effector domain (DED) instead of CARD (Figure 9E). However, these 879 two types of domains are correlated and share a similar function (Weber and Vincenz, 2001). Perhaps more 880 surprising is the presence of a gene encoding an RLR/caspase hybrid protein, which lacks CARD/DED N-881 terminal domains, which are replaced by a caspase catalytic domain (Figure 9F). This unexpected domain 882 combination, never reported before in metazoans, could imply a direct role in triggering apoptosis upon viral 883 RNA recognition.

884 The downstream molecular partner connecting RLRs to the activation of the pro-inflammatory signal, 885 the IFN-beta promoter stimulator (IPS-1)/CARD adaptor inducing IFN-beta (CARDIF)/mitochondrial antiviral-886 signaling protein (MAVS) (Figure 10), has been recently identified in the Pacific oyster C. gigas (Huang et al., 887 2017). Unlike its vertebrate functional homolog, oyster IPS-1/CARDIF/MAVS lacks the central proline-rich 888 region, which is replaced by a DEATH domain (Figure S9). L. anatina possesses two paralogous genes that 889 share high sequence homology with the C. gigas sequence and it homologs found in the Eastern oyster and 890 yesso scallop genomes. The only major difference between the bivalve and brachiopod sequences consists 891 in the presence of a longer central region, which contains a mucin-like domain before the C-terminal 892 transmembrane domain (Figure 59). Remarkably, no MAVS-like gene was detected in other lophotrochozoan 893 genomes, including those of gastropod and cephalopod mollusks, annelids, phoronids and nemerteans.

894 It has been previously demonstrated that RLRs are completely missing in some major protostome phyla (e.g. 895 insects), which therefore entirely base their antiviral sensing system on Dicer-2, the second copy of the 896 evolutionarily conserved endoribonuclease which is usually involved in the synthesis of miRNA and siRNA. 897 This system, which is also potentially involved in the antiviral response of vertebrates, is employed to load 898 fragments of digested viral dsRNA on the RISC complex, thereby promoting the blockade of viral replication 899 by RNA interference (Berkhout and Haasnoot, 2006). While the possible involvement of the RISC machinery 900 in the lophotrochozoan antiviral response is far from being established, it appears evident that L. anatina, 901 like mollusks (Rosani et al., 2016), displays a single Dicer gene.

902

### 903 3.5.3. The STING pathway

904 The stimulator of interferon genes (STING) pathway is a focal intracellular hub for the sensing of exogenous 905 DNA and bacteria. Indeed, the downstream pathways of multiple DNA sensors converge here, and STING 906 itself can recognize conserved bacterial messengers, thereby promoting the production of proinflammatory 907 cytokines and interferon via NF-κB (Burdette and Vance, 2013). We could identify two L. anatina genes 908 encoding proteins which shared the same peculiarities of bivalve STING (Gerdol and Venier, 2015), with the 909 duplication of the STING C-terminal domain, accompanied by the presence of two TIR domains which might 910 serve for downstream signal transduction. The same domain architecture is also present in Annelida, 911 suggesting that the STING domain duplication may be typical of lophotrochozoans and that it may warrant 912 full functionality without the need of dimerization (Gerdol, 2017). Unlike vertebrates, brachiopod STING 913 proteins are devoid of transmembrane regions, suggesting that their subcellular localization might not be the 914 mitochondrial outer membrane.

While STING can directly recognize and bind foreign nucleic acids in the cytosol, its activity is usually
enhanced by accessory molecular partners that, in most cases, have been so far properly characterized only
in vertebrates. Among these, cGAS is particularly relevant, as it can bind foreign nucleic acids in the cytosol,
thereby producing the second messenger cGAMP and activating STING (Ma and Damania, 2016). Despite the

report of multiple cGAS-like gene copies in other lophotrochozoans (Gerdol, 2017), we could just detect a single cGAS gene in *L. anatina*. In vertebrates, STING activates the transcription of proinflammatory genes through the phosphorylation of IRFs, mediated by the TANK-binding kinase 1 (TBK1) (Tanaka and Chen, 2012), as summarized in **Figure 10**. While two TBK1 gene copies are present in the genome of *L. anatina*, as previously mentioned the presence of two TIR domains suggests that an uncharacterized TIR-DC protein adaptor is involved in the first step of the downstream signaling pathway.

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# 926 **3.6. Effector molecules and modulators of the immune response**

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## 928 3.6.1. Antimicrobial peptides

929 Antimicrobial peptides (AMPs) are heterogeneous small secreted peptides which are employed as the first 930 line of defense towards pathogens by a broad range of organisms, including protostome animals. AMPs are 931 usually classified into different families based on structural features and chemo/physical properties, which 932 determine their spectrum and mode of activity. While many AMPs are encoded by taxonomically restricted 933 gene families, others display a broader distribution, sometimes as a result of convergent structural evolution. 934 Although defensin-like peptides are present in some major invertebrate taxa, including arthropods and 935 bivalve mollusks (Froy and Gurevitz, 2003), they are absent in others, including annelids and gastropod 936 mollusks (Gerdol, 2017), which makes their orthologous status disputed (Rodríguez de la Vega and Possani, 937 2005). In agreement with the peppered distribution of defensins in invertebrates, we could not identify any 938 such sequence in L. anatina, nor in the transcriptome of any other brachiopod species. On the other hand, 939 while no big defensin could be identified in L. anatina sequence data, it is worth of a note that transcripts 940 encoding this  $\beta$ -defensin-related AMP were detected in the transcriptomes of other brachiopod species, all 941 pertaining to Rhynchonelliformea, i.e., articulate brachiopods (Figure S10). Since these AMPs had so far been 942 described only in a few distantly related invertebrate groups, including bivalve mollusks, horseshoe crabs and 943 amphioxus (Gerdol et al., 2012), this finding is important to solve the puzzle of the evolution of beta-944 defensins, as these peptides might be discovered in other neglected Lophotrochozoan taxa in the future.

945 Another class of AMPs which appears to be widespread in invertebrates, from Cnidaria to 946 Echinodermata, macins (Gerdol et al., 2012), was not detected in brachiopods and none of the numerous 947 AMP families described so far in annelids (Tasiemski, 2008) could be identified either. However, one should 948 keep in mind that AMPs are rapidly evolving molecules, which are shaped by positive selection and which are 949 often encoded by taxonomically restricted gene families (Tennessen, 2005). Given the poor knowledge of 950 brachiopod molecular immunity, it is not possible to describe any other AMP by sequence similarity-based 951 screening. However, methods aimed at the de novo identification of AMPs from transcriptomes have already 952 provided encouraging results in other invertebrates (Leoni et al., 2017) and they might be used in the future, 953 in combination with functional assays, to identify which effector peptides, if any, display a significant 954 antimicrobial activity.

955

## 956 **3.6.2.** Lysozymes and bactericidal/permeability-increasing proteins

Lysozymes are among the most widespread and well-known proteins with antimicrobial properties in metazoans, particularly effective against Gram-positive bacteria. Although lysozymes differ for their primary sequence, they all show a marked structural similarity and they can be classified into three major groups, i.e., chicken-type (C-type), goose-type (G-type) and invertebrate-type (I-type), that can be found isolated or in 961 combination in different animal phyla (Callewaert and Michiels, 2010). Among Lophotrochozoa, mollusks 962 produce all the three lysozyme types (Gerdol and Venier, 2015), arthropods only produce C- and I- type, while 963 both round- and segmented worm genomes only encode I-type genes. Surprisingly, the L. anatina genome 964 bears a single G-type lysozyme gene and three additional genes encoding proteins with a lysozyme domain 965 (Interpro: IPR023347) which could not be categorized within any of the three above-mentioned categories. 966 Upon further scrutiny, these three protein products resulted to be highly similar to phage T4-type lysozymes, 967 whose function is to hydrolyze the 1,4-beta-glycosidic bond between N-acetylmuramic acid and N-968 acetylglucosamine in the peptidoglycan contained in bacterial cell walls, in order to release mature phage 969 particles. Curiously, this type of lysozyme appears to be extremely rare in metazoans: while, until very 970 recently, the only official report concerned the clam R. philippinarum (Ding et al., 2014), it has been revealed 971 that multiple bivalve species have acquired and integrated into their genomes bacteriophages lysozyme 972 genes (Ren et al., 2017). Based on our observations, this intriguing evolutionary strategy can now be 973 extended to brachiopods.

974 In vertebrates, the bactericidal/permeability-increasing proteins (BPI) hold a similar function against 975 Gram-negative bacteria, by binding lipopolysaccharide upon release by lysosomal granules of neutrophil cells 976 (Wilde et al., 1994). BPIs are highly conserved in most metazoans, but they are crucially missing in some 977 protostomes, including arthropods and flatworms (Baron et al., 2016). Due to their high structural similarity, 978 BPIs are thought to have a similar Gram-negative specificity of action both in all animals. Within 979 lophotrochozoans, BPIs have been described in the coelomocytes of segmented worms (Škanta et al., 2016) 980 and in mollusks, where they are sometimes involved in egg protection by parental transfer, as well 981 documented in the snail B. glabrata (Baron et al., 2013, 2016). Overall, genome and transcriptome data point 982 towards their widespread presence in all mollusks and annelids. Two nearly identical BPI sequence could be 983 identified in L. anatina, pointing out a lower sequence diversity compared to snails (Baron et al., 2016). These 984 two gene products showed a canonical domain organization, with a signal peptide for secretion targeting the 985 molecule to the extracellular space, and two consecutive BPI domains.

# 986

### 987

## 3.6.3. Modulators of the immune response

988 Despite the impressive conservation of the intracellular signaling machinery activated in response to 989 pathogen recognition and the demonstration of the responsiveness of invertebrate cells to human cytokines 990 by pioneering studies (Quistad et al., 2014), so far only a very few cytokines have been identified in 991 invertebrates. These short secretory molecules are major players in the modulation of the immune response, 992 as their production is under the strict control of transcription factors belong to the NF-KB and IRF families. 993 Cytokines mediate the recruitment of specialized immune cells at the site of infection and help perpetrating 994 the immune signal until complete clearance of pathogens. Cytokines have been mostly studied in vertebrates, 995 leaving the nature of their largely divergent homologous sequence in invertebrates elusive. The idea itself 996 that such molecules were present in invertebrates had long been challenged, until recent times (Beschin et 997 al., 2001). Our improved molecular knowledge of metazoans, which has been allowed by the popularization 998 of genome sequencing approaches, led to major discoveries such as the ancestral origin of the transforming 999 growth factor  $\beta$  (TGF- $\beta$ ) superfamily (Herpin et al., 2004), the presence of prokineticin-like cytokines involved 1000 in arthropod hematopoiesis (Söderhäll et al., 2005), the presence of TNF-alpha-like molecules and their LITAF 1001 mediators in crustaceans and mollusks (Sun et al., 2014; Wang et al., 2012), macrophage migration inhibitory 1002 factor (MIF) in mollusks (Garcia et al., 2010; Rosani et al., 2015) and myticins in mussels (Balseiro et al., 2011). 1003 Despite these discoveries, sequences homologous to interferon and the other four-helix cytokines

remain unknown in all invertebrates, brachiopods included. Like in mollusks, however, the presence of interferon-responsive factors (IRFs) suggests that still unidentified interferon-like cytokines and, to some extent, conserved upstream regulatory routes also exist in invertebrates (Huang et al., 2013). In particular, four IRFs are encoded by the genome of *L. anatina*. One of these bears high similarity to IRF 4/8, while the other three show a more remote similarity to vertebrate IRF 1/2 molecules, limited to the DNA-binding domain. While the function of these four transcription factors is unclear, they could potentially regulate the expression of genes containing interferon-stimulated response element (ISRE) in their promoters.

1011 Interleukin-17 (IL-17), which pertains to a family with a markedly different structural organization 1012 compared to four-helix cytokines, has been recently shown to be conserved across nearly all animals (Garcia 1013 et al., 2010; Rosani et al., 2015). A single IL-17 gene is present in the L. anatina genome, accompanied by a 1014 single well recognizable transmembrane receptor and a single CIKS intracellular adapter. This suggests the 1015 existence of a relatively simple pro-inflammatory IL-17 signaling route in brachiopods compared to that 1016 hypothesized for bivalve mollusks (Rosani et al., 2015). Consistently with multiple reports about the presence 1017 of TNF $\alpha$ -like molecules in other lophotrochozoans (De Zoysa et al., 2009; Zhang et al., 2015), four genes 1018 encoding membrane-bound proteins with the expected domain architecture could be identified in L. anatina, 1019 two of which are particularly similar to vertebrate TRAIL proteins. Like mentioned in section 3.4.1, some TNF 1020 receptor-like proteins, expected to cover an important role in regulators of cell survival and inflammation, 1021 are also encoded by the L. anatina genome. However, although they display an intracellular DEATH domain 1022 and the same domain organization of human TNFR1/2, they only share limited sequence homology with their 1023 vertebrate counterparts, making it unclear whether they can cover a homologous function in brachiopods.

1024 Two MIF genes are also present in brachiopods. In vertebrates, this cytokine has a pivotal role in 1025 regulating the function of macrophages, thereby being a major player in the inflammation process. Although 1026 MIF genes have been previously reported in Lophotrochozoa (Cui et al., 2011; Wang et al., 2008), their mode 1027 of action and functional overlap with their vertebrate homologs is still a matter of debate due to the absence 1028 of CD74 (required for MIF binding) and the obvious cellular divergence between vertebrate macrophages 1029 and invertebrate immunocytes (Ottaviani, 2011). Despite these uncertainties, MIF certainly plays an 1030 important role in the regulation of immune response in lophotrochozoans, as evidenced by the functional 1031 studies carried out on S. mansoni-infected snails (Garcia et al., 2010). Due to the key role of snail MIF in the 1032 encapsulation of trematode sporocysts, it is possible that the L. anatina orthologs covers a similar role against 1033 yet to be identified parasites.

1034A gene orthologous to an important factor involved in the regulation of hemocyte development, as well1035as in anti-digenean defense response in gastropods, progranulin, is present in *L. anatina* (Pila et al., 2016).1036Since granulin homologs are multifunctional proteins with important roles in normal cell development,1037tumorigenesis and wound healing, the involvement of brachiopod progranulins in the maturation of immune1038cells needs to be confirmed by functional studies.

1039

# 1040 **3.7. Tissue specificity of immune genes**

1041 The analysis of RNA-seq data can provide a detailed snapshot of the ontogeny of the immune system along 1042 embryonic development, as well as of the immune competence of the different adult tissues. As shown in 1043 **Figure 11**, the vast majority of the genes encoding molecules potentially involved in immune processes are 1044 turned off during the early phases of larval development, as they only start to be expressed once the main 1045 organs of the adult body begin to be established, i.e., at the one and two pairs-of-cirri stages.

1046 There are, however, some notable exceptions. The most important one is certainly represented by 1047 intracellular immune signaling, which is active in the very early stages and which can be stimulated by 1048 multiple membrane-bound and cytosolic receptors. The dynamic spatial and temporal regulation of TLRs is a 1049 key factor in the establishment of the dorso-ventral polarity and organogenesis in *D. melanogaster* (Kambris 1050 et al., 2002). Although such a role has been demonstrated to be lineage-specific in dipterans (Sachs et al., 1051 2015), it is noteworthy that a single mccTLR was expressed at moderate levels from the fertilized egg to the 1052 blastula stage, along with MyD88 and all the downstream signaling components. This observation suggests 1053 that TLRs may play a role during early development in brachiopods. From the early gastrula stage onwards, 1054 other three mccTLR genes started to be transcribed, while the expression of the previous one progressively 1055 decreased, well matching the dynamic patterns described for arthropods. Like in oysters, no sccTLR was 1056 expressed at significant levels during larval development (Gerdol et al., 2017a).

1057 A few other immune genes were also expressed during embryonic development. These include 1058 cytosolic PRRs (NLRs in particular), galectins (which also function as DAMPs and regulators of apoptosis) and 1059 macrophage mannose receptor-like C-type lectins (at moderate levels). Major differences could be observed 1060 among the seven adult tissues analyzed. In detail, the digestive cecum and the pedicle displayed a relatively 1061 poor expression of immune genes. The former is a highly specialized tissue, which is devoted to nutrient 1062 absorption during the digestive process. The latter is a structure mainly composed of connective tissue and 1063 muscular fibers, which is used to burrow the shell into soft substrates. Like bivalve foot, the pedicle is 1064 therefore not expected to express a broad range of immune genes (Gerdol et al., 2017b). The transcription 1065 of mRNAs encoding PRRs was much higher in the tissues that represent main surfaces of contact with the 1066 external environment. Among these, the lophophore was the organ which displayed the expression of the 1067 largest number of immune genes, followed by mantle (ventral and dorsal parts had very similar profiles) and 1068 whole gut. These tissues are, for different reasons, constantly exposed to microbes, including potentially 1069 pathogenic agents, present in the water column, either through feeding or due to injury and shell damage.

1070 An in-depth view of expression dynamics revealed that most TLRs were not expressed at all in any of 1071 the adult tissues, nor in any of the developmental stages, mirroring the results obtained in oyster and 1072 suggesting a finely regulated mechanism of induction (Gerdol et al., 2017a). There was, however, a clear 1073 difference between mcc and sccTLRs. The former class, which as mentioned above may play a role in 1074 embryonic morphogenesis, was also broadly expressed in adult brachiopods. On the contrary, most sccTLRs 1075 were poorly expressed in adult tissues, and those achieving a significant level were, for the most part, 1076 pertaining to the expanded two-exon subclass. All the cytosolic components of the downstream signaling 1077 were consistently transcribed at steady levels in all adult tissues, reflecting the convergence of multiple 1078 signals from different receptors on the same intracellular cascade, including NLRs and RLRs, which were also 1079 broadly expressed at moderate levels in all adult tissues.

Besides these PRRs, the other immune gene families expressed ubiquitously and therefore denoting constitutively expressed defense systems, were galectins, PGRPs, complement components (factor B, factor C, and C3) and phage-type lysozymes. Other systems displayed a marked preference for the mantle and lophophore tissues, including STING/cGAS, GNBPs, BPIs and the G-type lysozyme. Overall, C1qDC proteins, **FReD-C** proteins and C-type lectins, large families whose involvement in immune recognition in brachiopods is uncertain, were generally poorly expressed but, at the same time, they often displayed a strong tissue specificity, similarly to what has been previously described in bivalves (Huang et al., 2015).

1087

## 1088 4. Conclusions

1089 The release of the genome of the brachiopod *L. anatina* permitted to identify a highly complex immune 1090 system, which consists of several hundred molecular players among PRRs, signaling adaptors, effector 1091 molecules and modulators of the immune response. We showed that the brachiopod immunome shares a 1092 remarkable similarity with that of invertebrate deuterostomes lacking adaptive immunity, and a less 1093 significant overlap with to that of arthropods. Therefore, the *L. anatina* immunome confirmed the divergence 1094 between the evolutionary strategies followed by Lophotrochozoa and Ecdysozoa for the development of an 1095 efficient defense system towards invading microorganisms. However, while a significant overlap could be detected between the immune gene repertoires of brachiopods and mollusks, the exclusive presence of
 some families of receptors and effector molecules in only one of these two phyla highlights the development
 of peculiar, lineage-specific adaptations which might be related to specific aspects of brachiopod biology.

Due to the lack of immunological studies in brachiopods, most of the observations presented in this work concerning the functional aspects of brachiopod immunity derive from homology-based inference and a comparative interpretation of data reported in other invertebrates, and they have therefore to be considered as hypothetical. Nevertheless, this general overview provides a substantial contribution to the comparative study of the evolution of the immune system in Metazoa, helping to focus future studies targeting *L. anatina* or other brachiopod species.

1105

# 1106 Figure captions

1107

Figure 1: A) photograph of an adult *Lingula anatina* specimen. B) Schematic illustration showing the internal anatomy of the animal, with the dorsal shell removed. The main tissues are indicated. C) View of circulating cells extracted from mantle blood vessels; round-shaped erythrocytes and elongated spindle body cells are visible. D) Detail of blood vessels located in the mantle. E) Simplified phylogeny of Lophotrochozoa sensu strictu, highlighting the most relevant phyla, based on molecular data by Luo et al. (2017).

1113

Figure 2: General overview of the main protein-coding genes involved in the immune system of L. anatina 1114 1115 (La) and other representative invertebrate species: the mollusk B. glabrata (Bg) and C. gigas (Cg), the 1116 polychaete worm C. teleta (Ct), the fruit fly D. melanogaster (Dm) and the sea urchin S. purpuratus (Sp). 2: 1117 presence; 2: absence. The number of genes identified for a category is specified, whenever relevant. scc, 1118 single cysteine cluster; mcc, multiple cysteine cluster; TLR, Toll-like receptor; PGRP, peptidoglycan 1119 recognition protein; NLR, NACHT-LRR proteins; RLR, RIG-like receptor; STING, stimulator of interferon genes; 1120 C1qDC, C1q domain-containing; FReD-C: fibrinogen-related domain-containing proteins; FREP, bona fide 1121 fibrinogen-related protein; TEP, thioester-containing protein; IMD, immune deficiency; NF-κB, nuclear factor 1122 kappa-light-chain-enhancer of activated B cells; AP-1, activator protein 1; IRF, interferon responsive factor; 1123 AMP, antimicrobial peptide; MACPF-DC, membrane attack complex/pore forming domain-containing; BPI, 1124 bactericidal/permeability increasing protein.

1125

Figure 3: Domain architecture of selected *L. anatina* C-type lectin domain containing proteins, which might
possibly be involved in PAMP recognition. Repeated domains are indicated between square brackets, with
the number of repeats indicated. CLECT, C-type lectin; FA58C, coagulation factor 5/8 C-terminal domain;
WSC, carbohydrate-binding WSC domain; LCCL, Limulus factor C, Coch-5b2 and Lgl1 domain; TSP1,
thrombospondin-1; CCP, complement control protein module; Zf-C2H2, zinc finger, C2H2-type; SR, scavenger
receptor cysteine-rich domain; KR, kringle domain; EGF, epidermal growth factor.

1132

**Figure 4**: Bayesian phylogeny of *L. anatina* GNBP-like proteins. GNBPs, Gram-negative binding proteins; CCFs, coelomic cytolytic factors. The sequences of *L. anatina* are marked with diamonds. The tree was built with the functionally characterized sequences from *D. melanogaster* and lophotrochozoans deposited in GenBank. Sequences are named based on the abbreviation of the genus and species names (first three letters

1137 each). Posterior probability values are shown for the main nodes of the tree. Apocal, Aporrectodea caliginosa; 1138 Apoict, Aporrectodea icterica; Apolon, Aporrectodea longa; Aporos, Aporrectodea rosea; Azufar, 1139 Azumapecten farreri; Chlalb, Chamys albidus; Chlros, Chlamys rosealbus; Cragig, C. gigas; Denben, 1140 Dendrobaena veneta; Dromel, D. melanogaster; Eisfet, Eisenia fetida; Haldis, Haliotis discus; Haltub, Haliotis 1141 tuberculata; Linana, L. anatina; Litsic, Littorina sitkana; Lumrub, Lumbricus rubellus; Mermer, Mercenaria 1142 mercenaria; Mizyes, Mizuhopecten yessoensis; Pervir, Perna viridis; Pinfuc, P. fucata; Spisac, Spisula 1143 sachalinensis; Taplit, Tapes literata. The accession IDs of the sequences used in this phylogenetic tree are 1144 reported in Table S2.

1145

1146 Figure 5: A: Domain architecture comparison between Tachypleus tridentatus Factor C and L. anatina Factor 1147 C-like proteins. B: hypothetical functioning of the complement system in brachiopods. The recognition of 1148 Gram-positive bacteria PAMPs by secreted lectin-like molecules probably triggers the activation of the 1149 complement proteolytic cascade through the action of proteases that remain to be uncovered. The cleavage 1150 of C3 to C3b can trigger opsonization and the consequent clearance of invading bacteria by phagocytosis. As 1151 an alternative, the activation of C3 could lead to the killing of the pathogen by components homologous to 1152 those of the vertebrate terminal pathway of the complement system. The lysis of bacterial cells could be also 1153 attained by mytilectins, which combine a lectin-like domain with a pore-forming domain. The complement 1154 system is likely to be similarly activated by factor C, which could recognize lipopolysaccharide, leading to the 1155 activation of C3 in response to Gram-negative bacteria.

1156

Figure 6: IMD pathway in arthropods and hypothetical organization of the homologous pathway in mollusks 1157 1158 and brachiopods. Once activated by peptidoglycan (PGN) upon infection by Gram-negative bacteria, 1159 membrane bound PGRPs transmit the immune signal inside the cell, leading to the activation of an 1160 intracellular signaling cascade that ultimately activates the Relish transcription factor, which in turn regulates 1161 the production of antimicrobial peptides and pro-inflammatory genes. In arthropods, PGRPs activate the 1162 signaling cascade through the interaction of their intracellular RHIM domain with the adaptor IMD, which in 1163 turn interacts with the DEATH domain of dFADD. However, IMD is absent in both mollusks and brachiopods. 1164 While molluscan membrane-bound PGRPs also lack any recognizable intracellular domain, leaving their 1165 involvement in the activation of the intracellular immune signaling cascade a matter of speculation, some 1166 transmembrane brachiopod PGRPs, named PGRP-PSM, possess and intracellular DEATH domain which could 1167 hypothetically recruit dFADD, thereby bypassing the need for a IMD-like adaptor.

1168

Figure 7 Panel A: circular phylogram depicting the relationship between *L. anatina* TLRs. Nodes supported by
posterior probability values lower than 0.5 have been collapsed. Panel B: domain architecture of mccTLRs,
sccTLRs and their cytosolic partners MyD88 and SARM. Panel C: a 35 Kb long region located on scaffold 150
of the *L. anatina* genome assembly, containing 5 sccTLR genes in a cluster organization. Arrows represent
genes.

1174

Figure 8. Schematic representation of the TLR signaling pathway in *L. anatina* (left) and domain architecture
 of the main components involved (right). The cytosolic adaptor MyD88, which recruits IRAK kinases (whose
 turnover is regulated by TOLLIP) and TRAF6, mediates the infection signal transmitted by TLRs. This molecular

1178 complex can either activate TAK1 and the associated proteins TAB1 and TAB2, or can associate with ECSIT, 1179 thereby leading to the activation of the MAP kinase signaling cascade. In the former case, TAK1 turns on the 1180 activity of the IKK signalosome, which phosphorylates  $I\kappa B$ , leading to its ubiquitation and degradation, 1181 allowing the translocation of NF- $\kappa B$  to the nucleus. On the other hand, the MAPK pathway leads to the 1182 activation of the AP-1 transcription factor through p38 kinases. The coordinated action of NF- $\kappa B$  and AP-1 1183 leads to the transcription of immune effectors.

1184

Figure 9: Schematic domain organization of NLR-like and RLR proteins in *L. anatina*. A: NLR displaying the canonical CARD/NACHT/NAD/LRR domain organization. B: Atypical NLR-like proteins lacking detectable C-terminal LRRs. C: Atypical NLR-like proteins with a highly degenerated N-terminal Death Fold Domain. D: Canonical RLR proteins displaying either one or two N-terminal CARD domains. E. RLR-like proteins presenting a poorly detectable N-terminal Death Effector Domain (DED), associated with one or two C-terminal RIG modules. F: hybrid RLR/Caspase protein displaying an N-terminal caspase effector domain.

1191

1192 Figure 10: Summary of mode of action of the main cytosolic PRRs in L. anatina. Missing or yet uncharacterized 1193 components are indicated by question marks and the name of the functionally homologous protein in 1194 vertebrates in shown in red. Briefly, NLRs can recognize the bacterial peptidoglycan components MDP and 1195 iE-DAP, triggering the expression of immune effectors through the kinase RIP2, which does not show any 1196 clear homolog in brachiopods. RLRs are specialized in the recognition of viral RNAs, and the consequent step 1197 in this antiviral signaling involves MAVS/IPS-1/CARDIF. Finally, STING is a multifunctional receptor that can 1198 either directly recognize the bacterial second messenger c-di-GMP or indirectly collect signals from other 1199 cytosolic partners, including cGAS, which synthetizes cGAMP from double-stranded exogenous DNA 1200 templates. STING-mediated immune signals are mediated by TBK1, even though the presence of TIR domains 1201 in STING suggests the possible involvement of other accessory proteins. The nature of the adaptor proteins 1202 involved in the several steps of the transduction of the immune signal to the nucleus in brachiopods are 1203 presently unknown.

1205 Figure 11: Heat map summarizing expression profiles of immune genes along the embryonic development of 1206 L. anatina and in seven adult tissues. Genes which did not reach significant expression level (i.e. TPM < 10) in 1207 at least one sample were removed. Due to the high number of C-type lectin and FReD-C genes, only those 1208 pertaining to the macrophage mannose receptor-like and molluscan tachylectin/ficolin-like subfamilies are 1209 shown, respectively. FE, fertilized egg; 32: 32-cell stage; 128, 128-cell stage; EB, early blastula; B, blastula; 1210 EG, early gastrula; MG, mid gastrula; LG, late gastrula; 1PCL, one pair-of-cirri larvae; 2PCL, two pairs-of-cirri 1211 larvae; DC, digestive cecum; WG, whole gut; PD, pedicle; RP, regenerated pedicle; VM, ventral mantle; DM, 1212 dorsal mantle; LP, lophophore; C1qDC, C1q domain-containing protein; FReD, fibrinogen-related domain; 1213 PRRs, pattern recognition receptors; PGRPs, peptidoglycan recognition proteins; sccTLRs and mccTLRs, 1214 single- and multiple-cysteine cluster Toll-like receptors. Gene expression levels are available in Table S4. The 1215 complete cDNA sequences used for this analysis are provided in **Supplementary File 1**.

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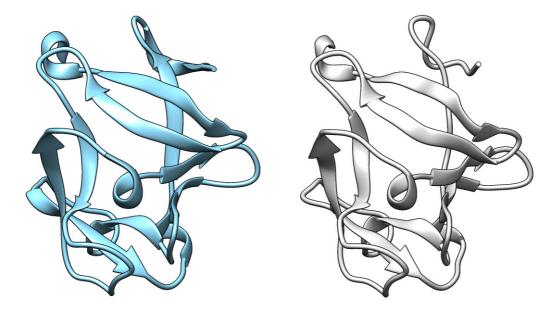
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## Genetic and molecular basis of the immune system in the brachiopod Lingula anatina

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## Supplementary figures and tables



**Figure S1**: Three-dimensional structure of *Mytilus galloprovincialis* MytiLec-1 (PDB accession ID: 3WMV, left) and folding of the *Lingula anatina* mytilectin XP\_013383925.1, as predicted by Phyre2 (confidence: 97.8%; coverage: 43%), displayed as a ribbon structure (right). Only the region corresponding to amino acids 36-145 is displayed for MytiLec-1. Note that brachiopod mytilectins also possess an N-terminal signal peptide regions and a C-terminal pore-forming domain that could not be modeled based on MytiLec-1.

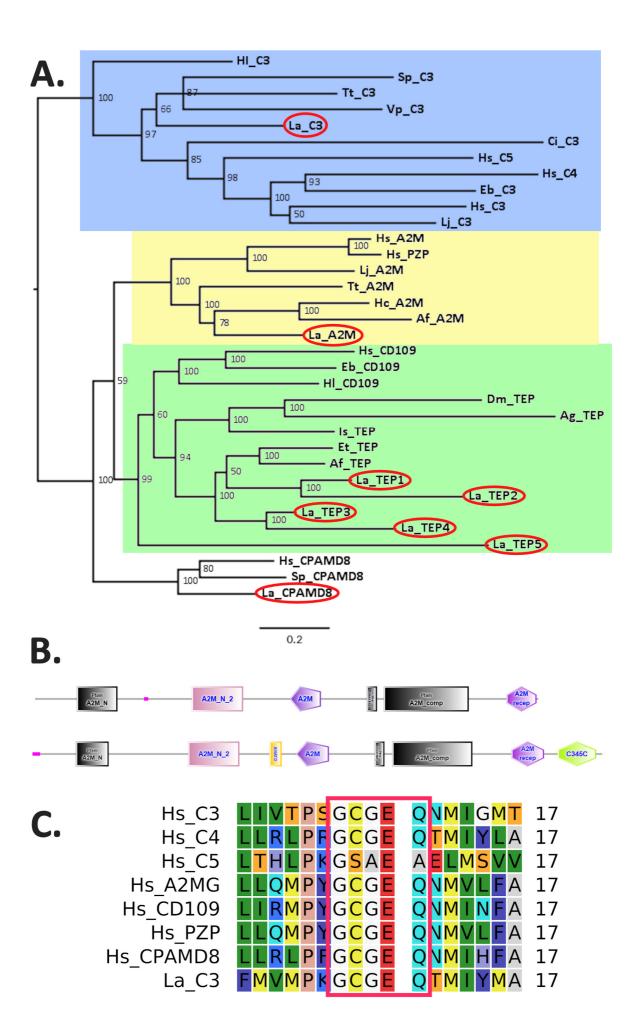


Figure S2: Panel A: Bayesian phylogeny of metazoan thioester containing proteins. The analysis was carried out with MrBayes, based on a WAG+G+I model of molecular evolution. The analysis was stopped after the reaching of convergence of all estimated parameters (100,000 generations). The sequences from Lingula anatina are highlighted with a red circle. Posterior probability support values for all nodes are shown. The sequences clustered within the C3/C4/C5 family clade (light blue background), the alpha-2 macroglobulin clade (yellow background) and the CD109/iTEP clade (light green background). Sequences are named based on the initial letter of the genus and species scientific names. The accession IDs of the sequences used for this analysis are: Homo sapiens C3, C4A, C5, A2M, CD109, CPAMD8, and PZP:NP\_000055, P0C0L4, AAA51925, P01023, NP 598000, NP 056507 and CAA38255. Strongylocentrotus purpuratus C3 and CPAMD8: NP\_999686 and XP\_785018. Ciona intestinalis C3-1, and CPAMD8: NP\_001027684, and XP\_002124325. Lethenteron japonicum C3 and A2M: Q00685 and BAA02762. Eptatretus burgeri C3 and CD109: P98094 and BAD12264. Tachypleus tridentatus C3 and A2M: BAH02276 and BAA19844. Venerupis decussatus C3: ACN37845; Hyriopsis cumingii: A2M: ABJ89824. Haliplanella lineata C3-1 and CD109: BAJ05269 and BAJ05272. Apis mellifera A2M: XP\_392454. Drosophila melanogaster iTEP: NP\_523578. Anopheles gambiae iTEP: AAG00600. Ixodes scapularis iTEP: XP 002409560. Euphaedusa tau TEP: BAE44110. Azumapecten farreri TEP: ADA77515; Lingula anatina C3, A2M, CAPMD8, TEP1, TEP2, TEP3, TEP4 and TEP: XP 013420336, XP\_013397771, XP\_013402650, XP\_013389531, XP\_013387199, XP\_013383486, XP\_013420587.

Panel B: domain architecture of iTEP, CD109 and A2M proteins (top), compared to complement C3/C4/C5 family members (bottom). The *Lingula anatina* C3 protein shows the C-terminal netrin domain, as expected.

Panel C: sequence alignment of the conserved GCGEQ thioester motif in human thioester proteins. This domain is also present, with no variations, in *Lingula anatina* C3.

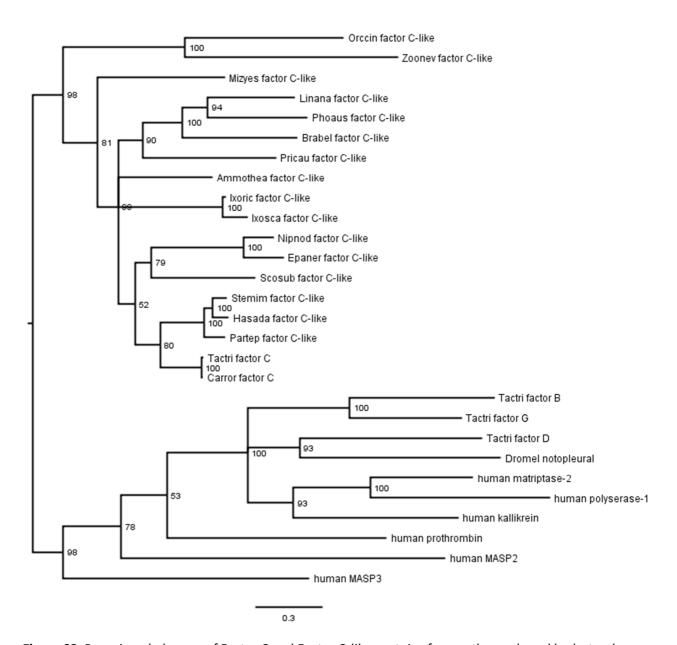
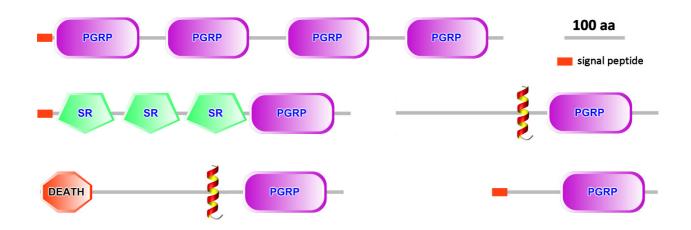
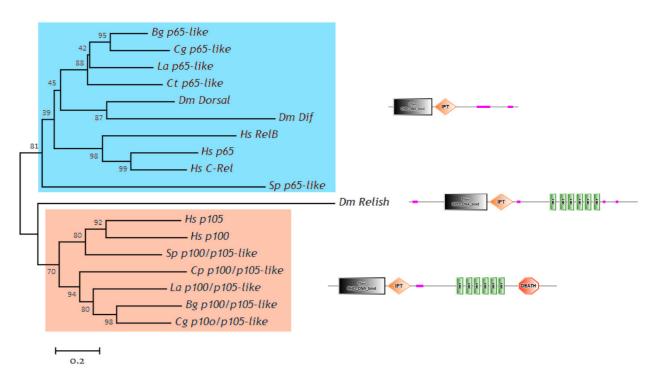


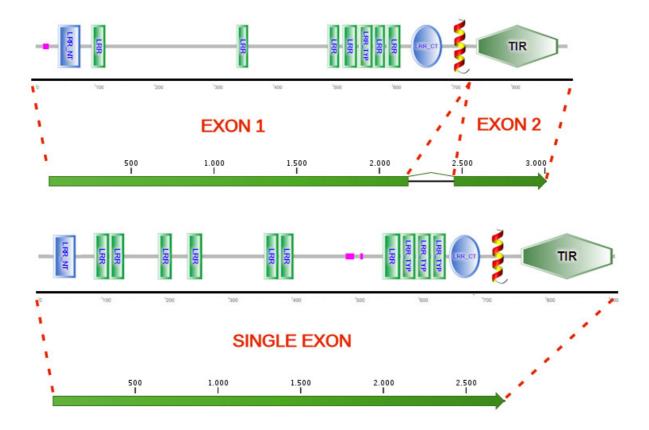
Figure S3: Bayesian phylogeny of Factor C and Factor C-like proteins from arthropods and lophotrochozoans, including the Factor C-like protein identified in Lingula anatina. The tree includes the sequences previously reported By Sekiguchi and Nonaka (2015) and other relevant animal sequences from human (MASP2/3, kallikrein, prothrombin, polyserase-1 and matriptase-2), Drosophila melanogaster (notopleural) and horseshoe crabs (Factor B, D and D). The phylogenetic tree is based on the multiple sequence alignment of the serine-protease domain shared by all sequences. Posterior probability values are shown for the main nodes of the tree. List of accession IDs of the sequences used: P28175.1, Q27081.1, BAA13312.1, BAA04045.1, XP 013394674.1, XP 021349166.1, XP 019627213.1, XP 011511292.1, CAC15568.1, CAC85953.1, NP\_000497.1, XP\_011526284.1, NP\_001305325.1, XP\_014664365.1, BAR45632.1, AAB34362.1, KFM72697.1, BAR45633.1, XP\_015930211.1, All02148.1, XP\_002410620.1, XP\_020999971.1, BAR45635.1, BAR45636.1, BAR45634.1, ODM96450.1, XP\_021919270.1, NP\_610437.2. The sequence from Phoronis australis was retrieved from the OIST Phoronis australis genome browser (http://marinegenomics.oist.jp/pau v2/viewer/info?project id=51), accession ID: TRINITY\_DN303042\_c8\_g1\_i1.



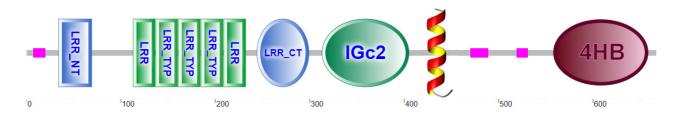
**Figure S4**: Domain architecture of peptidoglycan recognition proteins (PGRPs) encoded by the genome of *L. anatina*. SR: Scavenger receptor Cys-rich.



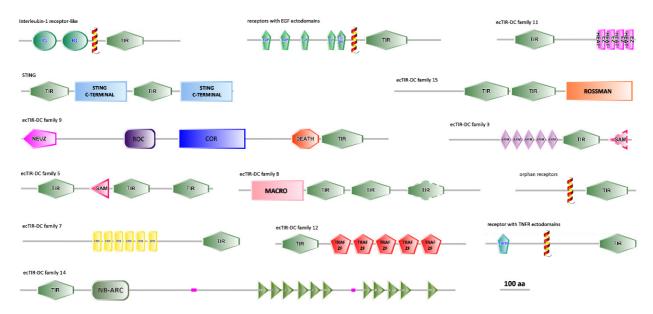
**Figure S5**: Phylogenetic relationship between class I (light pink) and class II (light blue) NF-κB family members from human (Hs), *Lingula anatina* (Ia), *Biomphalaria glabrata* (Bg), *Crassostrea gigas* (Cg), *Capitella teleta* (Ct), *Drosophila melanogaster* (Dm), *Carcinoscorpius rotundicauda* (Cr) and *Strongylocentrotus purpuratus* (Sp). Class I members present C-terminal ankyrin repeats, which are followed by a DEATH domain in deuterostome and lophotrochozoan p100/p105-lie protein. This domain is not present in *Drosophila* Relish (shown with a white background). Accession IDs: P15330.2 (Dm\_Dorsal), Q94527.1 (Dm\_relish), P98149.2 (Dm\_Dif), P19838.2 (Hs\_p105), Q00653.4 (Hs\_p100), Q04206.2 (Hs\_p65), Q04864.1 (Hs\_C-Rel), Q01201.2 (Hs\_RelB), ELU08708.1 (Ct\_p100/p105-like), ELU04547.1 (Ct\_p65-like), XP\_011684092.1 (Sp\_p100/p105-like), XP\_013405334.1 (La\_p100/p105-like), XP\_013391679.1 (La\_p65-like), ABC75034.1 (Cr\_p100/p105-like), AZ240333.1 (Cr\_p65-like), ACZ25560.1 (Bm\_p100/p105-like), ACZ25559.1 (Bm\_p65-like).



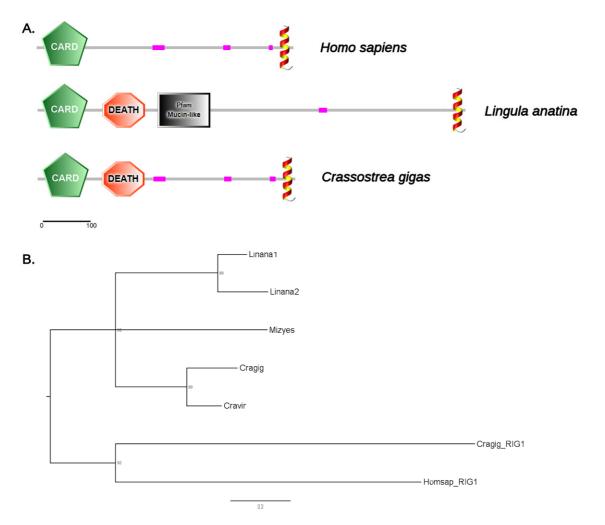
**Figure S6**: Exemplified genomic architecture of *L. anatina* sccTLR genes. Above, two-exons single-cysteine cluster TLRs comprise two exons; the first one encodes the entire N-terminal region comprising leucine-rich repeats and the transmembrane domain, whereas the TIR domain is entirely encoded by the second exon. Below, intronless single-cysteine cluster TLRs contain the entire open reading frame in a single exon.



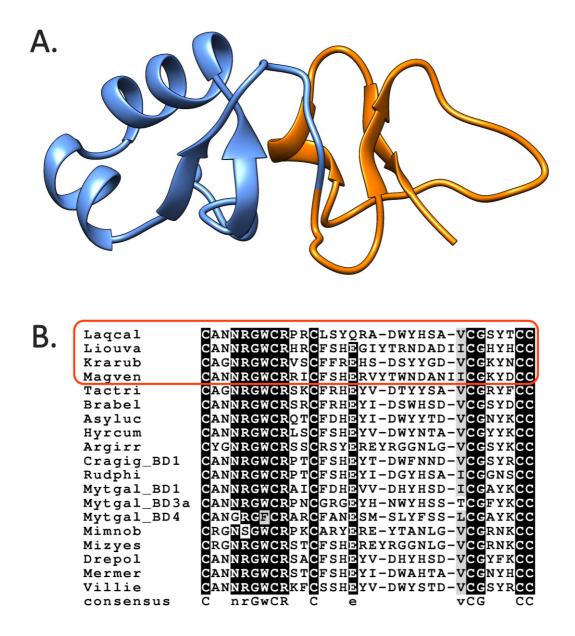
**Figure S7**: Domain organization of *Lingula anatina* LRRIGs, as exemplified by the sequence XP\_013384809.1. Briefly, extracellular LRR domains are followed by an immunoglobulin-like (IGc2) domain. The intracellular part of the receptors presents a four helix bundle (4HB) domain structurally similar to apolipoproteins.



**Figure S8**: Schematic representation of brachiopod evolutionarily conserved TIR-domain-containing proteins, named based on the scheme proposed by Gerdol et al. 2017a. Non-canonical domains are dashed. IG: immunoglobulin; EGF: epidermal growth factor; EZ\_HEAT: E-Z type HEAT repeats; ROSSMAN: Rossman-like fold domain; NEUZ: naturalized domain; ROC: ROC domain; COR: C-terminal of Roc domain; DEATH: Death domain; ARM: armadillo-type repeats; TRAF ZF: TRAF-like zinc finger domain; TNFR: TNF-receptor domain; TPR: tetratricopeptide repeats; WD40: WD40 repeats; NB-ARC: NB-ARC domain.



**Figure S9**: A: domain architecture of human MAVS/CARDIF/IPS-1, its functional homolog CgMAVS from *C. gigas* and the related sequence from *L. anatina*. B: Bayesian phylogeny of lophotrochozoan MAVS-like sequences from *C. gigas* (KY630189.1), *Crassostrea virginica* (XP\_022343364.1), *Mizuhopecten yessoensis* (XP\_021350730.1) and *L. anatina* (XP\_013403654.1 and XP\_013403698.1). Human (NP\_055129.2) and oyster (KY630188) RIG-1 sequences were used as outgroups to root the tree. Nodes supported by posterior probabilities lower than 50% were collapsed.



**Figure S10**: A: Structural model of *Magellania venosa* big defensin, predicted by Phyre 2; the N-terminal hydrophobic and the C-terminal cysteine-rich domains are shown in blue and orange, respectively. B: Sequence alignment of the cysteine array of representative big defensins sequences from Brachiopoda (marked by a box), Bivalvia, Merostomata and Cephalochordata. Laqcal: *Laqueus californianus*; Liouva: *Liothyrella uva*; Krarub: *Kraussina rubra*; Magven: *Magellania venosa*; Tactri: *Tachypleus tridentatus*; Brabel: *Branchiostoma belcheri*; Asyluc: *Asymmetron lucayanum*; Hyrcum: *Hyropsis cumingii*; Argirr: *Argopecten irradians*; Cragig: *Crassostrea gigas*; Rudphi: *Ruditapes philippinarum*; Mytgal: *Mytilus galloprovincialis*; Mimnob; *Mimachlamys nobilis*; Mizyes: *Mizuhopecten yessoensis*; Drepol: *Dreissena polymorpha*; Mermer: *Meretrix meretrix*; Villie: *Villosa lienosa*. Accession IDs are provided in **Table S3**.

Species name	Order	Reads (M)	Assembled contigs	Assembly N50	Tissue
Glottidia pyramidata	Lingulida	68	42,738	872	pedicle, mantle, and lophophore
Hemithiris psittacea	Rhynchonellida	61	23,646	1439	lophophore and mantle
Kraussina rubra	Terebratulida	45	28,468	496	soft tissues
Laqueus californianus	Terebratulida	67	37,509	846	lophophore
Liothyrella uva	Terebratulida	283	52,268	1234	whole animal
Magellania venosa	Terebratulida	420	39,872	1476	mantle
Novocrania anomala	Craniida	52	14,093	485	soft tissues
Terebratalia transversa	Terebratulida	105	38,948	1216	whole animal

Table S1: Summary of the transcriptome data used for comparative immunogenomics screening in this study.

Sequence name	Accession ID	Sequence name	Accession ID
Linana_1	XP_013384618.1	Spisac_glucanase	AAP74223.1
Linana_2	XP_013384636.1	Chlalb_glucanase	AAZ04385.1
Linana_3	XP_013400557.1	Chlros_glucanase	AAZ04386.1
Linana_4	XP_013408261.1	Pervir_glucanase	ACM68926.1
Linana_5	XP_013413498.1	Myzyes_glucanase	XP_021378165.1
Linana_6	XP_013408262.1	Taplit_glucanase	AEE89454.1
Dromel_GNBP1	NP_524142.2	Haldis_glucanase	BAH84971.1
Dromel_GNBP2	NP_524141.1	Haltub_glucanase	AFQ98375.1
Dromel_GNBP3	NP_523986.2	Litsik_glucanase	ACN22491.1
Eisfet_CCF1	AAC35887.1	Azufar_LGBP	AAP82240.1
Kumrub_CCF	AAY85746.1	Pinfuc_LGBP	ACN76701.1
Denven_CCF	AAY85745.1	Haldiv_LGBP	ACO07358.1
Aporos_CCF	AAY85744.1	Mermer_LGBP	AGT42010.1
Apolon_CCF	AAY85743.1	Cragig_LGBP	NP_001292282.1
Apoict_CCF	AAY85742.1	Taplit_LGBP	AEE89455.1
Apocal_CCF	AAY79172.1	Cragig_LGBP	NP_001292293.1
Dromel_GNBP3	NP_523986.2		

**Table S2**: accession number of the protein sequences used for the generation of the phylogenetic tree in Figure 4.

Sequence name	Accession ID		
Argirr	Q0H293.1		
Asyluc	GESY01089460.1		
Brabel	Q86QN6.1		
Carrot	CK086629.1		
Cragig_BD1	AEE92767.1		
Drepol	GFBQ01007908.1		
Hyrcum	AEP26934.1		
Mermer	JI261145.1		
Mimnob	ANY95044.1		
Mizyes	OWF47949.1		
Mytgal_BD1	CCC15007.1		
Mytgal_BD3a	CCC15009.1		
Mytgal_BD4	CCC15012.1		
Rudphi	AEK78068.1		
Scabro	AFQ02696.1		
Tactri	P80957.2		
Villie	JR534503.1		

**Table S3**: accession number of the sequences displayed in the multiple sequence alignment presented in Figure 10. The following sequences were obtained from *de novo* transcriptome assembly:

>Laqcal

MRQVFLSTAIWTLLLVNQMLCGAVKVKQTDHPEEMEKRVFPAIYIGAAVSPWVYAWLVAAFGAALVLANHVTIRRRNDN HSCANNRGWCRPRCLSYQRADWYHSAVCGSYTCCRPK

>Magven

MGRIIFLAAFWTSLFVGQLTAGTLENERVHDEKEKRAIALPFWYIGVRVSPWVWRGLVALYTAAVLSRNRVVKVDSDSHSC ANNRGWCRRICFSHERVYTWNDANIICGKYDCCVPAGGQVGR

>Krarub

MGRVFLSTVIWTLLLANQVLGAIIVKQGDQENTREKRATFAIPLIYVGAKVSLRVWAALVALYGVTALYASHVIKVSSDNHS CAGNRGWCRVSCFFREHSDSYYGDVCGKYNCCRY

>Liouva

MCREYWSAIIWASLLVSHVTVGHSLNVRSHQTEQKNEKKQIALPYWYFGIAVSPWVWAALAVTYGGVLLLRNHVTKVDT DSHNCANNRGWCRHRCFSHEGIYTRNDADIICGHYHCCVPKDISIG